

**MEDICAID HOME AND COMMUNITY-BASED SERVICES:  
PROGRAM DATA, 1992-2001**

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## **Executive Summary**

As states find themselves caught between the ‘rock’ of budget deficits and the ‘hard place’ of increasing pressures to extend Medicaid home and community-based services (HCBS), there is rising concern about the development of the three main Medicaid (HCBS) programs: (1) 1915(c) waivers, (2) home health (HH), and (3) Personal Care Services (PCS). This study reports two sets of program trend data: (1) waiver participant, expenditure, and service data by state, and by waiver, 1992 through 2001, and (2) participant and expenditure data for HH, PCS, waivers, and total HCBS, by state, 1999 through 2001.

Between 1999 and 2001, national Medicaid HCBS participants grew by 8.6 percent including a 4.1 percent rise in 2001 from 2,034,435 to 2,117,948. Between 1999 and 2001, national Medicaid HCBS expenditures grew by 24.5 percent including a 13.2 percent increase in 2001 from \$19.5 billion to \$22.1 billion. Within this pattern of growth, there remain significant variations across the states in the development of the three Medicaid HCBS programs (HH, PCS, and waivers) individually, and in the terms of total Medicaid HCBS.

Between 2000 and 2001, total national participants in the PCS program rose by only 0.2 percent and the participation rate per 1,000 persons fell by 0.7 percent from 1.97 to 1.96. Despite this, PCS expenditures per participant increased \$8,019 to \$9,018 (12.5 percent). While expenditure growth on waiver programs (per capita, per participant, and total) slowed between 1999 and 2000, it increased again in 2001. When compared with 2000, 2001 waiver expenditures on three categories of services increased (residential/foster care, respite, and other), three reduced (case management, nursing, and habilitation/day care), the number of each recipient type increased except AIDS/ARC, and expenditures per recipient type increase for each category except the aged.

# **MEDICAID HOME AND COMMUNITY-BASED SERVICES: PROGRAM DATA, 1992-2001**

## **Introduction**

As state administrations find themselves caught between the ‘rock’ of budget crises and the ‘hard place’ of increasing pressures to extend Medicaid home and community-based services (HCBS) there is rising concern about the development of the three main Medicaid HCBS programs: (1) 1915(c) waivers, (2) home health (HH), and (3) Personal Care Services (PCS) state plan optional benefits. This report presents the most recent available set of program data regarding each program. This work is part of a larger study on the HCBS program being conducted for The Kaiser Commission on Medicaid and the Uninsured.

This report contains three main parts. Part 1 contains three sections: (a) a description of the three Medicaid HCBS programs, (b) an introduction to the current policy context, and (c) the data sources used to compile this report. Parts 2 and 3 present the tables listed in Figure 1 on page 3. Part 2 includes two sets of tables that report annual waiver participant, expenditure, and service data, 1992-2001. The series of ‘A’ tables (1A-9A) report data by waiver. The second series of tables (1B-9B) report data by state. Part 3 reports annual participant and expenditure data for HH, PCS, waivers, and total HCBS by state, 1999-2001. Further information on these data and HCBS can be found in the references listed at the end of this report.

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## PART 1

### (a) Medicaid HCBS Programs

For most of the 20th century, the bulk of formal (paid) long-term care (LTC) was provided in institutions such as nursing homes. Little use was made of HCBS such as personal care, home delivered meals and independent living.<sup>1</sup> Still, in the 21st, the only Federal Medicaid LTC benefits required of states are those provided by institutions, and HH services provided to those who would otherwise be in an institution.<sup>1-5</sup> Medicaid regulations do, however, allow states the option of using combinations of three programs (waivers, HH, and PCS) to provide HCBS either directly, or through a variety of contractual arrangements. Figure 2 compares key elements of the three programs and each program is introduced briefly below.

**Figure 2: Summary Comparison of Medicaid HCBS Programs<sup>2</sup>**

|                                                | <b>HCBS Waivers</b>                                                                                                                                               | <b>Personal Care Services (PCS)</b>                                   | <b>Home Health (HH)</b>                                                                                                                                        |
|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Medicaid State Plan Requirements</b>        | Optional program                                                                                                                                                  | Optional, but mandatory for medically needy children under EPSDT      | Mandatory benefit for those who would otherwise require institutional care. Optional for other recipients                                                      |
| <b>Financial eligibility</b>                   | Same as for institutional care                                                                                                                                    | Limited to state's categorical medically needy standard               | Limited to state's categorical medically needy standard                                                                                                        |
| <b>Requirement for statewide coverage</b>      | May be waived                                                                                                                                                     | Required                                                              | Required                                                                                                                                                       |
| <b>Comparability across eligibility groups</b> | Limits number of recipients, may vary amount, scope and duration across eligibility groups                                                                        | Must be comparable in amount, duration, and scope                     | Must be comparable in amount, duration, and scope                                                                                                              |
| <b>Need Criteria</b>                           | Comparable to institutional care                                                                                                                                  | Not specified, need not be nursing home certifiable                   | Screening based on state criteria and/or medical judgments                                                                                                     |
| <b>Benefits</b>                                | Varied, limits may be set, but may include e.g. case management, homemaker, home health aide, personal care, adult day care, habilitation, respite care, respite. | 'Hands-on' care providers excluding domestic services. May be limited | Sufficient in amount, duration and scope to reasonably achieve purpose including nursing, therapy, medical supplies and equipment. Limits on services allowed. |
| <b>Providers</b>                               | Qualified providers determined by state                                                                                                                           | Qualified providers determined by state.                              | Only Medicaid certified home health agencies.                                                                                                                  |

### *Medicaid 1915(c) HCBS Waivers*

Since Congressional authorization in 1981, the Medicaid 1915(c) HCBS waiver program offers to the states, federal matched funding to expand HCBS and accelerate movement away from LTC services provided from institutions.<sup>2-5</sup> This optional program allows the Department of Health and Human Services to 'waive' certain Medicaid statutory requirements so that states can receive federal funds to expand HCBS and reduce existing institutional care in three main ways. First, states can target HCBS towards specific geographical areas (i.e. a county), populations (e.g. the elderly), and conditions (e.g. traumatic brain injury). Second, they can provide HCBS services not otherwise covered by the Medicaid program (e.g. respite care, home modifications, case management, homemaker services, personal care, and adult day care). Third, states can set a limit on the numbers of waiver 'slots' available in order to control program costs.<sup>2-5</sup> As a Medicaid program, the 1915(c) waiver initiative encourages states to expand and target the provision of HCBS services toward the elderly and those with disabilities who would otherwise

be in an institution.<sup>3,4</sup> By 1999, all states, except Arizona had one or more 1915(c) waiver programs for LTC services. Arizona operates its Medicaid LTC program under a capitation arrangement using an 1115 waiver.<sup>4</sup>

#### *Medicaid Personal Care Services (PCS)*

Since 1975, states have the option (through Medicaid Title XIX) of offering PCS as a Medicaid state plan optional benefit.<sup>6</sup> States have considerable discretion in defining PCS but the programs typically involve hands-on assistance with activities of daily living (e.g., bathing and eating) to non-institutional residents of all ages with disabilities and chronic conditions. In 2001, 28 states offered a PCS benefit but they varied considerably in the amount and scope of the services provided. States can provide PCS in the home, outside the home, or in both locations. They cannot, however, reimburse legally responsible relatives (typically parents of disabled minor children and spouses). While the PCS optional benefit must be statewide and available to all Medicaid categorically eligibility groups, states can opt to make it available to the medically needy (who spend down to the state standard because of medical expenses). In contrast to the waiver program, PCS state optional benefits cannot use the nursing home eligibility standard for the categorically needy.<sup>6,7</sup>

#### *Medicaid Home Health (HH)*

The Medicaid program requires that HH services be offered as a mandatory benefit to those individuals entitled to nursing facility care under the state's Medicaid plan.<sup>7,8</sup> For other groups, Medicaid HH services are optional and states can vary the amount, scope and duration of benefits offered (so long as they remain sufficient to reasonably achieve their purpose and are the same for all eligibility groups). While some advocates argue that access to Medicaid HH

services is overly restrictive in some states, there is little information about the extent of these services, their impact, or the recipients.<sup>8</sup>

### **(b) The Current Policy Context of Medicaid HCBS**

Against a backdrop of the Bush Administration's plans to reform Medicaid and 43 states reporting budget deficits in 2003,<sup>9</sup> all states face three major pressures to expand their Medicaid HCBS programs. First, the public increasingly displays a preference for LTC provided at home rather than from institutions.<sup>10</sup> Second, mounting concerns regarding the quality of institutional LTC add further pressures on state governments to extend HCBS.<sup>11</sup> Third, extended Medicaid HCBS are anticipated by legislation such as the 1990 *Americans with Disability Act* (ADA) and legal judgments including the 1999 *Olmstead* Supreme Court decision. The importance of HCBS increased with the passage of the ADA because it outlawed certain practices of private and public entities that unreasonably restrain the participation of individuals with disabilities in society.<sup>6-8</sup> The *Olmstead* ruling requires that states must not discriminate against persons with disabilities by refusing to provide community services when these are available and appropriate.<sup>12</sup> In summary, states are caught between the rock of increasing requirements to provide Medicaid HCBS, and the hard place of fiscal crisis.

### **(c) Data Sources**

While it was known that Medicaid HCBS spending was increasing, less was known about the participants, expenditures and services in waiver, HH and PCS programs across the states.<sup>13-</sup>

<sup>19</sup> Three sources of data are combined in this descriptive longitudinal analysis of Medicaid HCBS programs: (1) Centers for Medicaid and Medicare Services (CMS [formally HCFA]) Form 372 reports for waivers, (2) research surveys of PCS and HH, and (3) CMS Form 64.

(1) CMS Form 372. Since 1994, a University of California, San Francisco (UCSF) research team has collected annually, the CMS Form 372 on which states report participant and expenditure data for each of their waivers. Although Form 372s were submitted to CMS, they were not compiled and computerized by CMS. Thus, original Form 372 data had to be collected directly from states. Each year, between 3-5 telephone and fax calls were made by study researchers, to every state Medicaid program in order to collect the 372 reports for the previous year and any outstanding reports (the 1994 effort collected reports from 1992 onward). If the final ‘lag’ reports were unavailable, state officials were asked to provide interim ‘initial’ reports or estimated data. The data are coded and entered into a SAS database.

As in previous years, five issues emerged during the collection of Form 372 data. First, there were some reporting discrepancies between expenditures by service and total waiver expenditures. These were rectified by a telephone call to the responsible state official. Second, the classification of waivers by recipient group was not always straightforward because some states issue waivers titles that do not indicate the name of the recipient group. In order to classify the waiver by recipient group, we matched each waiver number with the name of the recipient group that the state reported to CMS in their initial waiver request. Then, we categorized the recipient groups into eight categories.

Third, reporting of waiver service categories are determined by each state. Participants by service are duplicated since most participants receive more than one category of service. Since waivers may offer many different services and because states do not have common names for these services, coding the service data is a challenge. A list of 30 separate service codes was compiled from a review of the data. Then waiver services were coded in the category that appeared to be most similar to the coding category. A miscellaneous category is used for those

services not covered by the categories. The 30 service categories were collapsed into six categories to present in this report.

Fourth, when states did not provide a Form 372 for a waiver (24 cases in 2001), total expenditure and recipient figures (but not service level data) were estimated by the researchers using linear interpolation from previous years' data. Tables in Part 2 indicate all estimated data with an asterisk. Because no attempt was made to estimate service-level data within the 24 waivers for which no 372 report was available, these waivers are not included in Tables 7-9 reporting service category data for 2001.

Fifth, it is recognized that discrepancies persist between waiver data reported on Form 372 and the Form 64 quarterly financial returns that states submit to CMS.<sup>20</sup> This study uses Form 372 data for three reasons: (a) they are compiled and reviewed by state program staff before submission to CMS, (b) Form 64 returns are compiled by state staff to request reimbursement from CMS, and (c) Form 372 is the only source of participant data for the waiver program.

(2) UCSF Research Survey of PCS and HH. Until 1998, state Medicaid programs reported HH and PCS expenditures (not participants) on CMS Form 2082. Since then, states report the expenditures (plus participants) separately but local Medicaid officials reported to us that these data suffer from problems of accuracy and consistency. Thus, primary data regarding HH and PCS participants and expenditures for 1999-2001 were collected directly by the investigators from states through annual telephone and fax surveys conducted in 2001-2003. Where such data were not available, we rely on Form 2082 data and Form 64 expenditure data<sup>20</sup> as the best available. In such cases, data are marked with an asterisk in the reported tables. The HH and PCS data from these sources are coded and entered into a SAS database.

## PART TWO

### Medicaid 1915(c) HCBS Waivers: Program Data 1992 Through 2001

This section reports Medicaid 1915(c) HCBS waiver participants, expenditures, and service data for the period 1992 through 2001. Tables 1-3 report participant data, Tables 4-8 report expenditure data, and Table 9 reports the number, type and scope of waiver programs. Each of the Tables 1-9 has an 'A' and a 'B' format. The 'A' set report data by waiver and the 'B' set report data by state.

#### *(a) Waiver Participants*

Table 1A reports the annual (unduplicated) participants by waiver, for the period 1992 through 2001. Table 1B shows the annual (unduplicated) participants by state, for the period 1992 through 2001. At the national level, waiver participation rose from 235,580 persons in 1992 to 832,915 in 2001, or by 254 percent. While the national total of participants increased by 13 percent in 1999, the growth rate fell to 10 percent in 2000<sup>2</sup> and remained constant at 8 percent in 2001.

Table 2A shows the annual waiver (unduplicated) participants per 1,000 population by waiver for the period 1992 through 2001. Table 2B shows the annual HCBS waiver (unduplicated) participants per 1,000 population by state, for the period 1992 through 2001. In 1992, there was a national average of 0.92 participants per 1,000 population. By 2001, this had increased by 217 percent to 2.92 participants per 1,000 population. The national growth rate of 6 percent in 2000 increased to 7 percent in 2001.

Table 3A reports the number of (duplicated) participants that used one of six services, by waiver, in 2001. Table 3B shows the number of (duplicated) participants that used one of six waiver services, by state, in 2001. Participants could use more than one service. When

compared with 2000<sup>2</sup> (figures shown in parentheses) participation in each service except case management increased. In 2001, there were: 282,427 participants that used case management (287,248); 153,418 that used residential care/assisted living or foster care (122,377); 486,116 that used respite, home health, or personal care services (477,050); 254,831 that used habilitation or day care (248,976); 93,009 that used nursing or other therapy services (77,398); and 653,370 participants that used other services (524,218). These figures do not include participants on 24 waiver programs for which CMS 372 reports were not available.

Table 3C reports the number of waivers per state and the (unduplicated) participants by recipient type and state in 2001. Compared with the 155 waivers in operation in 1992, this study identified 229 waivers in operation in 2001. During this period, some states consolidated their total number of waivers while others expanded their total number of waivers. Compared with 2000, the national total of waiver programs increased by 3 in 2001.<sup>2</sup>

In 2001, the total number of waiver participants increased from the 2000 figure of 768,375,<sup>2</sup> to 832,915. When compared with 2000<sup>2</sup> (figures shown in parentheses) the number of each type of recipient except AIDS/ARC increased, to reach (in persons): MR/DD 322,266 (284,534); aged 95,356 (92,661); aged/disabled 348,787 (318,853); disabled and physically disabled 40,178 (36,653); special care children 4,554 (4,383); AIDS/ARC 13,822 (13,890); mental health 3,096 (2,494); and TBI/head injury 4,856 (3,189).

#### *(b) Waiver Expenditures*

Table 4A reports the annual waiver expenditures by waiver for the period 1992 through 2001. Table 4B shows the annual HCBS waiver expenditures, by state, for the period 1992 through 2001. Across the nation, total waiver expenditures increased from \$2.16 billion in 1992 to \$14.22 billion in 2001, or by 557 percent. After a fall in the rate of increase in total

expenditure from 23 percent in 1999 to 10 percent in 2000,<sup>2</sup> the rate rose again in 2001 to 13 percent.

Table 5A reports the annual expenditures for each (unduplicated) participant, by waiver, for the period 1992 through 2001. Table 5B shows the annual expenditures for each (unduplicated) participant, by state, for the period 1992 through 2001. National average expenditures per waiver participant increased from \$9,187 in 1992 to \$17,070 in 2001, or by 86 percent. After a fall in the rate of expenditure growth from 9 percent in 1999 to 1 percent in 2000,<sup>2</sup> the annual growth rate rose again in 2001 to 4 percent.

Table 6A shows the annual expenditures per capita by waiver from 1992 through 2001. Table 6B shows the annual expenditures per capita by state for the period 1992 through 2001. Over this period, national waiver expenditures per capita rose from \$8.48 in 1992 to \$49.92 in 2001, or by 489 percent. After a fall in the rate of expenditure per capita growth from 22 percent in 1999 to 6 percent in 2000,<sup>2</sup> the annual growth rate rose in 2001 to 12 percent.

Table 7A shows the total expenditures on each of the six service categories by waiver in 2001. Table 7B shows the total expenditures on each of the six service categories by state in 2001. When compared with 2000<sup>2</sup> (figures shown in parentheses) the expenditures on three service categories increased (residential/foster care, respite, and others) but three reduced (case management, nursing, and habilitation/day care). Specifically, compared with 2000, expenditures by service category were: case management, \$453 million (\$456 million); residential care/assisted living or foster care, \$2,945 million (\$1,918 million); respite, home health, or personal care services \$3,129 million (\$2,774 million); spent on habilitation or day care, \$5,416 million (\$5,612 million); nursing or other therapy services \$230 million (\$248 million); and other services, \$960 million (\$716 million).

Table 8A reports the expenditures per (duplicated) participant by service category and waiver in 2001. Table 8B shows the expenditures per (duplicated) participant by service category and state in 2001. When compared with 2000<sup>2</sup> (figures shown in parentheses) the expenditures per participant on four service categories increased (case management, residential/foster care, respite, others) and two reduced (habilitation/day care, nursing or other therapy services). Specifically, compared with 2000, expenditures per participant by service category were: case management, \$1,606 (\$1,591); residential care/assisted living or foster care \$19,198 (\$15,674); respite, home health, or personal care services \$6,438 (\$5,822); habilitation or day care, \$21,256 (\$22,539); nursing or other therapy services, \$2,475 (\$3,206); and, other services \$1,469 (\$1,367) per participant. These figures do not include the 24 waiver programs for which CMS 372 reports were not available.

Table 9A reports the expenditures by recipient type and state in 2001. When compared with 2000<sup>2</sup> (figures shown in parentheses) the expenditures on seven recipient types increased (aged/disabled, AIDS/ARC, special care children, disabled/physically disabled, mental health, MR/DD, and TBI/head injury), and one reduced (aged). Specifically, compared with 2000, expenditures by recipient type were: MR/DD \$10.5 billion (\$9.2 billion); aged, \$407.7 million (\$434.0 million); aged/disabled \$2.4 billion (\$2.1 billion); disabled/physically disabled \$518.7 million (\$434.5 million); special care children \$88.9 million (\$77.9 million); AIDS/ARC \$56.9 million (\$56.3 million); mental health \$14.6 million (\$11.2 million); and TBI/head injury, \$134.7 million (\$104.7 million).

Table 9B reports the expenditures per participant by recipient type and state in 2001. When compared with 2000<sup>2</sup> (figures shown in parentheses) the expenditures per participant on six recipient types increased (aged/disabled, AIDS/ARC, special care children,

disabled/physically disabled, mental health, MR/DD), and two reduced (aged, TBI/head injury). Specifically, compared with 2000, the expenditures by eight recipient categories were: MR/DD \$32,685 (\$32,474); aged, \$4,276 (\$4,684); aged/disabled \$6,862 (\$6,462); disabled/physically disabled \$12,911 (\$11,853); special care children \$19,511 (\$17,769); AIDS/ARC \$4,118 (\$4,050); mental health \$4,712 (\$4,487); and TBI/head injury \$27,734 (\$32,823).

### **PART THREE**

#### **Medicaid HH, PCS, Waiver and Total HCBS Program Data, 1999-2001**

Table 10 reports annual HH, PCS, waiver, and total Medicaid HCBS participants by state for 1999-2001. Nationally, over that period, total Medicaid HCBS participants increased by nearly 8.6 percent from 1.95 million to 2.12 million. However, compared with the participation growth rate of 4.3 percent in 2000,<sup>2</sup> the rate slowed to 4.1 percent in 2001.

Within the increase in total HCBS participants between 1999 and 2001, HH participants decreased by 2.1 percent from 743,883 to 727,969. However, while HH participation fell in 2000<sup>2</sup> by 4.5 percent, it increased by 2.5 percent in 2001. Between 1999 and 2001, PCS participants rose by 9.6 percent from 508,384 to 557,064. PCS participation increased by 9.3 percent in 2000,<sup>2</sup> but by only 0.2 percent in 2001. Between 1999 and 2001, waiver participants increased by 19.3 percent from 698,046 to 832,915. Waiver participation increased by 10.1 percent in 2000<sup>2</sup> but by only 8.4 percent in 2001.

Table 11 reports HH, PCS, waiver, and total Medicaid HCBS participants per 1,000 population by state 1999-2001. Nationally, over the period, total HCBS participants per 1,000 population increased by 4.1 percent from 7.15 to 7.44. Compared with the participation per 1,000 population growth rate of 0.8 percent in 2000,<sup>2</sup> the rate increased to 3.2 percent in 2001.

With increasing HCBS participants per 1,000 population between 1999 and 2001, HH participants per 1,000 population decreased by more than 6 percent from 2.7 to 2.56. However, while HH participation fell in 2000<sup>2</sup> by 7.7 percent, it increased by 1.6 percent in 2001. Between 1999 and 2001, PCS participants per 1,000 population rose by 5.4 percent from 1.86 to 1.96. PCS participation per 1,000 population increased by 5.9 percent in 2000<sup>2</sup> but declined by 0.7 percent in 2001. Between 1999 and 2001, waiver participants per 1,000 population increased by 14.1 percent from 2.56 to 2.92. Waiver participation per 1,000 population increased by 6.3 percent in 2000<sup>2</sup> and accelerated to 7.4 percent in 2001.

Table 12 reports HH, PCS, waiver and total Medicaid HCBS annual expenditures by state, 1999-2001. Nationally, over that period, total Medicaid HCBS expenditures increased by 24.5 percent from \$17.7 billion to \$22.1 billion. Compared with the expenditure growth rate of 10 percent in 2000,<sup>2</sup> the rate increased to 13.2 percent in 2001.

Of the total HCBS expenditure increase between 1999 and 2001, HH expenditures increased by nearly 16.7 percent from \$2.43 billion to \$2.83 billion. Compared with the HH expenditure growth rate of 2.3 percent in 2000,<sup>2</sup> the rate increased to 14.1 percent in 2001. Between 1999 and 2001, PCS expenditures rose by nearly 27.1 percent from \$3.95 billion to \$5.02 billion. Compared with the PCS expenditure growth rate of 12.8 percent in 2000,<sup>2</sup> the rate was only 12.7 percent in 2001.

Between 1999 and 2001, waiver expenditures increased by 25.3 percent from \$11.3 billion to \$14.2 billion. Compared with the waiver expenditure growth rate of 10.7 percent in 2000,<sup>2</sup> the rate increased to 13.2 percent in 2001.

Table 13 reports HH, PCS, waiver, and total HCBS expenditures per participant by state 1999-2001. Nationally, over that period, total Medicaid HCBS expenditures per participant

increased by 14.7 percent from \$9,090 to \$10,423. Compared with the HCBS expenditure per participant growth rate of 5.4 percent in 2000,<sup>2</sup> the rate increased to 8.7 percent in 2001.

Of the HCBS expenditures per participant increase between 1999 and 2001, HH expenditures per participant increased by 19.2 percent from \$3,265 to \$3,893. Compared with the HH expenditure per participant growth rate of 7.1 percent in 2000,<sup>2</sup> the rate increased to 11.3 percent in 2001. Between 1999 and 2001, PCS expenditures per participant rose by 16 percent from \$7,773 to \$9,018. Compared with the PCS expenditure per participant growth rate of 3.2 percent in 2000,<sup>2</sup> the rate increased four-fold to 12.5 percent in 2001.

Between 1999 and 2001, waiver expenditures per participant increased by 5 percent from \$16,256 to \$17,070. Compared with the waiver expenditure per participant growth rate of 0.5 percent in 2000,<sup>2</sup> the rate increased to 4.5 percent in 2001.

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Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001

| State | Waiver No. | Participant Category         | 1992    | 1993    | 1994   | 1995   | 1996   | 1997   | 1998   | 1999   | 2000    | 2001   |
|-------|------------|------------------------------|---------|---------|--------|--------|--------|--------|--------|--------|---------|--------|
| AK    | 00260      | MR/DD                        |         |         |        | 90     | 138    | 343    | 427    | 505    | 694     | 797    |
| AK    | 00261      | Aged                         |         |         | 43     | 127    | 206    | 415    | 529    | 712    | 925     | 1,128  |
| AK    | 00262      | Disabled/Physically Disabled |         |         |        | 22     | 66     | 88     | 145    | 345    | 498     | 664    |
| AK    | 00263      | MR/DD                        |         |         | 11     | 35     | 58     | 71     | 74     | 84     | 112     | 149    |
| AL    | 00001      | MR/DD                        | 1,931   | 2,064 * | 2,293  | 2,782  | 3,181  | 3,579  | 3,739  | 3,994  | 4,036   | 4,292  |
| AL    | 00068      | Aged/Disabled                | 6,975   | 6,790   | 6,801  | 6,513  | 6,253  | 6,455  | 6,688  | 5,826  | 5,845   | 6,881  |
| AL    | 00241      | Disabled/Physically Disabled |         | 93      | 175    | 348    | 356    | 362    | 351    | 335    | 360     | 391    |
| AL    | 00245      | MR/DD                        |         | 18      |        |        |        |        |        |        |         |        |
| AL    | 40162      | Aged/Disabled                | 20      | 20 *    | 20 *   |        |        |        |        |        |         |        |
| AR    | 00188      | MR/DD                        | 522 *   | 477     | 454    | 465 *  | 473 *  | 480    | 1,104  | 1,565  | 1,565 * | 2,302  |
| AR    | 00195      | Aged                         | 966     | 2,416   | 3,500  | 8,349  | 7,626  | 7,875  | 8,015  | 8,158  | 8,360   | 8,430  |
| AR    | 00312      | Disabled/Physically Disabled |         |         |        |        |        |        | 137    | 298    | 482     | 715    |
| CA    | 00129      | MR/DD                        | 3,344   | 13,200  | 16,006 | 27,194 | 29,314 | 35,105 |        |        |         |        |
| CA    | 00139      | Disabled/Physically Disabled | 36 *    | 40      | 45     | 44 *   | 43     | 133    | 348    | 473    | 449     | 449 *  |
| CA    | 00141      | Aged/Disabled                | 8,211 * | 8,257   | 8,094  | 8,022  | 8,076  | 8,004  | 7,890  | 8,489  | 10,781  | 12,070 |
| CA    | 00164      | Disabled/Physically Disabled | 195     | 255     | 294    | 316    | 348    | 299    | 243    |        |         |        |
| CA    | 00183      | AIDS/ARC                     | 810 *   | 1,847   | 2,538  | 2,962  | 3,025  | 2,672  | 2,497  | 2,619  | 2,518   | 2,453  |
| CA    | 348        | MR/DD                        |         |         |        |        |        |        | 34,212 | 30,205 | 30,602  | 35,372 |
| CA    | 40136      | Aged/Disabled                | 7 *     | 7       | 7      | 7      | 5      | 6      | 24     | 62     | 193     | 193 *  |
| CO    | 00006      | Aged/Disabled                | 3,209   | 3,606 * | 4,099  | 4,913  | 6,397  | 8,528  | 10,018 | 11,481 | 13,006  | 14,082 |
| CO    | 00007      | MR/DD                        | 2,281   | 2,714   | 3,105  | 3,409  | 3,455  | 3,420  | 3,472  | 3,342  | 3,466   | 3,648  |
| CO    | 00199      | MR/DD                        | 131     | 131 *   | 131 *  | 86 *   |        |        |        |        |         |        |
| CO    | 00211      | AIDS/ARC                     | 99      | 107     | 118    | 114    | 152    | 146    | 128    | 144    | 119     | 90     |
| CO    | 00268      | Mental Health                |         |         |        | 79     | 220 *  | 448    | 1,183  | 1,244  | 1,305   | 1,717  |
| CO    | 00288      | TBI/Head Injury              |         |         |        |        | 32     | 124    | 143    | 210    | 257     | 310    |
| CO    | 00293      | MR/DD                        |         |         |        |        | 728    | 954    | 2,003  | 2,602  | 2,959   | 2,975  |
| CO    | 00305      | MR/DD                        |         |         |        |        |        | 210    | 237    | 256    | 260     | 264    |
| CO    | 40157      | Children (Special Care)      | 188     | 219     | 222    | 235    | 216    | 215    | 184    | 331    | 439     | 645    |
| CO    | 40179      | MR/DD                        |         |         |        |        | 207    | 197    | 203    | 168    | 208     |        |
| CO    | 40180      | MR/DD                        |         |         |        |        | 20     | 24     | 59     | 149    | 171     | 194    |
| CT    | 00140      | Aged/Disabled                | 2,826   | 3,417   | 5,111  | 6,053  | 5,126  | 6,199  | 8,090  | 8,978  | 9,476   | 9,925  |
| CT    | 00153      | MR/DD                        | 2,019   | 2,160   | 2,260  | 2,577  | 2,925  | 3,288  | 3,852  | 8,786  | 4,871   | 5,215  |
| CT    | 00301      | Disabled/Physically Disabled |         |         |        |        |        | 61     | 128    | 198    | 264     | 340    |
| CT    | 302        | TBI/Head Injury              |         |         |        |        |        |        |        |        | 78      | 116    |
| CT    | 40110      | MR/DD                        | 79      | 87      | 82     | 74     | 75     | 81     | 69     | 137    | 130     | 136    |
| DC    | 00307      | MR/DD                        |         |         |        |        |        |        |        | 29     | 65      | 162    |
| DC    | 00334      | Aged/Disabled                |         |         |        |        |        |        |        |        | 79      | 122    |
| DE    | 00009      | MR/DD                        | 288     | 306     | 337    | 344 *  | 367    | 392    | 386    | 490    | 527     | 573    |
| DE    | 00136      | Aged/Disabled                | 413     | 489     | 367    | 359    | 363    | 389    | 405    | 734    | 805     | 866    |
| DE    | 332        | Aged                         |         |         |        |        |        |        |        |        |         | 114    |
| DE    | 40159      | AIDS/ARC                     | 73 *    | 73      | 88     | 90     | 133    | 177    | 199    | 365    | 438     | 503    |
| FL    | 00116      | Aged/Disabled                | 918     | 1,003   | 1,118  | 1,246  | 1,246  | 1,345  | 1,480  | 1,501  | 1,563   | 1,473  |
| FL    | 00194      | AIDS/ARC                     | 3,650 * | 5,178   | 5,980  | 6,046  | 5,455  | 6,046  | 6,459  | 6,334  | 6,745   | 6,757  |
| FL    | 00280      | Aged/Disabled                |         |         |        | 281    | 458    | 795    | 1,390  | 1,542  | 2,831   | 3,188  |
| FL    | 00294      | MR/DD                        |         |         |        |        | 123    | 109    | 101    | 90     | 71      | 18     |
| FL    | 00315      | MR/DD                        |         |         |        |        |        |        |        | 110    | 792     | 1,059  |
| FL    | 01090      | Aged/Disabled                | 7,479   | 5,981   | 7,847  | 9,140  | 10,039 | 7,806  | 13,025 | 13,762 | 13,547  | 13,358 |

\*Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001

| State | Waiver No. | Participant Category         | 1992     | 1993    | 1994     | 1995    | 1996    | 1997   | 1998   | 1999   | 2000   | 2001     |
|-------|------------|------------------------------|----------|---------|----------|---------|---------|--------|--------|--------|--------|----------|
| FL    | 01091      | MR/DD                        | 2,487    | 4,641   | 6,240    | 7,175   | 9,485   | 11,438 | 10,955 | 13,116 | 17,974 | 24,712   |
| FL    | 01879      | TBI/Head Injury              |          |         |          |         |         |        |        |        | 88     | 119      |
| FL    | 40166      | Children (Special Care)      | 2        | 3       | 2        | 3       | 1       | 1      | 3      | 3      | 4      | 5        |
| GA    | 00112      | Aged/Disabled                | 10,676   | 13,102  | 12,904   | 12,625  | 11,247  | 12,810 | 12,502 | 14,070 | 12,636 | 15,821   |
| GA    | 00175      | MR/DD                        | 342      | 402     | 494      | 793     | 1,417   | 2,117  | 2,091  | 2,085  | 2,889  | 3,189    |
| GA    | 323        | MR/DD                        |          |         |          |         |         |        |        |        | 756    | 1,347    |
| GA    | 40116      | Children (Special Care)      | 68       | 125     | 89       | 94      | 142     | 60     | 114    | 145    | 110    | 142      |
| GA    | 40170      | TBI/Head Injury              |          | 21      | 37       | 41      | 85 *    | 151    | 336    | 326    | 324    | 324 *    |
| HI    | 00013      | MR/DD                        | 403 *    | 502     | 512      | 510     | 557 *   | 625    | 797    | 948    | 1,071  | 1,306    |
| HI    | 00014      | Aged/Disabled                | 57       | 54      | 56       | 49      | 58      | 61     | 133    | 288    | 356    | 677      |
| HI    | 00057      | Aged/Disabled                | 349      | 361     | 362      | 361     | 346     | 356    | 536    | 635    | 762    | 832      |
| HI    | 00182      | AIDS/ARC                     | 46       | 59      | 104      | 87 *    | 103     | 87     | 76     | 66     | 72     | 89       |
| HI    | 40195      | Children (Special Care)      |          |         |          |         |         |        |        |        |        | 10       |
| IA    | 00213      | AIDS/ARC                     |          | 3       | 20 *     | 33 *    | 14 *    | 20     | 18     | 17     | 18     | 30       |
| IA    | 00242      | MR/DD                        |          | 518 *   | 515      | 2,557 * | 3,000 * | 3,206  | 3,670  | 4,267  | 4,798  | 5,447    |
| IA    | 00299      | TBI/Head Injury              |          |         |          |         |         | 19     | 55     | 55     | 103    | 203      |
| IA    | 00345      | Disabled/Physically Disabled |          |         |          |         |         |        |        |        | 21     | 118      |
| IA    | 40111      | MR/DD                        | 179      | 1,645 * | 1,858    | 779 *   | 709 *   | 335    | 541    | 748    | 1,048  | 1,259    |
| IA    | 40155      | Aged                         | 18 *     | 59      | 373 *    | 1,201 * | 1,871 * | 2,445  | 3,218  | 4,068  | 4,670  | 5,271    |
| ID    | 07690      | Aged/Disabled                | 1,165    | 1,408   | 1,674    | 1,111   | 1,465   | 890    | 916    | 1,000  | 2,989  | 4,489    |
| ID    | 07691      | MR/DD                        |          |         |          | 392     | 408     | 402    | 418    | 512    | 755    | 1,033    |
| ID    | 40187      | MR/DD                        |          |         |          |         |         | 13     | 26     | 39     | 43     | 58       |
| ID    | 40189      | TBI/Head Injury              |          |         |          |         |         |        |        |        | 5      | 12       |
| IL    | 00142      | Disabled/Physically Disabled | 9,169 *  | 9,491   | 10,472   | 10,944  | 12,547  | 12,205 | 12,363 | 13,026 | 14,563 | 16,148   |
| IL    | 00143      | Aged                         | 12,871 * | 13,704  | 14,800 * | 15,450  | 15,718  | 16,563 | 17,317 | 17,579 | 19,231 | 19,574   |
| IL    | 00202      | AIDS/ARC                     | 348      | 775     | 1,146    | 1,170 * | 993     | 1,276  | 1,269  | 1,245  | 1,280  | 1,326    |
| IL    | 00204      | MR/DD                        | 289      | 424     | 529      |         |         |        |        |        |        |          |
| IL    | 00278      | Children (Special Care)      |          |         |          | 78      | 109     | 267    | 269    | 581    | 313    | 350      |
| IL    | 00318      | MR/DD                        |          |         |          |         |         |        | 230    | 0      |        |          |
| IL    | 00326      | Aged/Disabled                |          |         |          |         |         |        |        |        | 37     | 147      |
| IL    | 00329      | TBI/Head Injury              |          |         |          |         |         |        |        |        | 193    | 642      |
| IL    | 00350      | MR/DD                        | 1,548    | 1,709   | 1,799    | 3,962   | 5,624   | 6,423  | 6,911  | 6,961  | 6,884  | 8,000    |
| IL    | 40147      | Children (Special Care)      | 241      | 234     | 239      | 203     | 172     | 150 *  |        |        |        |          |
| IN    | 00210      | Aged/Disabled                | 1,210    | 1,971 * | 1,883    | 1,785   | 2,189   | 2,309  | 2,294  | 2,338  | 2,345  | 2,327    |
| IN    | 00249      | MR/DD                        |          | 164     | 440      | 672     | 870     | 1,108  | 1,418  | 1,669  | 2,150  | 2,408    |
| IN    | 40171      | Children (Special Care)      |          | 12      | 23       | 40      | 56      | 119    | 134    | 131    | 172    | 146      |
| IN    | 40197      | TBI/Head Injury              |          |         |          |         |         |        |        |        | 73     | 117      |
| IN    | 4151       | MR/DD                        | 43       | 50      | 46       | 75      | 83      | 94     | 172    | 198    | 198    | 210      |
| KS    | 00018      | Aged/Disabled                | 2,259    | 2,868   | 3,312    | 3,615   | 3,537   | 3,553  |        |        |        |          |
| KS    | 00224      | MR/DD                        | 826      | 1,034   | 1,453    | 1,774   | 2,921   | 4,044  | 5,053  | 5,325  | 5,519  | 6,016    |
| KS    | 00303      | Aged                         |          |         |          |         |         | 4,943  | 6,229  | 6,701  | 7,205  | 7,731    |
| KS    | 00304      | Disabled/Physically Disabled |          |         |          |         |         | 2,680  | 3,822  | 3,822  | 4,589  | 4,657    |
| KS    | 00320      | Mental Health                |          |         |          |         |         |        | 305    | 725    | 996    | 1,116    |
| KS    | 40164      | TBI/Head Injury              | 10       | 38      | 88       | 87 *    | 78      | 126    | 126    | 115    | 113    | 108      |
| KS    | 40165      | Children (Special Care)      | 16       | 23      | 28       | 23      | 25      | 50     | 51     | 54     | 44     | 47       |
| KY    | 00019      | MR/DD                        | 833      | 837     | 831      | 853 *   | 995     |        |        |        |        |          |
| KY    | 00144      | Aged/Disabled                | 3,678 *  | 5,987   | 6,604    | 4,303   | 9,100   | 10,924 | 12,203 | 13,339 | 14,343 | 14,343 * |

\*Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001

| State | Waiver No. | Participant Category         | 1992    | 1993  | 1994    | 1995    | 1996    | 1997    | 1998   | 1999   | 2000   | 2001   |
|-------|------------|------------------------------|---------|-------|---------|---------|---------|---------|--------|--------|--------|--------|
| KY    | 00314      | MR/DD                        |         |       |         |         |         |         | 1,038  | 1,060  | 1,300  | 1,621  |
| KY    | 00333      | TBI/Head Injury              |         |       |         |         |         |         |        | 6      | 46     | 46 *   |
| KY    | 40145      | Children (Special Care)      | 2       | 2     | 2       | 2       | 2       | 2 *     |        |        |        |        |
| KY    | 40146      | Disabled/Physically Disabled | 6 *     | 23    | 23      | 26      | 36      | 38      | 54     | 52     | 56     | 56 *   |
| LA    | 00121      | Aged/Disabled                | 385     | 351   | 330     | 302     | 328     | 328     | 338 *  | 393    | 424    | 463    |
| LA    | 00200      | MR/DD                        | 235     | 1,080 | 1,651   | 2,137   | 2,263   | 2,057   | 2,359  | 2,885  | 3,563  | 4,008  |
| LA    | 00251      | Disabled/Physically Disabled |         | 17    | 18      | 85      | 120     | 117     | 116    | 113    | 112    | 102    |
| LA    | 00257      | Aged/Disabled                |         |       | 146     | 241     | 245     | 234     | 283    | 366    | 458    | 529    |
| MA    | 00059      | Aged                         | 3,014   | 3,334 | 3,500   | 3,506   | 3,622   | 3,690   | 3,472  | 5,132  | 6,053  | 6,042  |
| MA    | 00064      | MR/DD                        | 1,149 * | 4,168 | 7,304   | 7,182   | 8,832   | 9,068   | 10,255 | 11,076 | 11,525 | 11,926 |
| MA    | 00023      | MR/DD                        | 1,684   | 2,324 | 2,807   | 3,035 * | 3,303 * | 3,451   | 3,555  | 10,021 | 4,782  | 5,579  |
| MD    | 00265      | Aged                         |         |       | 10      | 37      | 45      | 47      | 86     | 132    | 157    | 157 *  |
| MD    | 40118      | Children (Special Care)      | 198     | 217   | 242     | 238     | 243     | 235     | 214    | 427    | 198    | 205    |
| ME    | 00088      | Aged                         | 763     | 889   | 989 *   | 946     | 796     | 980     | 1,191  | 1,395  | 1,258  | 1,100  |
| ME    | 00127      | Disabled/Physically Disabled | 124     | 151   | 143     | 184     | 215     | 274     | 281    | 304    | 304    | 289    |
| ME    | 00159      | MR/DD                        | 564     | 635 * | 745     | 876     | 1,041   | 1,134   | 1,365  | 1,624  | 1,843  | 2,055  |
| ME    | 00276      | Disabled/Physically Disabled |         |       |         | 129     | 216     | 259     | 318    | 393    | 415    | 396    |
| MI    | 00167      | MR/DD                        | 2,368   | 2,775 | 3,290   | 3,539   | 5,262   | 5,834   | 7,415  | 8,355  | 7,761  | 7,002  |
| MI    | 00233      | Aged/Disabled                |         | 1,093 | 2,070   | 2,519   | 2,519   | 3,319   | 3,879  | 6,395  | 11,341 | 14,364 |
| MI    | 40119      | MR/DD                        | 164     | 188   | 200     | 205     | 228     | 641     | 379    | 411    | 431    | 408    |
| MN    | 00025      | Aged                         | 3,549   | 4,283 | 5,295 * | 6,324   | 6,697   | 6,989   | 7,306  | 7,653  | 10,019 | 11,333 |
| MN    | 00061      | MR/DD                        | 3,085   | 3,488 | 4,116   | 4,963   | 5,614   | 6,126   | 6,908  | 7,414  | 8,306  | 13,888 |
| MN    | 00166      | Disabled/Physically Disabled | 1,080   | 1,347 | 1,759   | 2,143   | 2,357   | 2,709   | 3,008  | 3,493  | 4,077  | 4,971  |
| MN    | 00212      | MR/DD                        | 36      | 63    | 94      | 105     | 123     | 124     |        |        |        |        |
| MN    | 40128      | Disabled/Physically Disabled | 98      | 128   | 147     | 175     | 178     | 163     | 145    | 132    | 126    | 129    |
| MN    | 40169      | TBI/Head Injury              |         | 17    | 77      | 168     | 231     | 283     | 322    | 367    | 393    | 446    |
| MO    | 00026      | Aged                         | 6,603   | 8,838 | 11,765  | 13,908  | 15,931  | 17,432  | 19,133 | 20,821 | 23,207 | 24,307 |
| MO    | 00178      | MR/DD                        | 2,241   | 2,793 | 3,325   | 4,839   | 5,629   | 6,214   | 7,079  | 7,636  | 7,835  | 8,122  |
| MO    | 00197      | AIDS/ARC                     | 111     | 128   | 150     | 201     | 252     | 123     | 97     | 80     | 82     | 77     |
| MO    | 00239      | MR/DD                        | 12      | 14    | 16      | 15      |         |         |        |        |        |        |
| MO    | 00346      | Disabled/Physically Disabled |         |       |         |         |         |         |        |        | 577    | 465    |
| MO    | 40185      | MR/DD                        |         |       |         |         | 7       | 68      | 92     | 143    | 169    | 162    |
| MS    | 40190      | Disabled/Physically Disabled |         |       |         |         |         |         |        | 11     | 18     | 21     |
| MS    | 00130      | Aged/Disabled                | 490     | 448   | 468     |         |         |         |        |        |        |        |
| MS    | 00255      | Disabled/Physically Disabled |         |       | 34      | 91      | 162     | 127     | 129    | 127    | 170    | 330    |
| MS    | 00272      | Aged/Disabled                |         |       |         | 667     | 1,227   | 1,703 * | 2,210  | 2,540  | 3,954  | 6,951  |
| MS    | 00282      | MR/DD                        |         |       |         |         | 44      | 206     | 244    | 348    | 516    | 878    |
| MT    | 00148      | Aged/Disabled                | 682     | 680   | 801     | 1,069   | 1,185   | 1,180   | 1,386  | 1,514  | 1,626  | 1,722  |
| MT    | 00208      | MR/DD                        | 455     | 508   | 540     | 654     | 856     | 959     | 970    | 980    | 1,264  | 1,343  |
| MT    | 00232      | MR/DD                        |         | 27    | 50      |         |         |         |        |        |        |        |
| NC    | 00132      | Aged/Disabled                | 3,845   | 4,567 | 5,374   | 6,501 * | 7,648   | 9,106   | 10,141 | 11,159 | 11,427 | 12,187 |
| NC    | 00151      | MR/DD                        | 996     | 1,137 | 1,289 * | 2,745   | 3,207   | 4,035   | 4,203  | 5,006  | 5,650  | 4,935  |
| NC    | 00289      | AIDS/ARC                     |         |       |         |         | 8       | 24      | 30     | 22     | 27     | 36     |
| NC    | 40141      | Children (Special Care)      | 50      | 44    | 41      | 50      | 108     | 140     | 225    | 252    | 281    | 387    |
| ND    | 00037      | MR/DD                        | 1,334   | 1,423 | 1,579   | 1,636   | 1,754   | 1,777   | 1,797  | 1,845  | 1,927  | 1,982  |
| ND    | 00054      | Aged/Disabled                | 428     | 399 * | 369     | 312     | 300     | 300     | 329    | 347    | 380    | 453    |
| ND    | 00273      | TBI/Head Injury              |         |       |         | 9       | 11      | 12      | 13     | 14     | 13     | 21     |

\*Estimate for missing data

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Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001

| State | Waiver No. | Participant Category         | 1992     | 1993    | 1994    | 1995    | 1996    | 1997   | 1998     | 1999    | 2000    | 2001    |
|-------|------------|------------------------------|----------|---------|---------|---------|---------|--------|----------|---------|---------|---------|
| NE    | 00172      | MR/DD                        | 718      | 838     | 884     | 1,525   | 1,631   | 2,008  | 2,036    | 2,145   | 2,264   | 2,307   |
| NE    | 00187      | Aged/Disabled                | 689      | 731     | 729     | 780     | 819     | 836    | 1,100    | 2,357   | 3,260   | 3,801   |
| NE    | 00246      | Children (Special Care)      |          | 21      | 19      | 5       | 5       | 6      | 17       | 21      | 10      | 8       |
| NE    | 40154      | MR/DD                        | 8        | 188     | 158     | 160     | 226     | 219    | 214      | 251     | 254     | 270     |
| NE    | 40199      | TBI/Head Injury              |          |         |         |         |         |        |          |         |         | 6       |
| NH    | 00053      | MR/DD                        | 1,019 *  | 1,086   | 1,231   | 1,803   | 2,015   | 2,145  | 2,350    | 2,535 * | 2,535 * |         |
| NH    | 0006       | Aged                         | 893      | 762 *   | 1,010   | 1,095   | 1,256   | 1,334  | 1,283    | 1,361   | 1,629   | 1,629 * |
| NH    | 40177      | TBI/Head Injury              |          |         | 31      | 69      | 74      | 68     | 76       | 90 *    | 76      | 76 *    |
| NJ    | 00031      | MR/DD                        | 4,004    | 4,333   | 5,061   | 5,343   | 5,572   | 6,250  | 6,574    | 7,027   | 7,189   | 7,348   |
| NJ    | 00032      | Aged/Disabled                | 2,997    | 3,541   | 3,577   | 3,557 * | 3,651   | 3,693  | 3,896    | 4,191   | 4,295   | 4,431   |
| NJ    | 00160      | AIDS/ARC                     | 1,850 *  | 1,186   | 1,185   | 1,181   | 1,032   | 933    | 901      | 124     | 781     | 705     |
| NJ    | 00244      | Children (Special Care)      |          | 179     | 261     | 236     | 304     | 348    | 325      | 291     | 282     | 196     |
| NJ    | 00285      | Aged/Disabled                |          |         |         |         | 35      | 124    | 320      | 650     | 1,218   | 2,520   |
| NJ    | 40104      | Disabled/Physically Disabled | 56       | 43      | 48      | 46      | 48      | 45     | 45       | 44      | 45      | 44      |
| NJ    | 40123      | Disabled/Physically Disabled | 55       | 52      | 54      | 46      | 46      | 40     | 42       | 38      | 40      | 43      |
| NJ    | 40133      | Disabled/Physically Disabled | 162      | 181     | 209     | 191     | 168     | 161    | 192      | 209     | 220     | 222     |
| NJ    | 40174      | TBI/Head Injury              |          |         | 48      | 98      | 143     | 168    | 156      | 196     | 255     | 255     |
| NM    | 00161      | AIDS/ARC                     | 90       | 110     | 126     | 112     | 98 *    | 70     | 64       | 60      | 51      | 47      |
| NM    | 00169      | Aged/Disabled                | 1,332    | 1,355   | 1,168   | 1,280   | 1,226   | 1,221  | 1,815    | 1,404   | 1,351   | 1,441   |
| NM    | 00173      | MR/DD                        | 282      | 343     | 439     | 1,008   | 1,452 * | 1,597  | 1,636    | 1,752   | 2,142   | 2,434   |
| NM    | 00223      | Children (Special Care)      | 96       | 123     | 107 *   | 118 *   | 95 *    | 116    | 136      | 152     | 175     | 162     |
| NV    | 00125      | MR/DD                        | 177      | 183     | 189     | 325     | 374     | 392    | 589      | 687     | 969     | 1,087   |
| NV    | 00152      | Aged                         | 470      | 670     | 810     | 1,550   | 938     | 955    | 997      | 1,130   | 1,192   | 1,240   |
| NV    | 00267      | Aged                         |          |         |         | 2       | 34      | 65     | 92       | 105     | 138     | 165     |
| NV    | 40150      | Disabled/Physically Disabled | 53       |         |         |         |         |        |          |         |         |         |
| NV    | 4150       | Disabled/Physically Disabled |          | 88      | 100     | 101     | 105     | 102    | 109      | 131     | 149     | 251     |
| NY    | 00034      | Aged/Disabled                | 14,367 * | 15,768  | 17,155  | 17,998  | 18,649  | 20,085 | 19,915   | 19,732  | 20,283  | 20,367  |
| NY    | 00238      | MR/DD                        | 1,884 *  | 10,294  | 18,972  | 25,994  | 27,827  | 31,429 | 33,112   | 35,769  | 39,997  | 42,641  |
| NY    | 00269      | TBI/Head Injury              |          |         |         |         | 54      | 204    | 346      | 476     | 627     | 789     |
| NY    | 00296      | Children (Special Care)      |          |         |         |         | 78      | 221    | 232      | 274     | 374     | 374 *   |
| NY    | 40125      | Children (Special Care)      | 199      | 206     | 218     | 189     | 212     | 144    | 207      | 598     | 651     | 564     |
| NY    | 40148      | Children (Special Care)      | 181      | 205 *   | 173     | 215     | 211     | 206    | 205      |         |         |         |
| NY    | 40163      | MR/DD                        | 198      | 191     | 203     | 226     | 200     | 226    | 217      | 207     | 216     | 210     |
| NY    | 40176      | MR/DD                        |          |         |         | 191     | 207     | 232    | 219      | 203     | 214     | 208     |
| NY    | 40178      | Children (Special Care)      |          |         |         | 79      | 133 *   | 192    | 200      |         |         |         |
| NY    | 40200      | MR/DD                        |          |         |         |         |         |        |          |         |         | 105     |
| OH    | 00180      | AIDS/ARC                     | 111      |         |         |         |         |        |          |         |         |         |
| OH    | 00196      | Disabled/Physically Disabled | 65 *     | 245     | 385 *   | 464     | 527     | 604    |          |         |         |         |
| OH    | 00198      | Aged/Disabled                | 6,594    | 6,061   | 9,327   | 14,732  | 17,826  | 19,828 | 23,082 * | 21,920  | 22,720  | 24,488  |
| OH    | 00207      | Disabled/Physically Disabled | 1,162    | 1,767   | 2,373   | 2,939 * | 3,333   | 4,092  |          |         |         |         |
| OH    | 00218      | MR/DD                        | 600 *    | 21      | 66      | 220     | 242     | 249    | 349 *    | 412 *   |         |         |
| OH    | 00231      | MR/DD                        | 413      | 1,338   | 2,398   | 2,509   | 2,446   | 2,511  | 2,502    | 2,703   | 2,675   | 2,755   |
| OH    | 00291      | MR/DD                        |          |         |         |         |         |        | 1,538    | 2,782   | 2,901   | 2,902   |
| OH    | 00337      | Disabled/Physically Disabled |          |         |         |         |         |        |          | 6,046   | 6,505   | 6,993   |
| OK    | 00179      | MR/DD                        | 1,172 *  | 1,346 * | 1,707 * | 1,918   | 2,104   | 2,355  | 2,488    | 2,717   | 2,884   | 3,076   |
| OK    | 00234      | MR/DD                        | 30 *     | 63 *    | 86 *    | 93      | 100     | 104    | 123      | 137 *   |         |         |
| OK    | 00256      | Aged/Disabled                |          |         | 36 *    | 191     | 618     | 2,180  | 6,117    | 8,841   | 15,632  | 15,519  |

\*Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001

| State | Waiver No. | Participant Category         | 1992   | 1993    | 1994    | 1995   | 1996     | 1997    | 1998   | 1999   | 2000    | 2001     |
|-------|------------|------------------------------|--------|---------|---------|--------|----------|---------|--------|--------|---------|----------|
| OK    | 00343      | MR/DD                        |        |         |         |        |          |         |        |        | 224     | 589      |
| OK    | 00351      | MR/DD                        |        |         |         |        |          |         |        |        | 61      | 247      |
| OR    | 00117      | MR/DD                        |        | 2,018   | 2,249   | 2,490  | 2,525    | 2,562   | 3,985  | 5,213  | 5,688   | 5,821    |
| OR    | 00185      | Aged/Disabled                | 1,471  | 17,550  | 18,982  | 20,561 | 21,284 * | 23,103  | 22,596 | 26,410 | 29,205  | 31,661   |
| PA    | 00147      | MR/DD                        | 15,359 | 3,878   | 4,831 * | 5,495  | 5,911    | 8,497   | 9,822  | 10,864 | 11,588  | 11,588 * |
| PA    | 00192      | AIDS/ARC                     | 2,705  | 147     | 173     | 237    | 231      | 180     | 116    | 43     | 180 *   | 180 *    |
| PA    | 00235      | MR/DD                        | 68     | 31      | 66      | 80     | 98       | 109     | 133 *  | 152 *  | 187     | 248      |
| PA    | 00277      | Disabled/Physically Disabled | 20     |         |         |        |          | 701     | 1,570  | 1,906  | 2,053   | 1,701    |
| PA    | 00279      | Aged                         |        |         |         |        | 386      | 1,298 * | 2,016  | 2,982  | 4,563   | 4,563 *  |
| PA    | 00313      | Disabled/Physically Disabled |        |         |         |        |          | 39      | 43     | 42     | 41      | 42       |
| PA    | 00319      | Aged                         |        |         |         |        |          |         | 47     | 80     | 83      | 83 *     |
| PA    | 00324      | MR/DD                        |        |         |         |        |          |         |        | 850    | 1,966   | 1,966 *  |
| PA    | 00354      | MR/DD                        |        |         |         |        |          |         |        |        | 2,400   | 2,400 *  |
| PA    | 40144      | Children (Special Care)      |        | 63      | 55      | 43     | 37       | 29      | 29     | 27     | 31      | 47       |
| RI    | 00040      | Aged/Disabled                | 80     |         | 1,210   | 1,421  | 1,542    | 1,569   | 1,655  | 1,704  | 1,752 * | 1,752 *  |
| RI    | 00162      | MR/DD                        | 690 *  | 1,193   | 1,262   | 1,275  | 2,138    | 2,592   | 2,717  | 2,833  | 3,192 * | 3,192 *  |
| RI    | 00176      | Aged                         | 1,101  | 1,112 * | 364     | 428    | 503 *    | 497     | 500    | 600    | 548 *   | 548 *    |
| RI    | 00335      | MR/DD                        | 50     | 65      |         |        |          |         |        |        | 49 *    |          |
| RI    | 40126      | Disabled/Physically Disabled |        | 47      | 49      | 55     | 57       | 55      | 55     | 58     | 58 *    | 58 *     |
| RI    | 40153      | Children (Special Care)      | 40     | 55 *    | 60      |        |          |         |        |        |         |          |
| SC    | 00104      | Aged/Disabled                | 32 *   | 5,680   | 6,252   | 6,762  | 7,658    | 8,754   | 10,596 | 14,361 | 14,487  | 14,431   |
| SC    | 00186      | AIDS/ARC                     | 5,022  | 494     | 594     | 634    | 637      | 761     | 913    | 1,073  | 1,114   | 1,114 *  |
| SC    | 00237      | MR/DD                        | 420    | 686     | 1,167   | 1,696  | 2,525    | 3,577   | 3,987  | 4,242  | 4,544   | 4,631    |
| SC    | 00284      | TBI/Head Injury              | 271    |         |         |        | 100      | 161     | 261    | 316    | 378     | 452      |
| SC    | 40140      | Children (Special Care)      | 1      | 1       |         |        |          |         |        |        |         |          |
| SD    | 40181      | Disabled/Physically Disabled |        |         |         | 22     | 27       | 28      | 27     | 32     | 35      | 35       |
| SD    | 00044      | MR/DD                        | 923    | 1,023   | 1,081   | 1,259  | 1,384    | 1,452   | 1,619  | 1,764  | 1,808   | 1,944    |
| SD    | 00189      | Aged                         | 214    | 270     | 309     | 311    | 315      | 363     | 409    | 522    | 363     | 688      |
| SD    | 00264      | Disabled/Physically Disabled |        |         |         | 23     | 38       | 45      | 51     | 52     | 62      | 82       |
| SD    | 00338      | Aged                         |        |         |         |        |          |         |        | 269    | 294     | 317      |
| TN    | 00062      | Aged/Disabled                |        | 225 *   | 249     | 357    | 385      | 388     | 385    | 390    | 394     | 394 *    |
| TN    | 00128      | MR/DD                        | 238    | 684     | 975 *   | 1,158  | 2,577    | 3,335   | 4,063  | 4,348  | 4,375   | 4,311    |
| TN    | 00248      | Aged/Disabled                | 637    |         |         |        | 8        | 12      | 105    | 118    | 141     | 141 *    |
| TN    | 00357      | MR/DD                        |        |         |         |        |          |         |        |        |         | 126      |
| TN    | 40132      | MR/DD                        |        |         |         |        |          |         |        |        |         |          |
| TX    | 00110      | MR/DD                        | 106    | 176     | 196     | 215    | 227      |         |        |        |         |          |
| TX    | 00181      | Children (Special Care)      | 964    | 1,320   | 2,314 * | 2,746  | 2,905    | 3,696   | 4,574  | 4,360  | 4,493   | 5,023    |
| TX    | 00221      | MR/DD                        | 277    | 464     | 542     | 645    | 650      | 561     | 895    | 926    | 1,192   | 1,147    |
| TX    | 00240      | MR/DD                        | 387    | 623     | 652     | 721    | 870      | 880     | 1,027  | 1,053  | 1,323   | 1,508    |
| TX    | 00266      | Aged/Disabled                |        | 21      | 95      | 142    | 154      | 171     | 170    | 131    | 126     | 122      |
| TX    | 00281      | Disabled/Physically Disabled |        |         | 450     | 450    | 6,802    | 24,191  | 24,305 | 27,978 | 32,617  | 30,895   |
| TX    | 00330      | MR/DD                        |        |         |         |        | 53       | 95      | 101    | 100    | 101     | 105      |
| UT    | 00158      | MR/DD                        |        |         |         |        |          |         |        | 733    | 733 *   | 2,122    |
| UT    | 00247      | Aged                         | 1,495  | 1,492   | 1,602   | 1,696  | 2,112    | 2,315   | 2,602  | 2,848  | 3,187   | 3,387    |
| UT    | 00292      | TBI/Head Injury              |        | 60      | 118     | 261 *  | 389      | 466     | 562    | 574    | 786     | 809      |
| UT    | 00331      | Disabled/Physically Disabled |        |         |         |        |          | 26 *    | 27     | 37     | 50      | 70       |
| UT    | 40183      | Children (Special Care)      |        |         |         | 30     | 40       | 53      | 53     | 60     | 89      | 119      |

\*Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 1A : Medicaid 1915(c) Waivers Annual Unduplicated Participants by Waiver, 1992 - 2001**

| State     | Waiver No. | Participant Category         | 1992           | 1993           | 1994           | 1995           | 1996           | 1997           | 1998           | 1999           | 2000           | 2001           |
|-----------|------------|------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| VA        | 00048      | Aged/Disabled                | 7,676          | 8,277          | 8,628          | 9,337          | 10,123         | 10,885         | 10,770         | 10,514         | 10,065         | 9,609          |
| VA        | 00225      | MR/DD                        | 2              | 2 *            | 2 *            |                |                |                |                |                |                |                |
| VA        | 00227      | MR/DD                        | 421            | 637            | 673            | 1,130          | 1,598          | 1,768          | 3,172          | 3,650          | 4,720          | 5,080          |
| VA        | 00306      | Aged/Disabled                |                |                |                |                |                |                | 1,078          | 1,259          | 88             |                |
| VA        | 00321      | Aged                         |                |                |                |                |                |                |                | 62             | 99             | 127            |
| VA        | 00358      | MR/DD                        |                |                |                |                |                |                |                |                |                | 14             |
| VA        | 40149      | Disabled/Physically Disabled | 122            | 133            | 144            | 182            | 183            | 183            | 160            | 235            | 246            | 280            |
| VA        | 40160      | AIDS/ARC                     | 193            | 321            | 231            | 564            | 653            | 636            | 564            | 523            | 459            | 415            |
| VT        | 00163      | Aged/Disabled                | 372            | 412            | 483            | 310            | 621            | 656            | 820            | 871            | 990            | 1,117          |
| VT        | 04790      | MR/DD                        | 457            | 570            | 721            | 889            | 1,060          | 1,342          | 1,488          | 1,553          | 1,684          | 1,800          |
| VT        | 04791      | Mental Health                | 151            | 175 *          | 146 *          | 143 *          | 158            | 179            | 214            | 182            | 193            | 263            |
| VT        | 40182      | TBI/Head Injury              |                |                |                | 22             | 33             | 38             | 40             | 43             | 50             | 500            |
| VT        | 40186      | Aged/Disabled                |                |                |                |                |                | 51             | 113            | 143            | 150            | 195            |
| WA        | 00049      | Aged/Disabled                | 6,755          | 6,614          | 6,074 *        | 6,504 *        | 10,580         | 14,959         | 20,439         | 25,718         | 29,178         | 30,519         |
| WA        | 00050      | MR/DD                        | 1,966          | 2,117          | 2,487          | 3,124          | 3,459          | 4,259          | 5,645          | 7,749          | 9,040          | 9,212          |
| WA        | 00203      | MR/DD                        | 61             | 61             | 84             | 81             | 81 *           | 73             | 69             | 10             | 8              |                |
| WA        | 00206      | AIDS/ARC                     | 33             | 86             | 69             | 54             | 61             | 64             | 49             | 35             | 6              |                |
| WA        | 40143      | MR/DD                        | 3              | 2              | 2              | 1              | 1 *            | 1 *            | 0              | 0              |                |                |
| WI        | 00154      | Aged/Disabled                | 6,129          | 7,525          | 8,326          | 9,369          | 10,670         | 11,791         | 12,895         | 13,900         | 13,884         | 12,510         |
| WI        | 00229      | MR/DD                        | 1,641          | 1,885          | 2,659          | 4,342          | 5,933          | 7,021          | 8,069          | 8,700          | 9,199          | 9,120          |
| WI        | 00230      | MR/DD                        | 329            | 332            | 335            | 353            |                |                |                |                |                |                |
| WI        | 00275      | TBI/Head Injury              |                |                |                | 43             | 99             | 143            | 185            | 205            | 219            | 244            |
| WI        | 00297      | MR/DD                        |                |                |                |                | 55             | 92             | 119            | 184            | 214            | 217            |
| WV        | 00133      | Aged                         | 523            | 637            | 803            | 1,007          | 1,282          | 1,438          | 1,639          | 1,814          | 1,910          | 2,320          |
| WV        | 00134      | Aged/Disabled                | 2,400          | 3,222          | 3,084          | 3,493          | 3,861          | 3,819          | 3,498          | 3,470          | 3,272          | 4,420          |
| WY        | 00226      | MR/DD                        | 294            | 375            | 454            | 534            | 583            | 616            | 680            | 730            | 793            | 837            |
| WY        | 00236      | Aged/Disabled                |                |                | 201            | 488            | 740            | 829            | 1,004          | 982            | 953            | 1,113          |
| WY        | 00253      | MR/DD                        |                | 51             | 124            | 187            | 278            | 299            | 370            | 380            | 431            | 513            |
| <b>US</b> |            |                              | <b>235,580</b> | <b>297,088</b> | <b>353,011</b> | <b>418,890</b> | <b>478,822</b> | <b>561,680</b> | <b>619,546</b> | <b>698,046</b> | <b>768,375</b> | <b>832,915</b> |

\* Estimate for missing data  
Empty cells denote inactive program e.g., not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 1B : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants by State, 1992-2001

| STATE | 1992     | 1993    | 1994    | 1995    | 1996     | 1997     | 1998    | 1999    | 2000     | 2001     | % chng 92<br>01 | % chng<br>00-01 |
|-------|----------|---------|---------|---------|----------|----------|---------|---------|----------|----------|-----------------|-----------------|
| AK    |          |         | 56      | 274     | 468      | 917      | 1,175   | 1,646   | 2,229    | 2,738    |                 | 23              |
| AL    | 8,926    | 8,985 * | 9,289 * | 9,643   | 9,790    | 10,396   | 10,778  | 10,155  | 10,241   | 11,564   | 30              | 13              |
| AR    | 1,488    | 2,893   | 3,954   | 8,814   | 8,099    | 8,355    | 9,256   | 10,021  | 10,407   | 11,447   | 669             | 10              |
| CA    | 12,603 * | 23,606  | 26,984  | 38,545  | 40,811   | 46,219   | 45,214  | 41,848  | 44,543   | 50,537 * | 301             | 13              |
| CO    | 5,908    | 6,777   | 7,675   | 8,836   | 11,427   | 14,266   | 17,630  | 19,927  | 22,190   | 23,925   | 305             | 8               |
| CT    | 4,924    | 5,664   | 7,453   | 8,704   | 8,126    | 9,629    | 12,139  | 18,099  | 14,819   | 15,732   | 219             | 6               |
| DC    |          |         |         |         |          |          |         | 29      | 144      | 284      |                 | 97              |
| DE    | 774 *    | 868     | 792     | 793     | 863      | 958      | 990     | 1,589   | 1,770    | 2,056    | 166             | 16              |
| FL    | 14,536   | 16,806  | 21,187  | 23,891  | 26,807   | 27,540   | 33,413  | 36,458  | 43,615   | 50,689   | 249             | 16              |
| GA    | 11,086   | 13,650  | 13,524  | 13,553  | 12,891 * | 15,138   | 15,043  | 16,626  | 16,715   | 20,823 * | 88              | 25              |
| HI    | 855      | 976     | 1,034   | 1,007 * | 1,064    | 1,129    | 1,542   | 1,937   | 2,261    | 2,914    | 241             | 29              |
| IA    | 197 *    | 2,225   | 2,766 * | 4,570 * | 5,594 *  | 6,025    | 7,502   | 9,155   | 10,658   | 12,328   | 6,158           | 16              |
| ID    | 1,165    | 1,408   | 1,674   | 1,503   | 1,873    | 1,305    | 1,360   | 1,551   | 3,792    | 5,592    | 380             | 47              |
| IL    | 24,466   | 26,337  | 28,985  | 31,807  | 35,163   | 36,884 * | 38,359  | 39,392  | 42,501   | 46,187   | 89              | 9               |
| IN    | 1,253    | 2,197   | 2,392   | 2,572   | 3,198    | 3,630    | 4,018   | 4,336   | 4,938    | 5,208    | 316             | 5               |
| KS    | 3,111    | 3,963   | 4,881   | 5,499   | 6,561    | 15,396   | 15,586  | 16,742  | 18,466   | 19,675   | 532             | 7               |
| KY    | 4,519 *  | 6,849   | 7,460   | 5,184   | 10,133   | 10,964   | 13,295  | 14,457  | 15,745   | 16,066 * | 256             | 2               |
| LA    | 620      | 1,448   | 2,145   | 2,765   | 2,956    | 2,736    | 3,096   | 3,757   | 4,557    | 5,102    | 723             | 12              |
| MA    | 4,163 *  | 7,502   | 10,804  | 10,688  | 12,454   | 12,758   | 13,727  | 16,208  | 17,578   | 17,968   | 332             | 2               |
| MD    | 1,882    | 2,541   | 3,059   | 3,310   | 3,591    | 3,733    | 3,855   | 10,580  | 5,137    | 5,941    | 216             | 16              |
| ME    | 1,451    | 1,675 * | 1,877   | 2,135   | 2,268    | 2,647    | 3,155   | 3,716   | 3,820    | 3,840    | 165             | 1               |
| MI    | 2,532    | 4,056   | 5,560   | 6,263   | 8,009    | 9,794    | 11,673  | 15,161  | 19,533   | 21,774   | 760             | 11              |
| MN    | 7,848    | 9,326   | 11,488  | 13,878  | 15,200   | 16,394   | 17,749  | 19,059  | 22,921   | 30,767   | 292             | 34              |
| MO    | 8,967    | 11,773  | 15,256  | 18,963  | 21,819   | 23,837   | 26,401  | 28,691  | 31,888   | 33,154   | 270             | 4               |
| MS    | 490      | 448     | 502     | 758     | 1,433    | 2,036    | 2,583   | 3,015   | 4,640    | 8,159    | 1,565           | 76              |
| MT    | 1,137    | 1,215   | 1,391   | 1,723   | 2,041    | 2,139    | 2,356   | 2,494   | 2,890    | 3,065    | 170             | 6               |
| NC    | 4,891    | 5,748   | 6,704   | 9,296   | 10,971   | 13,305   | 14,599  | 16,439  | 17,385   | 17,545   | 259             | 1               |
| ND    | 1,762    | 1,822 * | 1,948   | 1,957   | 2,065    | 2,089    | 2,139   | 2,206   | 2,320    | 2,456    | 39              | 6               |
| NE    | 1,415    | 1,778   | 1,790   | 2,470   | 2,681    | 3,069    | 3,367   | 4,774   | 5,788    | 6,392    | 352             | 10              |
| NH    | 1,912    | 1,848 * | 2,272   | 2,967   | 3,345    | 3,547    | 3,709   | 3,986 * | 4,240    | 4,240 *  | 122             | 0               |
| NJ    | 9,124    | 9,515   | 10,443  | 10,698  | 10,999   | 11,762   | 12,451  | 12,770  | 14,325   | 15,764   | 73              | 10              |
| NM    | 1,800    | 1,931   | 1,840 * | 2,518 * | 2,871 *  | 3,004    | 3,651   | 3,368   | 3,719    | 4,084    | 127             | 10              |
| NV    | 700      | 941     | 1,099   | 1,978   | 1,451    | 1,514    | 1,787   | 2,053   | 2,448    | 2,743    | 292             | 12              |
| NY    | 16,829   | 26,664  | 36,721  | 44,892  | 47,571 * | 52,939   | 54,653  | 57,259  | 62,362   | 65,258   | 288             | 5               |
| OH    | 8,945    | 9,432   | 14,549  | 20,864  | 24,374   | 27,284   | 27,471  | 33,863  | 34,801   | 37,138   | 315             | 7               |
| OK    | 1,202 *  | 1,409 * | 1,829 * | 2,202   | 2,822    | 4,639    | 8,728   | 11,695  | 18,801   | 19,431   | 1,517           | 3               |
| OR    | 16,830   | 19,568  | 21,231  | 23,051  | 23,809 * | 25,665   | 26,581  | 31,623  | 34,893   | 37,482   | 123             | 7               |
| PA    | 2,873    | 4,119   | 5,125   | 5,855   | 7,016    | 10,853   | 13,776  | 16,946  | 23,092   | 22,818   | 694             | -1              |
| RI    | 1,913 *  | 2,472 * | 2,945   | 3,179   | 4,240    | 4,713    | 4,927   | 5,195   | 5,599 *  | 5,550 *  | 190             | -1              |
| SC    | 5,714    | 6,861   | 8,014   | 9,114   | 10,947   | 13,281   | 15,784  | 20,024  | 20,558   | 20,663   | 262             | 1               |
| SD    | 1,137    | 1,293   | 1,390   | 1,593   | 1,737    | 1,860    | 2,079   | 2,607   | 2,527    | 3,031    | 167             | 20              |
| TN    | 981      | 1,085   | 1,420   | 1,730   | 3,197    | 3,735    | 4,553   | 4,856   | 4,910    | 4,972    | 407             | 1               |
| TX    | 1,628    | 2,428   | 4,053   | 4,704   | 11,434   | 29,594   | 31,072  | 35,281  | 40,585 * | 40,922   | 2,414           | 1               |
| UT    | 1,495    | 1,552   | 1,720   | 1,987   | 2,541    | 2,860    | 3,244   | 3,540   | 4,173    | 4,466    | 199             | 7               |
| VA    | 8,414    | 9,370   | 9,678   | 11,213  | 12,557   | 13,472   | 15,744  | 16,243  | 15,677   | 15,525   | 85              | -1              |
| VT    | 980      | 1,157 * | 1,350 * | 1,364   | 1,872    | 2,266    | 2,675   | 2,792   | 3,067    | 3,875    | 295             | 26              |
| WA    | 8,818    | 8,880   | 8,716   | 9,764   | 14,182 * | 19,356 * | 26,202  | 33,512  | 38,232   | 39,731   | 351             | 4               |
| WI    | 8,099    | 9,742   | 11,320  | 14,107  | 16,757   | 19,047   | 21,268  | 22,989  | 23,516   | 22,091   | 173             | -6              |
| WV    | 2,923    | 3,859   | 3,887   | 4,500   | 5,143    | 5,257    | 5,137   | 5,284   | 5,182    | 6,740    | 131             | 30              |
| WY    | 294      | 426     | 779     | 1,209   | 1,601    | 1,744    | 2,054   | 2,092   | 2,177    | 2,463    | 738             | 13              |
| US    | 235,580  | 297,088 | 353,011 | 418,890 | 478,822  | 561,680  | 619,546 | 698,046 | 768,375  | 832,915  | 254             | 8               |

\*Estimate for missing data  
Empty cell denotes no waivers operating  
Source: CMS Form 372. University of California, San Francisco, 2003.

Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996   | 1997 | 1998 | 1999 | 2000   | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|--------|------|------|------|--------|--------|
| AK    | 00260      | MR/DD                        |        |        |        | 0.15   | 0.23   | 0.56 | 0.70 | 0.82 | 1.11   | 1.26   |
| AK    | 00261      | Aged                         |        |        | 0.07   | 0.21   | 0.34   | 0.68 | 0.86 | 1.15 | 1.47   | 1.78   |
| AK    | 00262      | Disabled/Physically Disabled |        |        | 0.00   | 0.04   | 0.11   | 0.14 | 0.24 | 0.56 | 0.79   | 1.05   |
| AK    | 00263      | MR/DD                        |        |        | 0.02   | 0.06   | 0.10   | 0.12 | 0.12 | 0.14 | 0.18   | 0.23   |
| AL    | 00001      | MR/DD                        | 0.47   | 0.49 * | 0.54   | 0.65   | 0.74   | 0.83 | 0.86 | 0.91 | 0.91   | 0.96   |
| AL    | 00068      | Aged/Disabled                | 1.69   | 1.62   | 1.61   | 1.53   | 1.46   | 1.49 | 1.54 | 1.33 | 1.31   | 1.54   |
| AL    | 00241      | Disabled/Physically Disabled |        | 0.02   | 0.04   | 0.08   | 0.08   | 0.08 | 0.08 | 0.08 | 0.08   | 0.09   |
| AL    | 00245      | MR/DD                        |        | 0.00   |        |        |        |      |      |      |        |        |
| AL    | 40162      | Aged/Disabled                | 0.00   | 0.00 * | 0.00 * |        |        |      |      |      |        |        |
| AR    | 00188      | MR/DD                        | 0.22 * | 0.20   | 0.19   | 0.19 * | 0.19 * | 0.19 | 0.43 | 0.61 | 0.58 * | 0.86   |
| AR    | 00195      | Aged                         | 0.40   | 1.00   | 1.43   | 3.36   | 3.04   | 3.12 | 3.16 | 3.20 | 3.12   | 3.13   |
| AR    | 00312      | Disabled/Physically Disabled |        |        |        |        |        |      | 0.05 | 0.12 | 0.18   | 0.27   |
| CA    | 00129      | MR/DD                        | 0.11   | 0.42   | 0.51   | 0.86   | 0.92   | 1.09 |      |      |        |        |
| CA    | 00139      | Disabled/Physically Disabled | 0.00 * | 0.00   | 0.00   | 0.00 * | 0.00   | 0.00 | 0.01 | 0.01 | 0.01   | 0.01 * |
| CA    | 00141      | Aged/Disabled                | 0.27 * | 0.26   | 0.26   | 0.25   | 0.25   | 0.25 | 0.24 | 0.26 | 0.32   | 0.35   |
| CA    | 00164      | Disabled/Physically Disabled | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01 |      |        |        |
| CA    | 00183      | AIDS/ARC                     | 0.03 * | 0.06   | 0.08   | 0.09   | 0.09   | 0.08 | 0.08 | 0.08 | 0.07   | 0.07   |
| CA    | 348        | MR/DD                        |        |        |        |        |        |      | 1.05 | 0.91 | 0.90   | 1.03   |
| CA    | 40136      | Aged/Disabled                | 0.00 * | 0.00   | 0.00   | 0.00   | 0.00   | 0.00 | 0.00 | 0.00 | 0.01   | 0.01 * |
| CO    | 00006      | Aged/Disabled                | 0.93   | 1.01 * | 1.12   | 1.31   | 1.67   | 2.19 | 2.52 | 2.83 | 3.01   | 3.19   |
| CO    | 00007      | MR/DD                        | 0.66   | 0.76   | 0.85   | 0.91   | 0.90   | 0.88 | 0.87 | 0.82 | 0.80   | 0.83   |
| CO    | 00199      | MR/DD                        | 0.04   | 0.04 * | 0.04 * | 0.02 * |        |      |      |      |        |        |
| CO    | 00211      | AIDS/ARC                     | 0.03   | 0.03   | 0.03   | 0.03   | 0.04   | 0.04 | 0.03 | 0.04 | 0.03   | 0.02   |
| CO    | 00268      | Mental Health                |        |        |        | 0.02   | 0.06 * | 0.12 | 0.30 | 0.31 | 0.30   | 0.39   |
| CO    | 00288      | TBI/Head Injury              |        |        |        |        | 0.01   | 0.03 | 0.04 | 0.05 | 0.06   | 0.07   |
| CO    | 00293      | MR/DD                        |        |        |        |        | 0.19   | 0.25 | 0.50 | 0.64 | 0.68   | 0.67   |
| CO    | 00305      | MR/DD                        |        |        |        |        |        | 0.05 | 0.06 | 0.06 | 0.06   | 0.06   |
| CO    | 40157      | Children (Special Care)      | 0.05   | 0.06   | 0.06   | 0.06   | 0.06   | 0.06 | 0.05 | 0.08 | 0.10   | 0.15   |
| CO    | 40179      | MR/DD                        |        |        |        |        | 0.05   | 0.05 | 0.05 | 0.04 | 0.05   | 0.15   |
| CO    | 40180      | MR/DD                        |        |        |        |        | 0.01   | 0.01 | 0.01 | 0.04 | 0.04   | 0.04   |
| CT    | 00140      | Aged/Disabled                | 0.86   | 1.04   | 1.56   | 1.85   | 1.57   | 1.90 | 2.47 | 2.74 | 2.78   | 2.90   |
| CT    | 00153      | MR/DD                        | 0.62   | 0.66   | 0.69   | 0.79   | 0.89   | 1.01 | 1.18 | 2.68 | 1.43   | 1.52   |
| CT    | 00301      | Disabled/Physically Disabled |        |        |        |        |        | 0.02 | 0.04 | 0.06 | 0.08   | 0.10   |
| CT    | 302        | TBI/Head Injury              |        |        |        |        |        |      |      |      | 0.02   | 0.03   |
| CT    | 40110      | MR/DD                        | 0.02   | 0.03   | 0.03   | 0.02   | 0.02   | 0.02 | 0.02 | 0.04 | 0.04   | 0.04   |
| DC    | 00307      | MR/DD                        |        |        |        |        |        |      |      | 0.06 | 0.11   | 0.28   |
| DC    | 00334      | Aged/Disabled                |        |        |        |        |        |      |      |      | 0.14   | 0.21   |
| DE    | 00009      | MR/DD                        | 0.42   | 0.44   | 0.48   | 0.48 * | 0.51   | 0.53 | 0.52 | 0.65 | 0.67   | 0.72   |
| DE    | 00136      | Aged/Disabled                | 0.60   | 0.70   | 0.52   | 0.50   | 0.50   | 0.53 | 0.54 | 0.97 | 1.02   | 1.09   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996   | 1997 | 1998 | 1999 | 2000 | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|--------|------|------|------|------|--------|
| DE    | 332        | Aged                         |        |        |        |        |        |      |      |      |      | 0.14   |
| DE    | 40159      | AIDS/ARC                     | 0.11 * | 0.10   | 0.12   | 0.13   | 0.18   | 0.24 | 0.27 | 0.48 | 0.56 | 0.63   |
| FL    | 00116      | Aged/Disabled                | 0.07   | 0.07   | 0.08   | 0.09   | 0.09   | 0.09 | 0.10 | 0.10 | 0.10 | 0.09   |
| FL    | 00194      | AIDS/ARC                     | 0.27 * | 0.38   | 0.43   | 0.43   | 0.38   | 0.41 | 0.43 | 0.42 | 0.42 | 0.41   |
| FL    | 00280      | Aged/Disabled                |        |        |        | 0.02   | 0.03   | 0.05 | 0.09 | 0.10 | 0.18 | 0.19   |
| FL    | 00294      | MR/DD                        |        |        |        |        | 0.01   | 0.01 | 0.01 | 0.01 | 0.00 | 0.00   |
| FL    | 00315      | MR/DD                        |        |        |        |        |        |      | 0.01 | 0.01 | 0.05 | 0.06   |
| FL    | 01090      | Aged/Disabled                | 0.55   | 0.44   | 0.56   | 0.65   | 0.70   | 0.53 | 0.87 | 0.91 | 0.84 | 0.81   |
| FL    | 01091      | MR/DD                        | 0.18   | 0.34   | 0.45   | 0.51   | 0.66   | 0.78 | 0.73 | 0.87 | 1.12 | 1.51   |
| FL    | 01879      | TBI/Head Injury              |        |        |        |        |        |      |      |      | 0.01 | 0.01   |
| FL    | 40166      | Children (Special Care)      | 0.00   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00 | 0.00 | 0.00 | 0.00 | 0.00   |
| GA    | 00112      | Aged/Disabled                | 1.58   | 1.89   | 1.83   | 1.75   | 1.53   | 1.71 | 1.64 | 1.81 | 1.53 | 1.89   |
| GA    | 00175      | MR/DD                        | 0.05   | 0.06   | 0.07   | 0.11   | 0.19   | 0.28 | 0.27 | 0.27 | 0.35 | 0.38   |
| GA    | 323        | MR/DD                        |        |        |        |        |        |      |      |      | 0.09 | 0.16   |
| GA    | 40116      | Children (Special Care)      | 0.01   | 0.02   | 0.01   | 0.01   | 0.02   | 0.01 | 0.01 | 0.02 | 0.01 | 0.02   |
| GA    | 40170      | TBI/Head Injury              |        | 0.00   | 0.01   | 0.01   | 0.01 * | 0.02 | 0.04 | 0.04 | 0.04 | 0.04 * |
| HI    | 00013      | MR/DD                        | 0.35 * | 0.43   | 0.43   | 0.43   | 0.47 * | 0.52 | 0.67 | 0.80 | 0.88 | 1.07   |
| HI    | 00014      | Aged/Disabled                | 0.05   | 0.05   | 0.05   | 0.04   | 0.05   | 0.05 | 0.11 | 0.24 | 0.29 | 0.55   |
| HI    | 00057      | Aged/Disabled                | 0.30   | 0.31   | 0.31   | 0.30   | 0.29   | 0.30 | 0.45 | 0.54 | 0.63 | 0.68   |
| HI    | 00182      | AIDS/ARC                     | 0.04   | 0.05   | 0.09   | 0.07 * | 0.09   | 0.07 | 0.06 | 0.06 | 0.06 | 0.07   |
| HI    | 40195      | Children (Special Care)      |        |        |        |        |        |      |      |      |      | 0.01   |
| IA    | 00213      | AIDS/ARC                     |        | 0.00   | 0.01 * | 0.01 * | 0.00 * | 0.01 | 0.01 | 0.01 | 0.01 | 0.01   |
| IA    | 00242      | MR/DD                        |        | 0.18 * | 0.18   | 0.90 * | 1.05 * | 1.12 | 1.28 | 1.49 | 1.64 | 1.86   |
| IA    | 00299      | TBI/Head Injury              |        |        |        |        |        | 0.01 | 0.02 | 0.02 | 0.04 | 0.07   |
| IA    | 00345      | Disabled/Physically Disabled |        |        |        |        |        |      |      |      | 0.01 | 0.04   |
| IA    | 40111      | MR/DD                        | 0.06   | 0.58 * | 0.66   | 0.27 * | 0.25 * | 0.12 | 0.19 | 0.26 | 0.36 | 0.43   |
| IA    | 40155      | Aged                         | 0.01 * | 0.02   | 0.13 * | 0.42 * | 0.66 * | 0.86 | 1.12 | 1.42 | 1.59 | 1.80   |
| ID    | 07690      | Aged/Disabled                | 1.09   | 1.28   | 1.48   | 0.96   | 1.23   | 0.74 | 0.75 | 0.80 | 2.30 | 3.40   |
| ID    | 07691      | MR/DD                        |        |        |        | 0.34   | 0.34   | 0.33 | 0.34 | 0.41 | 0.58 | 0.78   |
| ID    | 40187      | MR/DD                        |        |        |        |        |        | 0.01 | 0.02 | 0.03 | 0.03 | 0.04   |
| ID    | 40189      | TBI/Head Injury              |        |        |        |        |        |      |      |      | 0.00 | 0.01   |
| IL    | 00142      | Disabled/Physically Disabled | 0.79 * | 0.81   | 0.89   | 0.93   | 1.06   | 1.02 | 1.03 | 1.07 | 1.17 | 1.29   |
| IL    | 00143      | Aged                         | 1.11 * | 1.17   | 1.26 * | 1.31   | 1.33   | 1.38 | 1.44 | 1.45 | 1.55 | 1.57   |
| IL    | 00202      | AIDS/ARC                     | 0.03   | 0.07   | 0.10   | 0.10 * | 0.08   | 0.11 | 0.11 | 0.10 | 0.10 | 0.11   |
| IL    | 00204      | MR/DD                        | 0.02   | 0.04   | 0.05   |        |        |      |      |      |      |        |
| IL    | 00278      | Children (Special Care)      |        |        |        | 0.01   | 0.01   | 0.02 | 0.02 | 0.05 | 0.03 | 0.03   |
| IL    | 00318      | MR/DD                        |        |        |        |        |        |      | 0.02 | 0.00 |      |        |
| IL    | 00326      | Aged/Disabled                |        |        |        |        |        |      |      |      | 0.00 | 0.01   |
| IL    | 00329      | TBI/Head Injury              |        |        |        |        |        |      |      |      | 0.02 | 0.05   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996   | 1997   | 1998   | 1999 | 2000 | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|--------|--------|--------|------|------|--------|
| IL    | 00350      | MR/DD                        | 0.13   | 0.15   | 0.15   | 0.33   | 0.47   | 0.54   | 0.57   | 0.57 | 0.55 | 0.64   |
| IL    | 40147      | Children (Special Care)      | 0.02   | 0.02   | 0.02   | 0.02   | 0.01   | 0.01 * |        |      |      |        |
| IN    | 00210      | Aged/Disabled                | 0.21   | 0.35 * | 0.33   | 0.31   | 0.37   | 0.39   | 0.39   | 0.39 | 0.38 | 0.38   |
| IN    | 00249      | MR/DD                        |        | 0.03   | 0.08   | 0.12   | 0.15   | 0.19   | 0.24   | 0.28 | 0.35 | 0.39   |
| IN    | 40171      | Children (Special Care)      |        | 0.00   | 0.00   | 0.01   | 0.01   | 0.02   | 0.02   | 0.02 | 0.03 | 0.02   |
| IN    | 40197      | TBI/Head Injury              |        |        |        |        |        |        |        |      | 0.01 | 0.02   |
| IN    | 4151       | MR/DD                        | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.02   | 0.03   | 0.03 | 0.03 | 0.03   |
| KS    | 00018      | Aged/Disabled                | 0.90   | 1.13   | 1.30   | 1.41   | 1.38   | 1.37   |        |      |      |        |
| KS    | 00224      | MR/DD                        | 0.33   | 0.41   | 0.57   | 0.69   | 1.14   | 1.55   | 1.92   | 2.01 | 2.05 | 2.23   |
| KS    | 00303      | Aged                         |        |        |        |        |        | 1.90   | 2.37   | 2.52 | 2.68 | 2.87   |
| KS    | 00304      | Disabled/Physically Disabled |        |        |        |        |        | 1.03   | 1.45   | 1.44 | 1.70 | 1.73   |
| KS    | 00320      | Mental Health                |        |        |        |        |        |        | 0.12   | 0.27 | 0.37 | 0.41   |
| KS    | 40164      | TBI/Head Injury              | 0.00   | 0.02   | 0.03   | 0.03 * | 0.03   | 0.05   | 0.05   | 0.04 | 0.04 | 0.04   |
| KS    | 40165      | Children (Special Care)      | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.02   | 0.02   | 0.02 | 0.02 | 0.02   |
| KY    | 00019      | MR/DD                        | 0.22   | 0.22   | 0.22   | 0.22 * | 0.26   |        |        |      |      |        |
| KY    | 00144      | Aged/Disabled                | 0.98 * | 1.58   | 1.73   | 1.11   | 2.34   | 2.79   | 3.10   | 3.37 | 3.54 | 3.53 * |
| KY    | 00314      | MR/DD                        |        |        |        |        |        |        | 0.26   | 0.27 | 0.32 | 0.40   |
| KY    | 00333      | TBI/Head Injury              |        |        |        |        |        |        |        | 0.00 | 0.01 | 0.01 * |
| KY    | 40145      | Children (Special Care)      | 0.00   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00 * |        |      |      |        |
| KY    | 40146      | Disabled/Physically Disabled | 0.00 * | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01 | 0.01 * |
| LA    | 00121      | Aged/Disabled                | 0.09   | 0.08   | 0.08   | 0.07   | 0.08   | 0.08   | 0.08 * | 0.09 | 0.09 | 0.10   |
| LA    | 00200      | MR/DD                        | 0.05   | 0.25   | 0.38   | 0.49   | 0.52   | 0.47   | 0.54   | 0.66 | 0.80 | 0.90   |
| LA    | 00251      | Disabled/Physically Disabled |        | 0.00   | 0.00   | 0.02   | 0.03   | 0.03   | 0.03   | 0.03 | 0.03 | 0.02   |
| LA    | 00257      | Aged/Disabled                |        |        | 0.03   | 0.06   | 0.06   | 0.05   | 0.06   | 0.08 | 0.10 | 0.12   |
| MA    | 00059      | Aged                         | 0.50   | 0.55   | 0.58   | 0.58   | 0.59   | 0.60   | 0.56   | 0.83 | 0.95 | 0.95   |
| MA    | 00064      | MR/DD                        | 0.19 * | 0.69   | 1.21   | 1.18   | 1.45   | 1.48   | 1.67   | 1.79 | 1.81 | 1.87   |
| MD    | 00023      | MR/DD                        | 0.34   | 0.47   | 0.56   | 0.60 * | 0.65 * | 0.68   | 0.69   | 1.94 | 0.90 | 1.04   |
| MD    | 00265      | Aged                         |        |        | 0.00   | 0.01   | 0.01   | 0.01   | 0.02   | 0.03 | 0.03 | 0.03 * |
| MD    | 40118      | Children (Special Care)      | 0.04   | 0.04   | 0.05   | 0.05   | 0.05   | 0.05   | 0.04   | 0.08 | 0.04 | 0.04   |
| ME    | 00088      | Aged                         | 0.62   | 0.72   | 0.80 * | 0.76   | 0.64   | 0.79   | 0.96   | 1.11 | 0.98 | 0.85   |
| ME    | 00127      | Disabled/Physically Disabled | 0.10   | 0.12   | 0.12   | 0.15   | 0.17   | 0.22   | 0.23   | 0.24 | 0.24 | 0.22   |
| ME    | 00159      | MR/DD                        | 0.46   | 0.51 * | 0.60   | 0.71   | 0.84   | 0.91   | 1.10   | 1.30 | 1.44 | 1.60   |
| ME    | 00276      | Disabled/Physically Disabled |        |        |        | 0.10   | 0.17   | 0.21   | 0.26   | 0.31 | 0.32 | 0.31   |
| MI    | 00167      | MR/DD                        | 0.25   | 0.29   | 0.35   | 0.37   | 0.55   | 0.60   | 0.76   | 0.85 | 0.78 | 0.70   |
| MI    | 00233      | Aged/Disabled                |        | 0.12   | 0.22   | 0.26   | 0.26   | 0.34   | 0.40   | 0.65 | 1.14 | 1.44   |
| MI    | 40119      | MR/DD                        | 0.02   | 0.02   | 0.02   | 0.02   | 0.02   | 0.07   | 0.04   | 0.04 | 0.04 | 0.04   |
| MN    | 00025      | Aged                         | 0.79   | 0.95   | 1.16 * | 1.37   | 1.44   | 1.49   | 1.55   | 1.60 | 2.03 | 2.28   |
| MN    | 00061      | MR/DD                        | 0.69   | 0.77   | 0.90   | 1.08   | 1.21   | 1.31   | 1.46   | 1.55 | 1.68 | 2.79   |
| MN    | 00166      | Disabled/Physically Disabled | 0.24   | 0.30   | 0.39   | 0.46   | 0.51   | 0.58   | 0.65   | 0.73 | 0.83 | 1.00   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001**

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996 | 1997   | 1998 | 1999   | 2000   | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|------|--------|------|--------|--------|--------|
| MN    | 00212      | MR/DD                        | 0.01   | 0.01   | 0.02   | 0.02   | 0.03 | 0.03   |      |        |        |        |
| MN    | 40128      | Disabled/Physically Disabled | 0.02   | 0.03   | 0.03   | 0.04   | 0.04 | 0.03   | 0.03 | 0.03   | 0.03   | 0.03   |
| MN    | 40169      | TBI/Head Injury              |        | 0.00   | 0.02   | 0.04   | 0.05 | 0.06   | 0.07 | 0.08   | 0.08   | 0.09   |
| MO    | 00026      | Aged                         | 1.27   | 1.69   | 2.23   | 2.61   | 2.97 | 3.22   | 3.52 | 3.81   | 4.14   | 4.32   |
| MO    | 00178      | MR/DD                        | 0.43   | 0.53   | 0.63   | 0.91   | 1.05 | 1.15   | 1.30 | 1.40   | 1.40   | 1.44   |
| MO    | 00197      | AIDS/ARC                     | 0.02   | 0.02   | 0.03   | 0.04   | 0.05 | 0.02   | 0.02 | 0.01   | 0.01   | 0.01   |
| MO    | 00239      | MR/DD                        | 0.00   | 0.00   | 0.00   | 0.00   |      |        |      |        |        |        |
| MO    | 00346      | Disabled/Physically Disabled |        |        |        |        |      |        |      |        | 0.10   | 0.08   |
| MO    | 40185      | MR/DD                        |        |        |        |        | 0.00 | 0.01   | 0.02 | 0.03   | 0.03   | 0.03   |
| MO    | 40190      | Disabled/Physically Disabled |        |        |        |        |      |        |      | 0.00   | 0.00   | 0.00   |
| MS    | 00130      | Aged/Disabled                | 0.19   | 0.17   | 0.18   |        |      |        |      |        |        |        |
| MS    | 00255      | Disabled/Physically Disabled |        |        | 0.01   | 0.03   | 0.06 | 0.05   | 0.05 | 0.05   | 0.06   | 0.12   |
| MS    | 00272      | Aged/Disabled                |        |        |        | 0.25   | 0.45 | 0.62 * | 0.80 | 0.92   | 1.39   | 2.43   |
| MS    | 00282      | MR/DD                        |        |        |        |        | 0.02 | 0.08   | 0.09 | 0.13   | 0.18   | 0.31   |
| MT    | 00148      | Aged/Disabled                | 0.83   | 0.81   | 0.94   | 1.23   | 1.35 | 1.34   | 1.58 | 1.71   | 1.80   | 1.90   |
| MT    | 00208      | MR/DD                        | 0.55   | 0.61   | 0.63   | 0.75   | 0.97 | 1.09   | 1.10 | 1.11   | 1.40   | 1.48   |
| MT    | 00232      | MR/DD                        |        | 0.03   | 0.06   |        |      |        |      |        |        |        |
| NC    | 00132      | Aged/Disabled                | 0.56   | 0.66   | 0.76   | 0.90 * | 1.04 | 1.23   | 1.34 | 1.46   | 1.41   | 1.49   |
| NC    | 00151      | MR/DD                        | 0.15   | 0.16   | 0.18 * | 0.38   | 0.44 | 0.54   | 0.56 | 0.65   | 0.70   | 0.60   |
| NC    | 00289      | AIDS/ARC                     |        |        |        |        | 0.00 | 0.00   | 0.00 | 0.00   | 0.00   | 0.00   |
| NC    | 40141      | Children (Special Care)      | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.02   | 0.03 | 0.03   | 0.03   | 0.05   |
| ND    | 00037      | MR/DD                        | 2.10   | 2.24   | 2.47   | 2.55   | 2.72 | 2.77   | 2.82 | 2.91   | 3.01   | 3.12   |
| ND    | 00054      | Aged/Disabled                | 0.68   | 0.63 * | 0.58   | 0.49   | 0.47 | 0.47   | 0.52 | 0.55   | 0.59   | 0.71   |
| ND    | 00273      | TBI/Head Injury              |        |        |        | 0.01   | 0.02 | 0.02   | 0.02 | 0.02   | 0.02   | 0.03   |
| NE    | 00172      | MR/DD                        | 0.45   | 0.52   | 0.54   | 0.93   | 0.99 | 1.21   | 1.22 | 1.29   | 1.32   | 1.35   |
| NE    | 00187      | Aged/Disabled                | 0.43   | 0.45   | 0.45   | 0.48   | 0.50 | 0.50   | 0.66 | 1.41   | 1.90   | 2.22   |
| NE    | 00246      | Children (Special Care)      |        | 0.01   | 0.01   | 0.00   | 0.00 | 0.00   | 0.01 | 0.01   | 0.01   | 0.00   |
| NE    | 40154      | MR/DD                        | 0.00   | 0.12   | 0.10   | 0.10   | 0.14 | 0.13   | 0.13 | 0.15   | 0.15   | 0.16   |
| NE    | 40199      | TBI/Head Injury              |        |        |        |        |      |        |      |        |        | 0.00   |
| NH    | 00053      | MR/DD                        | 0.91 * | 0.97   | 1.08   | 1.57   | 1.73 | 1.83   | 1.98 | 2.11   | 2.04 * | 2.01 * |
| NH    | 0006.      | Aged                         | 0.80   | 0.68 * | 0.89   | 0.95   | 1.08 | 1.14   | 1.08 | 1.13   | 1.31   | 1.29 * |
| NH    | 40177      | TBI/Head Injury              |        |        | 0.03   | 0.06   | 0.06 | 0.06   | 0.06 | 0.07 * | 0.06   | 0.06 * |
| NJ    | 00031      | MR/DD                        | 0.51   | 0.55   | 0.64   | 0.67   | 0.70 | 0.78   | 0.81 | 0.86   | 0.85   | 0.87   |
| NJ    | 00032      | Aged/Disabled                | 0.38   | 0.45   | 0.45   | 0.45 * | 0.46 | 0.46   | 0.48 | 0.51   | 0.51   | 0.52   |
| NJ    | 00160      | AIDS/ARC                     | 0.24 * | 0.15   | 0.15   | 0.15   | 0.13 | 0.12   | 0.11 | 0.02   | 0.09   | 0.08   |
| NJ    | 00244      | Children (Special Care)      |        | 0.02   | 0.03   | 0.03   | 0.04 | 0.04   | 0.04 | 0.04   | 0.03   | 0.02   |
| NJ    | 00285      | Aged/Disabled                |        |        |        |        | 0.00 | 0.02   | 0.04 | 0.08   | 0.14   | 0.30   |
| NJ    | 40104      | Disabled/Physically Disabled | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01   | 0.01 | 0.01   | 0.01   | 0.01   |
| NJ    | 40123      | Disabled/Physically Disabled | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.00   | 0.01 | 0.00   | 0.00   | 0.01   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996   | 1997 | 1998   | 1999   | 2000   | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|--------|------|--------|--------|--------|--------|
| NJ    | 40133      | Disabled/Physically Disabled | 0.02   | 0.02   | 0.03   | 0.02   | 0.02   | 0.02 | 0.02   | 0.03   | 0.03   | 0.03   |
| NJ    | 40174      | TBI/Head Injury              |        |        | 0.01   | 0.01   | 0.02   | 0.02 | 0.02   | 0.02   | 0.03   | 0.03   |
| NM    | 00161      | AIDS/ARC                     | 0.06   | 0.07   | 0.08   | 0.07   | 0.06 * | 0.04 | 0.04   | 0.03   | 0.03   | 0.03   |
| NM    | 00169      | Aged/Disabled                | 0.84   | 0.84   | 0.71   | 0.76   | 0.72   | 0.71 | 1.04   | 0.81   | 0.74   | 0.79   |
| NM    | 00173      | MR/DD                        | 0.18   | 0.21   | 0.27   | 0.60   | 0.85 * | 0.93 | 0.94   | 1.01   | 1.18   | 1.33   |
| NM    | 00223      | Children (Special Care)      | 0.06   | 0.08   | 0.06 * | 0.07 * | 0.06 * | 0.07 | 0.08   | 0.09   | 0.10   | 0.09   |
| NV    | 00125      | MR/DD                        | 0.13   | 0.13   | 0.13   | 0.21   | 0.23   | 0.23 | 0.34   | 0.38   | 0.48   | 0.52   |
| NV    | 00152      | Aged                         | 0.35   | 0.48   | 0.56   | 1.01   | 0.59   | 0.57 | 0.57   | 0.62   | 0.59   | 0.59   |
| NV    | 00267      | Aged                         |        |        |        | 0.00   | 0.02   | 0.04 | 0.05   | 0.06   | 0.07   | 0.08   |
| NV    | 40150      | Disabled/Physically Disabled | 0.04   |        |        |        |        |      |        |        |        |        |
| NV    | 4150       | Disabled/Physically Disabled |        | 0.06   | 0.07   | 0.07   | 0.07   | 0.06 | 0.06   | 0.07   | 0.07   | 0.12   |
| NY    | 00034      | Aged/Disabled                | 0.79 * | 0.87   | 0.94   | 0.99   | 1.03   | 1.11 | 1.10   | 1.08   | 1.07   | 1.07   |
| NY    | 00238      | MR/DD                        | 0.10 * | 0.57   | 1.04   | 1.43   | 1.53   | 1.73 | 1.82   | 1.97   | 2.11   | 2.24   |
| NY    | 00269      | TBI/Head Injury              |        |        |        |        | 0.00   | 0.01 | 0.02   | 0.03   | 0.03   | 0.04   |
| NY    | 00296      | Children (Special Care)      |        |        |        |        | 0.00   | 0.01 | 0.01   | 0.02   | 0.02   | 0.02 * |
| NY    | 40125      | Children (Special Care)      | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01   | 0.03   | 0.03   | 0.03   |
| NY    | 40148      | Children (Special Care)      | 0.01   | 0.01 * | 0.01   | 0.01   | 0.01   | 0.01 | 0.01   |        |        |        |
| NY    | 40163      | MR/DD                        | 0.01   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01   | 0.01   | 0.01   | 0.01   |
| NY    | 40176      | MR/DD                        |        |        |        | 0.01   | 0.01   | 0.01 | 0.01   | 0.01   | 0.01   | 0.01   |
| NY    | 40178      | Children (Special Care)      |        |        |        | 0.00   | 0.01 * | 0.01 | 0.01   |        |        |        |
| NY    | 40200      | MR/DD                        |        |        |        |        |        |      |        |        |        | 0.01   |
| OH    | 00180      | AIDS/ARC                     | 0.01   |        |        |        |        |      |        |        |        | 0.01   |
| OH    | 00196      | Disabled/Physically Disabled | 0.01 * | 0.02   | 0.03 * | 0.04   | 0.05   | 0.05 |        |        |        |        |
| OH    | 00198      | Aged/Disabled                | 0.60   | 0.55   | 0.84   | 1.32   | 1.60   | 1.77 | 2.06 * | 1.95   | 2.00   | 2.15   |
| OH    | 00207      | Disabled/Physically Disabled | 0.11   | 0.16   | 0.21   | 0.26 * | 0.30   | 0.37 |        |        |        |        |
| OH    | 00218      | MR/DD                        | 0.05 * | 0.00   | 0.01   | 0.02   | 0.02   | 0.02 | 0.03 * | 0.04 * |        |        |
| OH    | 00231      | MR/DD                        | 0.04   | 0.12   | 0.22   | 0.23   | 0.22   | 0.22 | 0.22   | 0.24   | 0.24   | 0.24   |
| OH    | 00291      | MR/DD                        |        |        |        |        |        |      | 0.14   | 0.25   | 0.26   | 0.26   |
| OH    | 00337      | Disabled/Physically Disabled |        |        |        |        |        |      |        | 0.54   | 0.57   | 0.61   |
| OK    | 00179      | MR/DD                        | 0.37 * | 0.42 * | 0.52 * | 0.59   | 0.64   | 0.71 | 0.74   | 0.81   | 0.83   | 0.89   |
| OK    | 00234      | MR/DD                        | 0.01 * | 0.02 * | 0.03 * | 0.03   | 0.03   | 0.03 | 0.04   | 0.04 * |        |        |
| OK    | 00256      | Aged/Disabled                |        |        | 0.01 * | 0.06   | 0.19   | 0.66 | 1.83   | 2.63   | 4.53   | 4.49   |
| OK    | 00343      | MR/DD                        |        |        |        |        |        |      |        |        | 0.06   | 0.17   |
| OK    | 00351      | MR/DD                        |        |        |        |        |        |      |        |        | 0.02   | 0.07   |
| OR    | 00117      | MR/DD                        | 0.50   | 0.67   | 0.73   | 0.79   | 0.79   | 0.79 | 1.21   | 1.57   | 1.66   | 1.68   |
| OR    | 00185      | Aged/Disabled                | 5.17   | 5.79   | 6.19   | 6.55   | 6.64 * | 7.12 | 6.88   | 7.96   | 8.51   | 9.12   |
| PA    | 00147      | MR/DD                        | 0.23   | 0.32   | 0.40 * | 0.46   | 0.49   | 0.71 | 0.82   | 0.91   | 0.94   | 0.94 * |
| PA    | 00192      | AIDS/ARC                     | 0.01   | 0.01   | 0.01   | 0.02   | 0.02   | 0.01 | 0.01   | 0.00   | 0.01 * | 0.01 * |
| PA    | 00235      | MR/DD                        | 0.00   | 0.00   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01 * | 0.01 * | 0.02   | 0.02   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001**

| State | Waiver No. | Participant Category         | 1992   | 1993   | 1994   | 1995   | 1996   | 1997   | 1998 | 1999 | 2000   | 2001   |
|-------|------------|------------------------------|--------|--------|--------|--------|--------|--------|------|------|--------|--------|
| PA    | 00277      | Disabled/Physically Disabled |        |        |        |        | 0.03   | 0.06   | 0.13 | 0.16 | 0.17   | 0.14   |
| PA    | 00279      | Aged                         |        |        |        |        | 0.03   | 0.11 * | 0.17 | 0.25 | 0.37   | 0.37 * |
| PA    | 00313      | Disabled/Physically Disabled |        |        |        |        |        | 0.00   | 0.00 | 0.00 | 0.00   | 0.00   |
| PA    | 00319      | Aged                         |        |        |        |        |        |        | 0.00 | 0.01 | 0.01   | 0.01 * |
| PA    | 00324      | MR/DD                        |        |        |        |        |        |        |      | 0.07 | 0.16   | 0.16 * |
| PA    | 00354      | MR/DD                        |        |        |        |        |        |        |      |      | 0.20   | 0.20 * |
| PA    | 40144      | Children (Special Care)      | 0.01   | 0.01   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00 | 0.00 | 0.00   | 0.00   |
| RI    | 00040      | Aged/Disabled                | 0.69 * | 1.19   | 1.21   | 1.44   | 1.56   | 1.59   | 1.68 | 1.72 | 1.67 * | 1.65 * |
| RI    | 00162      | MR/DD                        | 1.10   | 1.11 * | 1.27   | 1.29   | 2.16   | 2.63   | 2.75 | 2.86 | 3.04 * | 3.01 * |
| RI    | 00176      | Aged                         | 0.05   | 0.06   | 0.37   | 0.43   | 0.51 * | 0.50   | 0.51 | 0.61 | 0.52 * | 0.52 * |
| RI    | 00335      | MR/DD                        |        |        |        |        |        |        |      |      | 0.05 * | 0.52 * |
| RI    | 40126      | Disabled/Physically Disabled | 0.04   | 0.05   | 0.05   | 0.06   | 0.06   | 0.06   | 0.06 | 0.06 | 0.06 * | 0.05 * |
| RI    | 40153      | Children (Special Care)      | 0.03 * | 0.05 * | 0.06   |        |        |        |      |      |        |        |
| SC    | 00104      | Aged/Disabled                | 1.39   | 1.56   | 1.71   | 1.84   | 2.07   | 2.31   | 2.76 | 3.70 | 3.60   | 3.55   |
| SC    | 00186      | AIDS/ARC                     | 0.12   | 0.14   | 0.16   | 0.17   | 0.17   | 0.20   | 0.24 | 0.28 | 0.28   | 0.27 * |
| SC    | 00237      | MR/DD                        | 0.08   | 0.19   | 0.32   | 0.46   | 0.68   | 0.94   | 1.04 | 1.09 | 1.13   | 1.14   |
| SC    | 00284      | TBI/Head Injury              |        |        |        |        | 0.03   | 0.04   | 0.07 | 0.08 | 0.09   | 0.11   |
| SC    | 40140      | Children (Special Care)      | 0.00   | 0.00   | 0.00   |        |        |        |      |      |        |        |
| SC    | 40181      | Disabled/Physically Disabled |        |        |        | 0.01   | 0.01   | 0.01   | 0.01 | 0.01 | 0.01   | 0.01   |
| SD    | 00044      | MR/DD                        | 1.30   | 1.43   | 1.50   | 1.73   | 1.89   | 1.97   | 2.19 | 2.41 | 2.39   | 2.57   |
| SD    | 00189      | Aged                         | 0.30   | 0.38   | 0.43   | 0.43   | 0.43   | 0.49   | 0.55 | 0.71 | 0.48   | 0.91   |
| SD    | 00264      | Disabled/Physically Disabled |        |        |        | 0.03   | 0.05   | 0.06   | 0.07 | 0.07 | 0.08   | 0.11   |
| SD    | 00338      | Aged                         |        |        |        |        |        |        |      | 0.37 | 0.39   | 0.42   |
| TN    | 00062      | Aged/Disabled                | 0.05   | 0.04 * | 0.05   | 0.07   | 0.07   | 0.07   | 0.07 | 0.07 | 0.07   | 0.07 * |
| TN    | 00128      | MR/DD                        | 0.13   | 0.13   | 0.19 * | 0.22   | 0.48   | 0.62   | 0.75 | 0.79 | 0.77   | 0.75   |
| TN    | 00248      | Aged/Disabled                |        |        |        |        | 0.00   | 0.00   | 0.02 | 0.02 | 0.02   | 0.02 * |
| TN    | 00357      | MR/DD                        |        |        |        |        |        |        |      |      |        | 0.02   |
| TN    | 40132      | MR/DD                        | 0.02   | 0.03   | 0.04   | 0.04   | 0.04   |        |      |      |        |        |
| TX    | 00110      | MR/DD                        | 0.05   | 0.07   | 0.13 * | 0.15   | 0.15   | 0.19   | 0.23 | 0.22 | 0.21   | 0.24   |
| TX    | 00181      | Children (Special Care)      | 0.02   | 0.03   | 0.03   | 0.03   | 0.03   | 0.03   | 0.05 | 0.05 | 0.06   | 0.05   |
| TX    | 00221      | MR/DD                        | 0.02   | 0.03   | 0.04   | 0.04   | 0.05   | 0.05   | 0.05 | 0.05 | 0.06   | 0.07   |
| TX    | 00240      | MR/DD                        |        | 0.00   | 0.01   | 0.01   | 0.01   | 0.01   | 0.01 | 0.01 | 0.01   | 0.01   |
| TX    | 00266      | Aged/Disabled                |        |        | 0.02   | 0.02   | 0.36   | 1.25   | 1.23 | 1.40 | 1.56   | 1.45   |
| TX    | 00281      | Disabled/Physically Disabled |        |        |        |        | 0.00   | 0.00   | 0.01 | 0.00 | 0.00   | 0.00   |
| TX    | 00330      | MR/DD                        |        |        |        |        |        |        |      | 0.04 | 0.03 * | 0.10   |
| UT    | 00158      | MR/DD                        | 0.83   | 0.80   | 0.84   | 0.87   | 1.06   | 1.12   | 1.24 | 1.34 | 1.42   | 1.49   |
| UT    | 00247      | Aged                         |        | 0.03   | 0.06   | 0.13 * | 0.19   | 0.23   | 0.27 | 0.27 | 0.35   | 0.36   |
| UT    | 00292      | TBI/Head Injury              |        |        |        |        |        | 0.01 * | 0.01 | 0.02 | 0.02   | 0.03   |
| UT    | 00331      | Disabled/Physically Disabled |        |        |        |        |        |        |      | 0.01 | 0.03   | 0.04   |

\* Estimate for missing data

Empty cells denote inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 2A : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by Waiver, 1992-2001**

| State     | Waiver No. | Participant Category         | 1992        | 1993        | 1994        | 1995        | 1996        | 1997        | 1998        | 1999        | 2000        | 2001        |
|-----------|------------|------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| UT        | 40183      | Children (Special Care)      |             |             |             | 0.02        | 0.02        | 0.03        | 0.03        | 0.03        | 0.04        | 0.05        |
| VA        | 00048      | Aged/Disabled                | 1.20        | 1.28        | 1.32        | 1.41        | 1.52        | 1.62        | 1.59        | 1.53        | 1.42        | 1.34        |
| VA        | 00225      | MR/DD                        | 0.00        | 0.00 *      | 0.00 *      |             |             |             |             |             |             |             |
| VA        | 00227      | MR/DD                        | 0.07        | 0.10        | 0.10        | 0.17        | 0.24        | 0.26        | 0.47        | 0.53        | 0.66        | 0.71        |
| VA        | 00306      | Aged/Disabled                |             |             |             |             |             |             | 0.16        | 0.18        | 0.01        | 0.71        |
| VA        | 00321      | Aged                         |             |             |             |             |             |             |             | 0.01        | 0.01        | 0.02        |
| VA        | 00358      | MR/DD                        |             |             |             |             |             |             |             |             |             | 0.00        |
| VA        | 40149      | Disabled/Physically Disabled | 0.02        | 0.02        | 0.02        | 0.03        | 0.03        | 0.03        | 0.02        | 0.03        | 0.03        | 0.04        |
| VA        | 40160      | AIDS/ARC                     | 0.03        | 0.05        | 0.04        | 0.09        | 0.10        | 0.09        | 0.08        | 0.08        | 0.06        | 0.06        |
| VT        | 00163      | Aged/Disabled                | 0.65        | 0.72        | 0.83        | 0.53        | 1.05        | 1.11        | 1.39        | 1.47        | 1.62        | 1.82        |
| VT        | 04790      | MR/DD                        | 0.80        | 0.99        | 1.24        | 1.52        | 1.80        | 2.28        | 2.52        | 2.62        | 2.76        | 2.94        |
| VT        | 04791      | Mental Health                | 0.26        | 0.30 *      | 0.25 *      | 0.24 *      | 0.27        | 0.30        | 0.36        | 0.31        | 0.32        | 0.43        |
| VT        | 40182      | TBI/Head Injury              |             |             |             | 0.04        | 0.06        | 0.06        | 0.07        | 0.07        | 0.08        | 0.82        |
| VT        | 40186      | Aged/Disabled                |             |             |             |             |             | 0.09        | 0.19        | 0.24        | 0.25        | 0.32        |
| WA        | 00049      | Aged/Disabled                | 1.31        | 1.26        | 1.14 *      | 1.20 *      | 1.91        | 2.66        | 3.59        | 4.47        | 4.94        | 5.10        |
| WA        | 00050      | MR/DD                        | 0.38        | 0.40        | 0.47        | 0.58        | 0.63        | 0.76        | 0.99        | 1.35        | 1.53        | 1.54        |
| WA        | 00203      | MR/DD                        | 0.01        | 0.01        | 0.02        | 0.01        | 0.01 *      | 0.01        | 0.01        |             |             |             |
| WA        | 00206      | AIDS/ARC                     | 0.01        | 0.02        | 0.01        | 0.01        | 0.01        | 0.01        | 0.01        | 0.01        | 0.00        |             |
| WA        | 40143      | MR/DD                        | 0.00        | 0.00        | 0.00        | 0.00        | 0.00 *      | 0.00 *      | 0.00        | 0.00        |             |             |
| WI        | 00154      | Aged/Disabled                | 1.23        | 1.49        | 1.64        | 1.83        | 2.07        | 2.27        | 2.47        | 2.65        | 2.58        | 2.32        |
| WI        | 00229      | MR/DD                        | 0.33        | 0.37        | 0.52        | 0.85        | 1.15        | 1.35        | 1.54        | 1.66        | 1.71        | 1.69        |
| WI        | 00230      | MR/DD                        | 0.07        | 0.07        | 0.07        | 0.07        |             |             |             |             |             |             |
| WI        | 00275      | TBI/Head Injury              |             |             |             | 0.01        | 0.02        | 0.03        | 0.04        | 0.04        | 0.04        | 0.05        |
| WI        | 00297      | MR/DD                        |             |             |             |             | 0.01        | 0.02        | 0.02        | 0.04        | 0.04        | 0.04        |
| WV        | 00133      | Aged                         | 0.29        | 0.35        | 0.44        | 0.55        | 0.70        | 0.79        | 0.91        | 1.00        | 1.06        | 1.29        |
| WV        | 00134      | Aged/Disabled                | 1.33        | 1.77        | 1.69        | 1.91        | 2.11        | 2.10        | 1.93        | 1.92        | 1.81        | 2.45        |
| WY        | 00226      | MR/DD                        | 0.63        | 0.80        | 0.95        | 1.11        | 1.21        | 1.28        | 1.41        | 1.52        | 1.60        | 1.69        |
| WY        | 00236      | Aged/Disabled                |             |             | 0.42        | 1.02        | 1.54        | 1.73        | 2.09        | 2.05        | 1.93        | 2.25        |
| WY        | 00253      | MR/DD                        |             | 0.11        | 0.26        | 0.39        | 0.58        | 0.62        | 0.77        | 0.79        | 0.87        | 1.04        |
| <b>US</b> |            |                              | <b>0.92</b> | <b>1.15</b> | <b>1.36</b> | <b>1.59</b> | <b>1.80</b> | <b>2.10</b> | <b>2.29</b> | <b>2.56</b> | <b>2.72</b> | <b>2.92</b> |

\* Estimate for missing data  
Empty cells denote inactive program e.g., not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 2B : Medicaid 1915(c) HCBS Waivers Annual Unduplicated Participants per 1,000 Population by State, 1992-2001

| STATE | 1992   | 1993   | 1994   | 1995   | 1996   | 1997   | 1998 | 1999   | 2000   | 2001   | % chng<br>92-01 | % chng<br>00-01 |
|-------|--------|--------|--------|--------|--------|--------|------|--------|--------|--------|-----------------|-----------------|
| AK    |        |        | 0.09   | 0.45   | 0.77   | 1.50   | 1.91 | 2.66   | 3.55   | 4.31   |                 | 21              |
| AL    | 2.16   | 2.15 * | 2.20 * | 2.26   | 2.29   | 2.41   | 2.48 | 2.32   | 2.30   | 2.59   | 20              | 13              |
| AR    | 0.62   | 1.19   | 1.61   | 3.55   | 3.23   | 3.31   | 3.65 | 3.93   | 3.89   | 4.25   | 584             | 9               |
| CA    | 0.41 * | 0.76   | 0.86   | 1.22   | 1.28   | 1.44   | 1.38 | 1.26   | 1.31   | 1.46 * | 259             | 12              |
| CO    | 1.71   | 1.90   | 2.10   | 2.36   | 2.99   | 3.67   | 4.44 | 4.91   | 5.13   | 5.42   | 218             | 6               |
| CT    | 1.50   | 1.73   | 2.28   | 2.66   | 2.48   | 2.95   | 3.71 | 5.51   | 4.34   | 4.59   | 206             | 6               |
| DC    |        |        |        |        |        |        |      | 0.06   | 0.25   | 0.50   |                 | 97              |
| DE    | 1.12 * | 1.24   | 1.12   | 1.11   | 1.19   | 1.30   | 1.33 | 2.11   | 2.25   | 2.58   | 131             | 15              |
| FL    | 1.08   | 1.23   | 1.52   | 1.69   | 1.86   | 1.88   | 2.24 | 2.41   | 2.72   | 3.09   | 187             | 14              |
| GA    | 1.64   | 1.97   | 1.92   | 1.88   | 1.75 * | 2.02   | 1.97 | 2.13   | 2.03   | 2.48 * | 52              | 22              |
| HI    | 0.74   | 0.83   | 0.88   | 0.85 * | 0.90   | 0.95   | 1.29 | 1.63   | 1.86   | 2.38   | 222             | 28              |
| IA    | 0.07 * | 0.79   | 0.98 * | 1.61 * | 1.96 * | 2.11   | 2.62 | 3.19   | 3.64   | 4.22   | 5,900           | 16              |
| ID    | 1.09   | 1.28   | 1.48   | 1.29   | 1.58   | 1.08   | 1.11 | 1.24   | 2.92   | 4.23   | 287             | 45              |
| IL    | 2.11   | 2.25   | 2.47   | 2.69   | 2.97   | 3.08 * | 3.18 | 3.25   | 3.42   | 3.70   | 76              | 8               |
| IN    | 0.22   | 0.38   | 0.42   | 0.44   | 0.55   | 0.62   | 0.68 | 0.73   | 0.81   | 0.85   | 285             | 5               |
| KS    | 1.24   | 1.57   | 1.91   | 2.14   | 2.55   | 5.92   | 5.93 | 6.31   | 6.86   | 7.30   | 490             | 6               |
| KY    | 1.20 * | 1.81   | 1.95   | 1.34   | 2.61   | 2.80   | 3.38 | 3.65   | 3.89   | 3.95 * | 228             | 2               |
| LA    | 0.14   | 0.34   | 0.50   | 0.64   | 0.68   | 0.63   | 0.71 | 0.86   | 1.02   | 1.14   | 689             | 12              |
| MA    | 0.69 * | 1.25   | 1.79   | 1.76   | 2.04   | 2.09   | 2.23 | 2.62   | 2.76   | 2.82   | 305             | 2               |
| MD    | 0.38   | 0.51   | 0.61   | 0.66   | 0.71   | 0.73   | 0.75 | 2.05   | 0.97   | 1.11   | 189             | 14              |
| ME    | 1.17   | 1.35 * | 1.51   | 1.72   | 1.82   | 2.13   | 2.54 | 2.97   | 2.99   | 2.98   | 154             | 0               |
| MI    | 0.27   | 0.43   | 0.59   | 0.66   | 0.83   | 1.00   | 1.19 | 1.54   | 1.96   | 2.18   | 712             | 11              |
| MN    | 1.76   | 2.06   | 2.52   | 3.01   | 3.26   | 3.50   | 3.76 | 3.99   | 4.65   | 6.19   | 252             | 33              |
| MO    | 1.73   | 2.25   | 2.89   | 3.56   | 4.07   | 4.41   | 4.85 | 5.25   | 5.69   | 5.89   | 241             | 4               |
| MS    | 0.19   | 0.17   | 0.19   | 0.28   | 0.53   | 0.75   | 0.94 | 1.09   | 1.63   | 2.85   | 1,424           | 75              |
| MT    | 1.38   | 1.45   | 1.63   | 1.98   | 2.32   | 2.43   | 2.68 | 2.83   | 3.20   | 3.39   | 145             | 6               |
| NC    | 0.72   | 0.83   | 0.95   | 1.29   | 1.50   | 1.79   | 1.93 | 2.15   | 2.15   | 2.14   | 200             | 0               |
| ND    | 2.78   | 2.87 * | 3.05   | 3.05   | 3.21   | 3.26   | 3.35 | 3.48   | 3.62   | 3.87   | 39              | 7               |
| NE    | 0.88   | 1.11   | 1.10   | 1.51   | 1.62   | 1.85   | 2.02 | 2.87   | 3.38   | 3.73   | 322             | 10              |
| NH    | 1.71   | 1.64 * | 2.00   | 2.58   | 2.88   | 3.03   | 3.13 | 3.32 * | 3.42   | 3.37 * | 96              | -1              |
| NJ    | 1.17   | 1.21   | 1.32   | 1.35   | 1.38   | 1.46   | 1.53 | 1.57   | 1.70   | 1.86   | 59              | 9               |
| NM    | 1.14   | 1.19   | 1.11 * | 1.49 * | 1.68 * | 1.74   | 2.10 | 1.94   | 2.04   | 2.23   | 96              | 9               |
| NV    | 0.52   | 0.68   | 0.75   | 1.29   | 0.91   | 0.90   | 1.02 | 1.13   | 1.21   | 1.30   | 149             | 7               |
| NY    | 0.93   | 1.47   | 2.02   | 2.48   | 2.62 * | 2.92   | 3.01 | 3.15   | 3.28   | 3.43   | 269             | 5               |
| OH    | 0.81   | 0.85   | 1.31   | 1.87   | 2.18   | 2.44   | 2.45 | 3.01   | 3.06   | 3.27   | 302             | 7               |
| OK    | 0.38 * | 0.44 * | 0.56 * | 0.67   | 0.85   | 1.40   | 2.61 | 3.48   | 5.44   | 5.62   | 1,397           | 3               |
| OR    | 5.66   | 6.45   | 6.92   | 7.34   | 7.43 * | 7.91   | 8.10 | 9.54   | 10.17  | 10.79  | 91              | 6               |
| PA    | 0.24   | 0.34   | 0.43   | 0.49   | 0.58   | 0.90   | 1.15 | 1.41   | 1.88   | 1.86   | 675             | -1              |
| RI    | 1.91 * | 2.47 * | 2.95   | 3.21   | 4.28   | 4.78   | 4.99 | 5.24   | 5.33 * | 5.24 * | 174             | -2              |
| SC    | 1.59   | 1.88   | 2.19   | 2.48   | 2.96   | 3.51   | 4.11 | 5.15   | 5.11   | 5.09   | 221             | 0               |
| SD    | 1.61   | 1.81   | 1.93   | 2.19   | 2.37   | 2.52   | 2.82 | 3.56   | 3.34   | 4.01   | 150             | 20              |
| TN    | 0.20   | 0.21   | 0.27   | 0.33   | 0.60   | 0.70   | 0.84 | 0.89   | 0.86   | 0.87   | 344             | 1               |
| TX    | 0.09   | 0.13   | 0.22   | 0.25   | 0.60   | 1.53   | 1.57 | 1.76   | 1.94 * | 1.92   | 1,984           | -1              |
| UT    | 0.83   | 0.83   | 0.90   | 1.02   | 1.27   | 1.38   | 1.54 | 1.66   | 1.86   | 1.97   | 138             | 6               |
| VA    | 1.32   | 1.44   | 1.48   | 1.69   | 1.88   | 2.00   | 2.32 | 2.36   | 2.21   | 2.16   | 64              | -2              |
| VT    | 1.72   | 2.01 * | 2.33 * | 2.33   | 3.18   | 3.85   | 4.53 | 4.70   | 5.03   | 6.32   | 268             | 26              |
| WA    | 1.71   | 1.69   | 1.63   | 1.80   | 2.56 * | 3.45 * | 4.61 | 5.82   | 6.47   | 6.64   | 287             | 3               |
| WI    | 1.62   | 1.93   | 2.23   | 2.75   | 3.25   | 3.66   | 4.07 | 4.38   | 4.38   | 4.09   | 152             | -7              |
| WV    | 1.62   | 2.12   | 2.13   | 2.46   | 2.82   | 2.90   | 2.84 | 2.92   | 2.87   | 3.74   | 131             | 30              |
| WY    | 0.63   | 0.91   | 1.64   | 2.52   | 3.33   | 3.63   | 4.27 | 4.36   | 4.41   | 4.98   | 687             | 13              |
| U.S.  | 0.92   | 1.15   | 1.36   | 1.59   | 1.80   | 2.10   | 2.29 | 2.56   | 2.72   | 2.92   | 217             | 7               |

\*Estimate for missing data

Empty cell denotes no waiver reported

Source: CMS Form 372. University of California, San Francisco, 2003.

Table 3A : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category, 2001

| State | Waiver No.  | Participant Category         | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other  |
|-------|-------------|------------------------------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|--------|
| AK    | 00260.00    | MR/DD                        | 813             |                             |                   | 363                       | 1,016               | 2      |
| AK    | 00261.00    | Aged                         | 1,108           | 362                         | 362               | 355                       | 199                 | 90     |
| AK    | 00262.00    | Disabled/Physically Disabled | 654             | 143                         | 143               | 183                       | 79                  | 43     |
| AK    | 00263.00    | MR/DD                        | 147             |                             |                   | 130                       | 147                 | 172    |
| AL    | 00001.90.R2 | MR/DD                        |                 | 2,248                       |                   | 96                        | 3,661               | 309    |
| AL    | 00068.91.R1 | Aged/Disabled                | 6,859           |                             |                   | 10,948                    | 261                 | 97     |
| AL    | 00241.90.02 | Disabled/Physically Disabled | 385             |                             |                   | 323                       |                     | 525    |
| AR    | 00188.00    | MR/DD                        | 2,283           | 2,268                       |                   |                           | 69                  | 285    |
| AR    | 00195.00    | Aged                         |                 |                             |                   | 10,131                    | 439                 | 11,492 |
| AR    | 00312.00    | Disabled/Physically Disabled |                 |                             |                   | 714                       |                     | 83     |
| CA    | 00141.90    | Aged/Disabled                | 12,050          | 5,436                       |                   | 4,892                     | 191                 | 4,746  |
| CA    | 00183.00    | AIDS/ARC                     | 2,448           |                             |                   | 1,119                     |                     | 82     |
| CA    | 348         | MR/DD                        |                 | 18,017                      |                   | 6,174                     | 22,649              | 2,576  |
| CO    | 00006.90.R2 | Aged/Disabled                |                 | 2,889                       |                   | 10,596                    | 833                 | 31,357 |
| CO    | 00007.91.R2 | MR/DD                        |                 |                             |                   |                           | 3,648               | 8,560  |
| CO    | 00211.90.02 | AIDS/ARC                     |                 |                             |                   | 77                        |                     | 38     |
| CO    | 00268.90    | Mental Health                |                 | 886                         |                   | 882                       | 34                  | 549    |
| CO    | 00288.90    | TBI/Head Injury              |                 | 184                         |                   | 119                       | 136                 | 294    |
| CO    | 00293.00    | MR/DD                        |                 | 2,975                       |                   |                           |                     |        |
| CO    | 00305.01    | MR/DD                        |                 |                             |                   | 290                       |                     |        |
| CO    | 40157.90    | Children (Special Care)      | 645             |                             |                   |                           |                     |        |
| CO    | 40180.00    | MR/DD                        |                 | 157                         |                   | 188                       |                     | 267    |
| CT    | 00140.90    | Aged/Disabled                | 9,636           | 27                          |                   | 7,493                     | 1,764               | 13,312 |
| CT    | 00153.90    | MR/DD                        |                 |                             |                   | 159                       | 6,103               | 2,995  |
| CT    | 00301.00    | Disabled/Physically Disabled |                 |                             |                   | 340                       |                     |        |
| CT    | 302         | TBI/Head Injury              | 19              | 132                         |                   | 47                        |                     | 319    |
| CT    | 40110.91.R1 | MR/DD                        | 136             |                             |                   |                           |                     |        |
| DC    | 00307.01    | MR/DD                        | 162             |                             |                   | 88                        | 13                  | 5      |
| DC    | 00334.02    | Aged/Disabled                | 122             |                             |                   | 80                        |                     | 53     |
| DE    | 00009.00    | MR/DD                        | 564             | 321                         |                   | 73                        | 319                 | 918    |
| DE    | 00136.00    | Aged/Disabled                |                 |                             |                   | 845                       | 146                 | 1,118  |
| DE    | 332         | Aged                         |                 |                             |                   | 8                         |                     | 110    |
| DE    | 40159.90    | AIDS/ARC                     | 496             |                             |                   | 40                        |                     | 162    |
| FL    | 00116.90    | Aged/Disabled                | 1,477           |                             |                   | 1,579                     | 357                 | 5      |
| FL    | 00194.90    | AIDS/ARC                     | 6,532           |                             |                   | 2,058                     | 44                  | 955    |
| FL    | 00280.00    | Aged/Disabled                | 3,042           | 3,094                       |                   |                           |                     | 10,002 |
| FL    | 00294.01    | MR/DD                        |                 | 18                          |                   | 2                         |                     | 1,778  |
| FL    | 00315.04    | MR/DD                        |                 | 507                         |                   |                           |                     | 6      |
| FL    | 01090.R2    | Aged/Disabled                | 12,372          |                             |                   | 26,293                    | 1,011               | 96     |
| FL    | 01091.R2    | MR/DD                        | 24,392          | 2,963                       |                   | 10,143                    | 6,748               | 22,506 |
| FL    | 01879       | TBI/Head Injury              |                 | 40                          |                   | 84                        | 3,297               | 51,356 |
| FL    | 40166.00    | Children (Special Care)      |                 |                             |                   | 5                         |                     | 114    |
| GA    | 00112.91    | Aged/Disabled                |                 | 2,894                       |                   | 15,132                    | 260                 | 64     |
| GA    | 00175.00    | MR/DD                        | 2,805           | 650                         |                   | 1,322                     | 2,188               | 12,765 |
|       |             |                              |                 |                             |                   |                           |                     | 667    |

Service level data were not estimated for 24 missing waiver reports.  
Empty cells denote service not provided  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 3A : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category, 2001

| State | Waiver No.  | Participant Category         | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other  |
|-------|-------------|------------------------------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|--------|
| GA    | 323         | MR/DD                        | 1,215           |                             | 118               | 1,170                     |                     | 36     |
| GA    | 40116.90    | Children (Special Care)      |                 |                             |                   | 54                        | 167                 | 47     |
| HI    | 00013.90.R2 | MR/DD                        |                 |                             | 668               | 1,439                     | 45                  | 56     |
| HI    | 00014.90.R2 | Aged/Disabled                | 677             | 530                         | 69                | 188                       | 15                  |        |
| HI    | 00057.90.R1 | Aged/Disabled                | 832             |                             | 70                | 49                        | 212                 | 362    |
| HI    | 00182.00    | AIDS/ARC                     | 89              |                             | 60                |                           | 12                  | 77     |
| HI    | 40195       | Children (Special Care)      | 10              |                             | 2                 |                           |                     |        |
| IA    | 00213.01    | AIDS/ARC                     |                 |                             | 26                |                           | 1                   | 12     |
| IA    | 00242.00    | MR/DD                        |                 | 5,180                       | 3,335             |                           | 140                 | 1,007  |
| IA    | 00299.00    | TBI/Head Injury              | 155             | 133                         | 101               | 25                        | 6                   | 99     |
| IA    | 00345.00    | Disabled/Physically Disabled |                 |                             | 99                |                           |                     | 91     |
| IA    | 40111.00    | MR/DD                        | 1               |                             | 1,864             | 47                        | 61                  | 180    |
| IA    | 40155.90    | Aged                         |                 |                             | 5,639             | 435                       | 115                 | 10,040 |
| ID    | 07690.R1.A  | Aged/Disabled                |                 | 1,806                       | 4,869             | 13                        | 1,308               | 227    |
| ID    | 07691.R2.B  | MR/DD                        |                 |                             | 21                | 1,332                     | 92                  | 165    |
| ID    | 40187.00    | MR/DD                        |                 |                             |                   | 60                        | 32                  | 14     |
| ID    | 40189.00    | TBI/Head Injury              |                 | 1                           | 5                 | 5                         | 2                   | 13     |
| IL    | 00142.90    | Disabled/Physically Disabled |                 |                             | 16,845            | 118                       |                     | 3,702  |
| IL    | 00143.90    | Aged                         |                 |                             | 19,047            | 1,003                     |                     |        |
| IL    | 00202.90.R1 | AIDS/ARC                     |                 |                             | 1,442             |                           |                     | 192    |
| IL    | 00278.90    | Children (Special Care)      |                 |                             | 272               |                           | 340                 | 113    |
| IL    | 00326.00    | Aged/Disabled                |                 | 147                         |                   |                           |                     |        |
| IL    | 00329.00    | TBI/Head Injury              |                 |                             | 734               | 11                        | 67                  | 121    |
| IL    | 00350.90    | MR/DD                        | 274             |                             | 165               | 12,831                    | 70                  | 1,078  |
| IN    | 00210.90    | Aged/Disabled                | 2,261           |                             | 3,347             | 43                        |                     | 1,400  |
| IN    | 00249.90    | MR/DD                        | 2,398           | 825                         | 2,168             | 2,374                     | 67                  | 1,405  |
| IN    | 40171.9     | Children (Special Care)      | 143             |                             | 124               |                           |                     | 11     |
| IN    | 40197.03    | TBI/Head Injury              | 102             | 101                         | 70                |                           | 24                  | 48     |
| IN    | 4151        | MR/DD                        | 208             | 11                          | 248               | 205                       | 23                  | 148    |
| KS    | 00224.00    | MR/DD                        |                 |                             | 3,232             | 7,728                     |                     | 1,955  |
| KS    | 00303.00    | Aged                         |                 |                             | 8,652             | 170                       | 179                 | 6,609  |
| KS    | 00304.01    | Disabled/Physically Disabled |                 | 4,522                       | 4,832             |                           |                     |        |
| KS    | 00320.00    | Mental Health                | 982             | 8                           | 112               |                           |                     | 552    |
| KS    | 40164.00    | TBI/Head Injury              |                 | 104                         | 96                |                           | 73                  | 60     |
| KS    | 40165.00    | Children (Special Care)      | 46              |                             | 2                 |                           |                     |        |
| KY    | 00314.00    | MR/DD                        | 1,609           | 1,551                       | 551               | 1,515                     | 510                 | 737    |
| LA    | 00121.90.R2 | Aged/Disabled                |                 |                             |                   | 463                       |                     |        |
| LA    | 00200.00    | MR/DD                        |                 |                             | 6,244             | 1,654                     |                     | 1,345  |
| LA    | 00251.00    | Disabled/Physically Disabled |                 |                             | 102               |                           |                     |        |
| LA    | 00257.00    | Aged/Disabled                | 508             |                             | 872               |                           |                     | 874    |
| MA    | 00059.92.R1 | Aged                         |                 |                             | 5,907             | 125                       |                     | 4,737  |
| MA    | 00064.92.R2 | MR/DD                        |                 | 7,272                       | 1,743             | 1,862                     |                     | 8,619  |
| MD    | 00023.91.R2 | MR/DD                        | 5,350           |                             | 455               | 6,994                     |                     | 994    |
| MD    | 40118.90.R2 | Children (Special Care)      | 203             |                             | 11                |                           | 9                   | 12     |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 3A : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category, 2001

| State | Waiver No.   | Participant Category         | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other  |
|-------|--------------|------------------------------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|--------|
| ME    | 00088.90.R1  | Aged                         | 1,096           |                             |                   | 1,835                     | 53                  | 437    |
| ME    | 00127.90     | Disabled/Physically Disabled | 545             |                             |                   | 286                       |                     | 94     |
| ME    | 00159.90.R1  | MR/DD                        |                 | 1,109                       |                   | 912                       | 1,061               | 91     |
| ME    | 00276.00     | Disabled/Physically Disabled | 395             |                             |                   | 804                       | 11                  | 179    |
| MI    | 00167.90.R1  | MR/DD                        | 18,842          | 8,906                       |                   | 362                       | 41                  | 8,305  |
| MI    | 00233.90     | Aged/Disabled                |                 |                             |                   | 14,067                    | 662                 | 2,499  |
| MI    | 40119.90.R1  | MR/DD                        |                 |                             |                   | 302                       | 317                 | 180    |
| MN    | 00025.91.R2  | Aged                         | 10,563          | 3,630                       |                   | 6,882                     | 925                 | 10,187 |
| MN    | 00061.90.R2  | MR/DD                        | 13,007          | 1,433                       |                   | 3,591                     | 17,164              | 2,790  |
| MN    | 00166.90.R1  | Disabled/Physically Disabled | 4,812           | 1,808                       |                   | 2,864                     | 403                 | 75     |
| MN    | 40128.90.R1  | Disabled/Physically Disabled | 122             | 5                           |                   | 69                        |                     | 65     |
| MN    | 40169.90.02  | TBI/Head Injury              | 428             | 522                         |                   | 93                        | 63                  | 423    |
| MO    | 00026.90.R2  | Aged                         |                 |                             |                   | 27,480                    |                     | 6,287  |
| MO    | 00178.00     | MR/DD                        |                 | 2,511                       |                   | 1,343                     | 8,749               | 570    |
| MO    | 00197.00     | AIDS/ARC                     |                 |                             |                   | 69                        |                     | 6      |
| MO    | 00346.00     | Disabled/Physically Disabled | 448             |                             |                   | 201                       |                     | 8      |
| MO    | 40185.00     | MR/DD                        |                 |                             |                   | 155                       | 11                  | 83     |
| MO    | 40190.       | Disabled/Physically Disabled |                 |                             |                   |                           |                     | 21     |
| MS    | 00255.01     | Disabled/Physically Disabled | 328             |                             |                   | 297                       |                     |        |
| MS    | 00272.00     | Aged/Disabled                | 6,752           |                             |                   | 9,690                     | 85                  | 285    |
| MS    | 00282.00     | MR/DD                        |                 |                             |                   | 697                       | 205                 | 8      |
| MT    | 00148.9      | Aged/Disabled                | 1,709           | 473                         |                   | 1,536                     | 290                 | 439    |
| MT    | 00208.90.02  | MR/DD                        |                 | 296                         |                   | 47                        | 1,522               | 15     |
| NC    | 00132.90.R1  | Aged/Disabled                | 12,100          |                             |                   | 10,214                    | 357                 |        |
| NC    | 00151.90.R1  | MR/DD                        | 4,909           | 3,180                       |                   | 3,370                     | 2,660               | 57     |
| NC    | 00289.00     | AIDS/ARC                     | 34              |                             |                   | 37                        |                     |        |
| NC    | 40141.90.R1  | Children (Special Care)      | 365             |                             |                   | 237                       |                     | 189    |
| ND    | 00037.90.R2. | MR/DD                        | 1,942           | 45                          |                   | 723                       | 1,325               |        |
| ND    | 00054.90.01  | Aged/Disabled                | 417             | 51                          |                   | 461                       | 2                   |        |
| ND    | 00273.00     | TBI/Head Injury              | 20              | 22                          |                   |                           |                     |        |
| NE    | 00172.00     | MR/DD                        |                 |                             |                   | 95                        | 4,022               |        |
| NE    | 00187.00     | Aged/Disabled                |                 | 1,028                       |                   | 868                       | 480                 |        |
| NE    | 00246.00     | Children (Special Care)      |                 |                             |                   | 4                         |                     |        |
| NE    | 40154.00     | MR/DD                        |                 |                             |                   | 176                       | 275                 |        |
| NE    | 40199        | TBI/Head Injury              |                 | 6                           |                   |                           |                     |        |
| NJ    | 00031.00     | MR/DD                        | 7,346           |                             |                   | 7,333                     | 4,877               |        |
| NJ    | 00032.00     | Aged/Disabled                | 4,272           |                             |                   | 3,760                     | 113                 |        |
| NJ    | 00160.00     | AIDS/ARC                     | 673             |                             |                   | 249                       | 20                  | 31     |
| NJ    | 00244.00     | Children (Special Care)      | 196             | 3                           |                   | 43                        |                     |        |
| NJ    | 00285.00     | Aged/Disabled                | 2,554           | 1,872                       |                   | 382                       | 10                  |        |
| NJ    | 40104.00     | Disabled/Physically Disabled | 44              |                             |                   |                           |                     |        |
| NJ    | 40123.00     | Disabled/Physically Disabled | 43              |                             |                   |                           |                     |        |
| NJ    | 40133.90     | Disabled/Physically Disabled | 124             |                             |                   |                           |                     | 213    |
| NJ    | 40174.00     | TBI/Head Injury              | 253             | 166                         |                   | 22                        | 269                 | 227    |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 3A : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category, 2001

| State | Waiver No.  | Participant Category         | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other  |
|-------|-------------|------------------------------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|--------|
| NM    | 00161.00    | AIDS/ARC                     | 47              |                             | 31                |                           | 17                  |        |
| NM    | 00169.00    | Aged/Disabled                | 1,422           | 40                          | 2,008             | 8                         | 613                 | 702    |
| NM    | 00173.90    | MR/DD                        | 2,425           | 2,057                       | 1,325             | 1,713                     | 2,837               | 2,091  |
| NM    | 00223.00    | Children (Special Care)      | 161             |                             | 82                |                           | 273                 | 7      |
| NV    | 00125.90    | MR/DD                        |                 | 797                         | 187               | 974                       |                     | 243    |
| NV    | 00152.90    | Aged                         | 1,225           |                             | 2,320             | 80                        |                     | 718    |
| NV    | 00267.90    | Aged                         | 162             |                             | 162               |                           |                     |        |
| NV    | 4150.9      | Disabled/Physically Disabled | 251             | 7                           | 109               |                           |                     | 81     |
| NY    | 00034.90.R2 | Aged/Disabled                |                 |                             | 221               | 2,096                     | 650                 | 36,147 |
| NY    | 00238.90.01 | MR/DD                        |                 |                             | 2,335             | 47,395                    |                     | 7,986  |
| NY    | 00269.01    | TBI/Head Injury              | 766             | 652                         | 11                | 355                       |                     | 1,422  |
| NY    | 40125.90.R2 | Children (Special Care)      | 442             |                             |                   |                           |                     | 53     |
| NY    | 40163.00    | MR/DD                        | 210             |                             | 27                |                           |                     | 40     |
| NY    | 40176.00    | MR/DD                        | 208             |                             | 25                |                           |                     | 22     |
| NY    | 40200.00    | MR/DD                        | 105             |                             | 3                 |                           |                     | 6      |
| OH    | 00198.01    | Aged/Disabled                |                 | 492                         | 25,226            | 2,094                     |                     | 44,987 |
| OH    | 00231.01    | MR/DD                        |                 |                             | 3,938             |                           |                     | 1,526  |
| OH    | 00291.00    | MR/DD                        |                 |                             | 2,902             |                           |                     | 8      |
| OH    | 00337.00    | Disabled/Physically Disabled |                 |                             | 6,555             | 188                       | 2,088               | 6,714  |
| OK    | 00179.00    | MR/DD                        |                 | 1,820                       | 605               | 2,741                     | 231                 | 9,756  |
| OK    | 00256.00    | Aged/Disabled                | 7,421           |                             | 1,090             | 134                       | 6,780               | 29,236 |
| OK    | 00343.00    | MR/DD                        |                 |                             | 20                | 456                       | 3                   | 866    |
| OK    | 00351.00    | MR/DD                        |                 |                             | 14                | 194                       | 1                   | 141    |
| OR    | 0017.90.R1  | MR/DD                        |                 |                             | 486               | 8,329                     | 67                  | 3,338  |
| OR    | 00185.90.R1 | Aged/Disabled                |                 | 15,501                      | 18,982            | 423                       |                     | 3,135  |
| PA    | 00235.00    | MR/DD                        | 378             | 375                         | 43                | 1                         | 34                  | 182    |
| PA    | 00277.03    | Disabled/Physically Disabled |                 |                             | 1,701             |                           |                     |        |
| PA    | 00313.00    | Disabled/Physically Disabled |                 |                             |                   |                           |                     |        |
| PA    | 40144.90.R1 | Children (Special Care)      | 31              |                             | 10                |                           | 47                  | 3      |
| SC    | 00104.00    | Aged/Disabled                | 14,431          |                             | 13,407            | 2,656                     |                     | 21,266 |
| SC    | 00237.00    | MR/DD                        |                 |                             | 949               | 4,259                     | 263                 | 6,299  |
| SC    | 00284.01    | TBI/Head Injury              |                 |                             | 426               | 6                         | 53                  | 753    |
| SC    | 40181.00    | Disabled/Physically Disabled |                 |                             | 23                |                           | 21                  | 71     |
| SD    | 00044.90.R2 | MR/DD                        | 1,944           | 1,804                       |                   | 1,614                     | 1,918               | 4,185  |
| SD    | 00189.90    | Aged                         |                 | 456                         | 216               | 7                         | 218                 | 238    |
| SD    | 00264.00    | Disabled/Physically Disabled | 82              |                             | 76                |                           | 16                  | 90     |
| SD    | 00338.00    | Aged                         | 317             |                             | 230               |                           |                     | 334    |
| TN    | 00128.90    | MR/DD                        | 4,300           | 2,418                       | 843               | 3,865                     | 2,325               | 5,097  |
| TN    | 00357.00    | MR/DD                        | 126             | 220                         | 16                | 23                        | 322                 | 203    |
| TX    | 00110.90    | MR/DD                        | 4,992           | 5,407                       | 652               | 3,792                     | 5,232               | 5,274  |
| TX    | 00181.90    | Children (Special Care)      |                 |                             | 1,678             |                           | 19                  | 45     |
| TX    | 00221.00    | MR/DD                        | 1,503           |                             | 714               | 1,489                     | 1,524               | 914    |
| TX    | 00240.00    | MR/DD                        | 117             | 114                         | 11                |                           | 135                 | 163    |
| TX    | 00266.00    | Aged/Disabled                |                 | 2,776                       | 28,228            |                           | 30,202              | 74,873 |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 3A : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category, 2001**

| State | Waiver No.  | Participant Category         | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other            |
|-------|-------------|------------------------------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|------------------|
| TX    | 00281.00    | Disabled/Physically Disabled | 105             | 74                          | 12                | 41                        | 88                  | 106              |
| TX    | 00330.00    | MR/DD                        |                 | 2,211                       | 264               | 1,444                     | 2,154               | 1,747            |
| UT    | 00158.90.R1 | MR/DD                        | 3,379           | 3,133                       | 991               |                           |                     | 2,903            |
| UT    | 00247.90.02 | Aged                         | 793             |                             | 673               | 70                        |                     | 1,014            |
| UT    | 00292.03    | TBI/Head Injury              | 69              | 57                          | 4                 | 12                        |                     | 73               |
| UT    | 00331.01    | Disabled/Physically Disabled |                 |                             | 81                |                           |                     | 35               |
| UT    | 00183.90    | Children (Special Care)      |                 |                             | 117               |                           |                     | 30               |
| VA    | 00048.90.R1 | Aged/Disabled                |                 |                             | 10,266            | 476                       |                     |                  |
| VA    | 00227.00    | MR/DD                        |                 | 3,258                       | 557               | 3,684                     | 372                 | 389              |
| VA    | 00321.00    | Aged                         |                 |                             | 127               |                           |                     |                  |
| VA    | 00358.00    | MR/DD                        |                 | 6                           | 6                 |                           | 3                   | 1                |
| VA    | 40149.00    | Disabled/Physically Disabled |                 |                             | 153               |                           | 280                 |                  |
| VA    | 40160.90    | AIDS/ARC                     | 395             |                             | 103               |                           | 30                  |                  |
| VT    | 00163.90    | Aged/Disabled                | 1,033           |                             | 1,378             | 208                       |                     | 480              |
| VT    | 04790.R3    | MR/DD                        | 1,800           |                             |                   | 1,800                     |                     | 415              |
| VT    | 04791.00    | Mental Health                | 256             |                             |                   | 259                       |                     |                  |
| VT    | 40182.90    | TBI/Head Injury              | 49              |                             | 8                 | 78                        |                     | 21               |
| VT    | 40186.00    | Aged/Disabled                | 152             | 174                         |                   |                           |                     |                  |
| WA    | 00049.91.R2 | Aged/Disabled                |                 | 11,143                      | 20,317            | 189                       | 67                  | 11,167           |
| WA    | 00050.90.R1 | MR/DD                        |                 |                             | 3,157             | 6,086                     | 393                 | 5,633            |
| WI    | 00154.90    | Aged/Disabled                | 12,177          | 2,357                       | 9,562             | 1,175                     | 340                 | 16,205           |
| WI    | 00229.90.02 | MR/DD                        | 9,019           | 266                         | 4,358             | 8,322                     |                     | 3,288            |
| WI    | 00275.00    | TBI/Head Injury              | 243             | 216                         | 148               | 79                        |                     | 247              |
| WI    | 00297.00    | MR/DD                        | 215             | 68                          | 128               |                           |                     | 195              |
| WV    | 00133.90    | MR/DD                        | 2,284           |                             | 1,223             | 4,425                     |                     | 2,625            |
| WV    | 00134.90    | Aged/Disabled                | 4,388           |                             | 4,100             |                           | 3,305               | 117              |
| WY    | 00226.00    | MR/DD                        | 832             |                             | 124               | 824                       | 562                 | 68               |
| WY    | 00236.90.03 | Aged/Disabled                | 1,111           |                             | 936               | 64                        | 207                 | 1,620            |
| WY    | 00253.00    | MR/DD                        | 512             |                             | 580               | 282                       | 24                  | 298              |
| US    |             |                              | 282,427         | 153,418                     | 486,116           | 254,831                   | 93,009              | 653,370          |
|       |             |                              |                 |                             |                   | <b>Grand Total</b>        |                     | <b>1,923,171</b> |

**Table 3B : Medicaid 1915(c) HCBS Waivers Duplicated Participants per Service Category by State, 2001**

| State | Case Management | Residential/<br>Foster Care | Respite/<br>HH/PC | Habilitation/<br>Day Care | Nursing/<br>Therapy | Other            |
|-------|-----------------|-----------------------------|-------------------|---------------------------|---------------------|------------------|
| AK    | 2,722           | 505                         | 1,031             | 1,441                     | 135                 | 4,019            |
| AL    | 7,244           | 2,248                       | 11,367            | 3,922                     | 309                 | 1,318            |
| AR    | 2,283           | 2,268                       | 10,845            | 439                       | 69                  | 11,860           |
| CA    | 14,498          | 23,453                      | 12,185            | 22,840                    | 7,404               | 39,500           |
| CO    | 645             | 7,091                       | 11,862            | 4,941                     |                     | 9,708            |
| CT    | 9,791           | 159                         | 8,039             | 7,867                     |                     | 16,626           |
| DC    | 284             |                             | 168               | 13                        | 5                   | 107              |
| DE    | 1,060           | 321                         | 966               | 465                       | 110                 | 2,198            |
| FL    | 47,815          | 6,622                       | 40,164            | 8,160                     | 4,353               | 89,851           |
| GA    | 4,020           | 3,544                       | 16,572            | 3,672                     | 231                 | 13,515           |
| HI    | 1,608           | 530                         | 869               | 1,676                     | 284                 | 495              |
| IA    | 156             | 5,364                       | 11,064            | 507                       | 323                 | 11,429           |
| ID    | 0               | 1,807                       | 4,895             | 1,410                     | 1,434               | 419              |
| IL    | 274             | 147                         | 38,505            | 13,963                    | 477                 | 5,206            |
| IN    | 5,112           | 937                         | 5,957             | 2,622                     | 114                 | 3,012            |
| KS    | 1,028           | 4,634                       | 16,926            | 7,898                     | 252                 | 9,176            |
| KY    | 1,609           | 1,551                       | 551               | 1,515                     | 510                 | 737              |
| LA    | 508             |                             | 7,218             | 2,117                     |                     | 2,219            |
| MA    |                 | 7,272                       | 7,650             | 1,987                     |                     | 13,356           |
| MD    | 5,553           |                             | 466               | 6,994                     | 9                   | 1,006            |
| ME    | 2,036           | 1,109                       | 3,837             | 1,125                     | 91                  | 1,285            |
| MI    | 18,842          | 8,906                       | 14,731            | 1,020                     | 10,984              | 42,891           |
| MN    | 28,932          | 7,398                       | 13,499            | 18,555                    | 140                 | 17,468           |
| MO    | 448             | 2,511                       | 29,248            | 8,760                     | 597                 | 10,157           |
| MS    | 7,080           |                             | 10,684            | 290                       | 293                 | 4,892            |
| MT    | 1,709           | 769                         | 1,583             | 1,812                     | 454                 | 3,605            |
| NC    | 17,408          | 3,180                       | 13,858            | 3,017                     | 246                 | 13,881           |
| ND    | 2,379           | 118                         | 1,184             | 1,327                     |                     | 314              |
| NH    |                 | 1,034                       | 1,143             | 4,777                     |                     | 4,813            |
| NJ    | 15,505          | 2,041                       | 11,789            | 5,289                     | 471                 | 415              |
| NM    | 4,055           | 2,097                       | 3,446             | 1,721                     | 3,740               | 2,800            |
| NV    | 1,638           | 804                         | 2,778             | 1,054                     |                     | 1,042            |
| NY    | 1,731           | 652                         | 2,622             | 49,846                    | 650                 | 45,676           |
| OH    |                 | 492                         | 38,621            | 2,282                     | 2,088               | 53,235           |
| OK    | 7,421           | 1,820                       | 1,729             | 3,525                     | 7,015               | 39,999           |
| OR    |                 | 15,501                      | 19,468            | 8,752                     | 67                  | 6,473            |
| PA    | 409             | 375                         | 1,754             | 1                         | 81                  | 185              |
| SC    | 14,431          |                             | 14,805            | 6,921                     | 337                 | 28,389           |
| SD    | 2,343           | 2,260                       | 522               | 1,621                     | 2,152               | 4,847            |
| TN    | 4,426           | 2,638                       | 859               | 3,888                     | 2,647               | 5,300            |
| TX    | 6,717           | 10,582                      | 31,559            | 6,766                     | 39,354              | 83,122           |
| UT    | 4,241           | 3,190                       | 1,866             | 82                        |                     | 4,055            |
| VA    | 395             | 3,264                       | 11,212            | 4,160                     | 685                 | 390              |
| VT    | 3,290           | 174                         | 1,386             | 2,345                     |                     | 916              |
| WA    |                 | 11,143                      | 23,474            | 6,275                     | 460                 | 16,800           |
| WI    | 21,654          | 2,907                       | 14,196            | 9,576                     | 340                 | 19,935           |
| WV    | 6,672           |                             | 5,323             | 4,425                     | 3,305               | 2,742            |
| WY    | 2,455           |                             | 1,640             | 1,170                     | 793                 | 1,986            |
| US    | 282,427         | 153,418                     | 486,116           | 254,831                   | 93,009              | 653,370          |
|       |                 |                             |                   | <b>Grand Total</b>        |                     | <b>1,923,171</b> |

Table 3C : Medicaid 1915(c) Waiver Programs, Participants per Recipient Type by State, 2001

| State | Number of<br>Waivers | MR/DD   | Aged/Elderly | Aged/Disbl | Disabled &<br>Physically disabled | Children (Special<br>Care) | AIDS/<br>ARC | Mental<br>Health | TBI/ Head<br>Injury | Total   |
|-------|----------------------|---------|--------------|------------|-----------------------------------|----------------------------|--------------|------------------|---------------------|---------|
| AK    | 4                    | 946     | 1,128        |            | 664                               |                            |              |                  |                     | 2,738   |
| AL    | 3                    | 4,292   |              | 6,881      | 391                               |                            |              |                  |                     | 11,564  |
| AR    | 3                    | 2,302   | 8,430        |            | 715                               |                            |              |                  |                     | 11,447  |
| CA    | 5                    | 35,372  |              | 12,263     | 449                               |                            | 2,453        |                  |                     | 50,537  |
| CO    | 9                    | 7,081   |              | 14,082     |                                   | 645                        | 90           | 1,717            | 310                 | 23,925  |
| CT    | 5                    | 5,351   |              | 9,925      | 340                               |                            |              |                  | 116                 | 15,732  |
| DC    | 2                    | 162     |              | 122        |                                   |                            |              |                  |                     | 284     |
| DE    | 4                    | 573     | 114          | 866        |                                   |                            | 503          |                  |                     | 2,056   |
| FL    | 9                    | 25,789  |              | 18,019     |                                   | 5                          | 6,757        |                  | 119                 | 50,689  |
| GA    | 5                    | 4,536   |              | 15,821     |                                   | 142                        |              |                  | 324                 | 20,823  |
| HI    | 5                    | 1,306   |              | 1,509      |                                   | 10                         | 89           |                  |                     | 2,914   |
| IA    | 6                    | 6,706   | 5,271        |            | 118                               |                            | 30           |                  | 203                 | 12,328  |
| ID    | 4                    | 1,091   |              | 4,489      |                                   |                            |              |                  | 12                  | 5,592   |
| IL    | 7                    | 8,000   | 19,574       | 147        | 16,148                            | 350                        | 1,326        |                  | 642                 | 46,187  |
| IN    | 5                    | 2,618   |              | 2,327      |                                   | 146                        |              |                  | 117                 | 5,208   |
| KS    | 6                    | 6,016   | 7,731        |            | 4,657                             | 47                         |              | 1,116            | 108                 | 19,675  |
| KY    | 4                    | 1,621   |              | 14,343     | 56                                |                            |              |                  | 46                  | 16,066  |
| LA    | 4                    | 4,008   |              | 992        | 102                               |                            |              |                  |                     | 5,102   |
| MA    | 2                    | 11,926  | 6,042        |            |                                   |                            |              |                  |                     | 17,968  |
| MD    | 3                    | 5,579   | 157          |            |                                   | 205                        |              |                  |                     | 5,941   |
| ME    | 4                    | 2,055   | 1,100        |            | 685                               |                            |              |                  |                     | 3,840   |
| MI    | 3                    | 7,410   |              | 14,364     |                                   |                            |              |                  |                     | 21,774  |
| MN    | 5                    | 13,888  | 11,333       |            | 5,100                             |                            |              |                  | 446                 | 30,767  |
| MO    | 6                    | 8,284   | 24,307       |            | 486                               |                            | 77           |                  |                     | 33,154  |
| MS    | 3                    | 878     |              | 6,951      | 330                               |                            |              |                  |                     | 8,159   |
| MT    | 2                    | 1,343   |              | 1,722      |                                   |                            |              |                  |                     | 3,065   |
| NC    | 4                    | 4,935   |              | 12,187     |                                   | 387                        | 36           |                  |                     | 17,545  |
| ND    | 3                    | 1,982   |              | 453        |                                   |                            |              |                  | 21                  | 2,456   |
| NE    | 5                    | 2,577   |              | 3,801      |                                   | 8                          |              |                  | 6                   | 6,392   |
| NH    | 3                    | 2,535   | 1,629        |            |                                   |                            |              |                  | 76                  | 4,240   |
| NJ    | 9                    | 7,348   |              | 6,951      | 309                               | 196                        | 705          |                  | 255                 | 15,764  |
| NM    | 4                    | 2,434   |              | 1,441      | 0                                 | 162                        | 47           |                  |                     | 4,084   |
| NV    | 4                    | 1,087   | 1,405        |            | 251                               |                            |              |                  |                     | 2,743   |
| NY    | 8                    | 43,164  |              | 20,367     |                                   | 938                        |              |                  | 789                 | 65,258  |
| OH    | 4                    | 5,657   |              | 24,488     | 6,993                             |                            |              |                  |                     | 37,138  |
| OK    | 4                    | 3,912   |              | 15,519     |                                   |                            |              |                  |                     | 19,431  |
| OR    | 2                    | 5,821   |              | 31,661     |                                   |                            |              |                  |                     | 37,482  |
| PA    | 10                   | 16,202  | 4,646        |            | 1,743                             | 47                         | 180          |                  |                     | 22,818  |
| RI    | 4                    | 3,192   | 548          | 1,752      | 58                                |                            |              |                  |                     | 5,550   |
| SC    | 5                    | 4,631   |              | 14,431     | 35                                |                            | 1,114        |                  | 452                 | 20,663  |
| SD    | 4                    | 1,944   | 1,005        |            | 82                                |                            |              |                  |                     | 3,031   |
| TN    | 4                    | 4,437   |              | 535        |                                   |                            |              |                  |                     | 4,972   |
| TX    | 7                    | 8,775   |              | 30,895     | 105                               | 1,147                      |              |                  |                     | 40,922  |
| UT    | 5                    | 3,387   | 809          |            | 81                                | 119                        |              |                  | 70                  | 4,466   |
| VA    | 6                    | 5,094   | 127          | 9,609      | 280                               |                            | 415          |                  |                     | 15,525  |
| VT    | 5                    | 1,800   |              | 1,312      |                                   |                            |              | 263              | 500                 | 3,875   |
| WA    | 2                    | 9,212   |              | 30,519     |                                   |                            |              |                  |                     | 39,731  |
| WI    | 4                    | 9,337   |              | 12,510     |                                   |                            |              |                  | 244                 | 22,091  |
| WV    | 2                    | 2,320   |              | 4,420      |                                   |                            |              |                  |                     | 6,740   |
| WY    | 3                    | 1,350   |              | 1,113      |                                   |                            |              |                  |                     | 2,463   |
| U.S.  | 229                  | 322,266 | 95,356       | 348,787    | 40,178                            | 4,554                      | 13,822       | 3,096            | 4,856               | 832,915 |

Table 4A : Medicaid 1915(c) HCBS Waivers Annual Expenditure by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$)    | 1996 (\$)    | 1997 (\$)   | 1998 (\$)   | 1999 (\$)   | 2000 (\$)    | 2001 (\$)    |
|-------|------------|------------------------------|-----------|-----------|-----------|--------------|--------------|-------------|-------------|-------------|--------------|--------------|
| AK    | 00260      | MR/DD                        |           |           |           | 2,527,868    | 4,379,035    | 15,099,060  | 19,297,375  | 23,732,677  | 31,843,025   | 42,999,032   |
| AK    | 00261      | Aged                         |           |           | 170,605   | 966,352      | 1,239,277    | 4,647,725   | 6,647,674   | 8,554,566   | 11,335,060   | 15,249,364   |
| AK    | 00262      | Disabled/Physically Disabled |           |           | 39,071    | 290,383      | 1,016,252    | 1,705,662   | 1,563,618   | 3,709,806   | 5,805,609    | 8,779,340    |
| AK    | 00263      | MR/DD                        |           |           | 159,382   | 680,900      | 1,239,277    | 1,580,350   | 1,001,577   | 2,287,044   | 3,310,158    | 6,262,835    |
| AL    | 00001      | MR/DD                        |           |           |           | 36,492,996   | 54,322,562   | 70,740,647  | 81,659,265  | 88,924,846  | 95,152,057   | 108,546,150  |
| AL    | 00068      | Aged/Disabled                |           |           |           | 25,909,647   | 26,852,869   | 32,269,752  | 35,521,246  | 37,488,861  | 37,932,655   | 106,798,116  |
| AL    | 00241      | Disabled/Physically Disabled |           |           |           | 1,280,592    | 1,838,503    | 1,960,693   | 1,988,468   | 2,698,235   | 2,497,778    | 2,294,215    |
| AL    | 00245      | MR/DD                        |           |           |           |              |              |             |             |             |              |              |
| AL    | 40162      | Aged/Disabled                |           |           |           |              |              |             |             |             |              |              |
| AR    | 00188      | MR/DD                        |           |           |           | 12,162,710 * | 13,011,359 * | 12,493,872  | 18,230,784  | 27,656,968  | 27,734,822 * | 45,914,710   |
| AR    | 00195      | Aged                         |           |           |           | 13,816,938   | 21,247,613   | 22,229,146  | 23,694,069  | 24,788,949  | 30,734,780   | 32,298,078   |
| AR    | 00312      | Disabled/Physically Disabled |           |           |           |              |              |             | 1,149,837   | 3,766,993   | 6,192,894    | 8,661,660    |
| CA    | 00129      | MR/DD                        |           |           |           | 305,116,906  | 357,004,169  | 385,934,451 |             |             |              |              |
| CA    | 00139      | Disabled/Physically Disabled |           |           |           | 205,816 *    | 195,604      | 4,448,904   | 16,979,742  | 35,230,376  | 43,871,715   | 43,871,715 * |
| CA    | 00141      | Aged/Disabled                |           |           |           | 20,155,839   | 20,237,372   | 20,670,930  | 20,725,898  | 21,189,029  | 28,574,637   | 32,926,380   |
| CA    | 00164      | Disabled/Physically Disabled |           |           |           | 29,914,319   | 36,531,932   | 31,703,454  | 24,479,647  |             |              |              |
| CA    | 00183      | AIDS/ARC                     |           |           |           | 9,556,859    | 10,235,611   | 9,034,341   | 9,046,551   | 9,355,067   | 9,301,567    | 8,278,700    |
| CA    | 348        | MR/DD                        |           |           |           |              |              |             | 442,726,958 | 482,995,527 | 497,807,589  | 678,852,401  |
| CA    | 40136      | Aged/Disabled                |           |           |           | 74,576       | 62,746       | 60,881      | 564,508     | 1,303,063   | 1,695,100    | 1,695,099 *  |
| CO    | 00066      | Aged/Disabled                |           |           |           | 18,485,017   | 26,900,483   | 35,255,083  | 45,962,576  | 57,968,292  | 65,204,835   | 72,256,809   |
| CO    | 00007      | MR/DD                        |           |           |           | 101,418,772  | 109,482,194  | 121,414,586 | 130,905,394 | 112,992,502 | 158,755,852  | 172,671,423  |
| CO    | 00199      | MR/DD                        |           |           |           | 1,980,375 *  |              |             |             |             |              |              |
| CO    | 00211      | AIDS/ARC                     |           |           |           | 314,479      | 437,095      | 558,237     | 606,357     | 629,930     | 702,061      | 122,164      |
| CO    | 00268      | Mental Health                |           |           |           | 104,402      | 895,937 *    | 1,888,452   | 5,638,697   | 6,466,095   | 6,703,908    | 9,418,635    |
| CO    | 00288      | TBI/Head Injury              |           |           |           |              | 297,009      | 605,211     | 1,461,876   | 2,664,768   | 4,035,940    | 4,983,007    |
| CO    | 00293      | MR/DD                        |           |           |           |              | 5,323,524    | 5,568,886   | 12,448,736  | 20,610,797  | 31,957,443   | 34,389,423   |
| CO    | 00305      | MR/DD                        |           |           |           |              | 95,520       | 5,983,852   | 6,913,546   | 7,077,836   | 7,943,699    | 7,943,270    |
| CO    | 40157      | Children (Special Care)      |           |           |           | 97,962       | 123,405      | 79,909      | 99,449      | 141,710     | 201,353      | 263,407      |
| CO    | 40179      | MR/DD                        |           |           |           |              | 123,405      | 142,178     | 158,938     | 122,807     | 159,628      |              |
| CO    | 40180      | MR/DD                        |           |           |           |              | 70,532       | 124,843     | 351,604     | 885,910     | 2,011,691    | 2,316,865    |
| CT    | 00140      | Aged/Disabled                |           |           |           | 32,717,510   | 37,111,409   | 35,841,522  | 46,992,184  | 54,432,244  | 58,241,943   | 61,439,858   |
| CT    | 00153      | MR/DD                        |           |           |           | 175,444,686  | 198,921,482  | 221,184,265 | 289,115,933 | 618,967,853 | 325,634,287  | 340,906,237  |
| CT    | 00301      | Disabled/Physically Disabled |           |           |           |              |              | 303,256     | 1,564,984   | 2,663,734   | 3,949,306    | 5,309,832    |
| CT    | 302        | TBI/Head Injury              |           |           |           |              |              |             |             |             |              |              |
| CT    | 40110      | MR/DD                        |           |           |           |              | 22,685       | 17,536      | 12,906      | 5,152       | 5,992        | 7,313        |
| DC    | 00307      | MR/DD                        |           |           |           |              |              |             |             | 51,525      | 243,297      | 764,744      |
| DC    | 00334      | Aged/Disabled                |           |           |           |              |              |             |             |             |              |              |
| DE    | 00009      | MR/DD                        |           |           |           |              | 13,436,417   | 17,366,797  | 20,333,246  | 26,188,729  | 31,502,714   | 36,222,893   |
| DE    | 00136      | Aged/Disabled                |           |           |           |              | 2,644,595    | 2,828,546   | 3,237,880   | 6,528,330   | 6,858,657    | 8,489,923    |
| DE    | 332        | Aged                         |           |           |           |              |              |             |             |             |              |              |
| DE    | 40159      | AIDS/ARC                     |           |           |           | 325,207      | 442,412      | 679,137     | 808,052     | 1,690,515   | 1,838,844    | 2,056,562    |
| FL    | 00116      | Aged/Disabled                |           |           |           | 7,189,681    | 8,115,297    | 8,465,097   | 9,025,291   | 9,832,810   | 10,126,507   |              |
| FL    | 00194      | AIDS/ARC                     |           |           |           | 14,790,166   | 13,592,134   | 17,698,319  | 19,590,348  | 18,687,300  | 24,613,883   | 25,126,172   |
| FL    | 00280      | Aged/Disabled                |           |           |           | 1,450,083    | 2,041,345    | 3,927,060   | 7,603,185   | 9,837,369   | 18,485,960   | 21,825,254   |
| FL    | 00294      | MR/DD                        |           |           |           |              | 482,364      | 336,670     | 419,145     | 391,501     | 242,385      | 66,870       |
| FL    | 00315      | MR/DD                        |           |           |           |              |              |             |             | 790,833     | 10,053,784   | 21,943,695   |
| FL    | 01090      | Aged/Disabled                |           |           |           | 25,784,904   | 29,732,529   | 40,324,449  | 49,562,160  | 59,612,222  | 61,359,279   | 73,298,349   |
| FL    | 01091      | MR/DD                        |           |           |           | 82,088,222   | 118,850,737  | 135,051,131 | 133,845,636 | 187,908,416 | 216,525,005  | 406,449,501  |
| FL    | 01879      | TBI/Head Injury              |           |           |           |              |              |             |             |             |              |              |
| FL    | 40166      | Children (Special Care)      |           |           |           | 20,686       | 12,618       | 2,784       | 2,909       | 2,210       | 11,399       | 1,289,818    |
| GA    | 00112      | Aged/Disabled                |           |           |           | 36,990,294   | 38,996,401   | 42,433,067  | 48,004,650  | 59,583,850  | 68,489,681   | 80,380,677   |
| GA    | 00175      | MR/DD                        |           |           |           | 19,142,547   | 29,712,303   | 48,848,947  | 41,392,716  | 85,296,398  | 73,834,140   | 69,505,995   |
| GA    | 323        | MR/DD                        |           |           |           |              |              |             |             |             | 28,388,026   | 43,462,797   |

\*Estimate for missing data.  
Empty cells denote inactive program e.g., not started or ended  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.



Table 4A : Medicaid 1915(c) HCBS Waivers Annual Expenditure by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$)    | 1993 (\$)    | 1994 (\$)    | 1995 (\$)    | 1996 (\$)   | 1997 (\$)   | 1998 (\$)   | 1999 (\$)   | 2000 (\$)    | 2001 (\$)    |
|-------|------------|------------------------------|--------------|--------------|--------------|--------------|-------------|-------------|-------------|-------------|--------------|--------------|
| MD    | 40118      | Children (Special Care)      | 13,552,041   | 12,842,205   | 4,483,975    | 3,084,580    | 4,126,479   | 2,965,376   | 2,937,836   | 3,234,021   | 2,410,244    | 2,036,491    |
| ME    | 00088      | Aged                         | 5,015,057    | 4,934,804    | 5,634,929 *  | 5,474,168    | 5,841,018   | 6,963,770   | 10,334,756  | 14,751,242  | 13,566,038   | 11,942,905   |
| ME    | 00127      | Disabled/Physically Disabled | 1,192,241    | 1,324,652    | 1,558,152    | 1,838,953    | 3,062,016   | 4,518,778   | 5,552,727   | 6,192,783   | 6,192,782    | 7,055,359    |
| ME    | 00159      | MR/DD                        | 16,293,347   | 21,156,446 * | 29,514,284   | 39,523,126   | 47,802,827  | 56,235,246  | 76,175,102  | 109,683,134 | 126,581,167  | 126,581,167  |
| ME    | 00276      | Disabled/Physically Disabled | 60,170,666   | 73,166,493   | 92,336,070   | 105,016,441  | 155,991,690 | 276,912     | 3,471,550   | 5,057,466   | 5,583,275    | 5,545,411    |
| MI    | 00167      | MR/DD                        |              |              |              |              |             | 191,394,035 | 256,667,980 | 291,875,338 | 450,545,905  | 531,243,158  |
| MI    | 00233      | Aged/Disabled                |              |              |              |              |             | 8,035,800   | 10,904,458  | 17,044,229  | 33,167,659   | 53,118,351   |
| MI    | 40119      | MR/DD                        | 1,448,114    | 2,330,669    | 5,042,233    | 6,629,270    | 6,629,270   | 7,830,918   | 12,660,796  | 14,549,325  | 8,854,888    | 61,546,361   |
| MN    | 00025      | Aged                         | 8,296,428    | 11,785,592   | 15,637,053 * | 19,069,738   | 22,661,053  | 25,688,805  | 30,282,600  | 34,782,044  | 45,418,653   | 61,546,361   |
| MN    | 00061      | MR/DD                        | 75,306,157   | 104,065,190  | 130,123,025  | 170,739,962  | 214,453,235 | 252,142,951 | 311,114,939 | 356,594,367 | 411,303,467  | 507,730,208  |
| MN    | 00166      | Disabled/Physically Disabled | 4,321,675    | 5,628,108    | 6,693,969    | 8,143,382    | 10,862,812  | 12,744,846  | 16,649,640  | 20,711,265  | 25,181,741   | 31,958,168   |
| MN    | 00212      | MR/DD                        | 829,582      | 675,610      | 3,624,816    | 4,894,339    | 6,089,564   | 6,314,010   |             |             |              |              |
| MN    | 40128      | Disabled/Physically Disabled | 7,457,089    | 11,180,630   | 10,765,781   | 8,966,405    | 9,681,506   | 9,307,119   | 8,216,221   | 6,836,029   | 5,662,765    | 4,995,124    |
| MN    | 40169      | TBI/Head Injury              |              | 78,463       | 704,899      | 2,217,940    | 5,010,604   | 6,879,660   | 8,974,709   | 11,167,659  | 13,154,941   | 16,488,433   |
| MO    | 00026      | Aged                         | 7,647,356    | 9,444,037    | 14,178,536   | 19,997,273   | 24,634,732  | 31,527,008  | 39,733,389  | 46,311,315  | 60,432,687   | 70,490,339   |
| MO    | 00178      | MR/DD                        | 49,220,633   | 69,909,857   | 94,679,178   | 115,004,262  | 134,902,873 | 153,819,359 | 167,862,547 | 183,391,511 | 200,140,751  | 217,358,483  |
| MO    | 00197      | AIDS/ARC                     | 542,315      | 749,417      | 909,622      | 1,864,121    | 4,180,612   | 1,563,849   | 879,226     | 820,562     | 917,927      | 957,521      |
| MO    | 00239      | MR/DD                        | 237,877      | 381,914      | 503,288      | 131,143      |             |             |             |             |              |              |
| MO    | 00346      | Disabled/Physically Disabled |              |              |              |              |             |             |             |             |              |              |
| MO    | 40185      | MR/DD                        |              |              |              |              | 69,482      | 525,812     | 823,659     | 1,164,639   | 1,449,124    | 1,416,424    |
| MO    | 40190      | Disabled/Physically Disabled |              |              |              |              |             |             |             | 270,318     | 1,246,567    | 1,510,868    |
| MS    | 00130      | Aged/Disabled                | 868,396      | 944,468      | 1,138,978    | 617,079      | 1,160,005   | 1,046,480   | 1,157,966   | 1,283,891   | 1,550,967    | 2,739,901    |
| MS    | 00255      | Disabled/Physically Disabled |              |              | 39,330       | 2,001,048    | 8,670,906   | 9,186,820 * | 9,967,821   | 11,645,303  | 20,207,458   | 29,289,393   |
| MS    | 00272      | Aged/Disabled                |              |              |              |              | 35,272      | 530,483     | 902,388     | 1,926,024   | 3,655,187    | 9,489,829    |
| MS    | 00282      | MR/DD                        |              |              |              |              |             |             |             |             |              |              |
| MT    | 00148      | Aged/Disabled                | 5,485,524    | 4,330,920    | 4,800,324    | 6,748,067    | 9,987,285   | 11,058,174  | 12,695,929  | 14,454,089  | 17,064,504   | 19,356,808   |
| MT    | 00208      | MR/DD                        | 9,765,087    | 12,105,951   | 13,820,466   | 17,073,749   | 20,688,561  | 25,219,815  | 26,237,979  | 27,275,190  | 33,314,200   | 36,769,692   |
| MT    | 00232      | MR/DD                        |              | 420,761      | 1,743,509    |              |             |             |             |             |              |              |
| NC    | 00132      | Aged/Disabled                | 37,677,789   | 47,971,146   | 59,935,870   | 76,183,997 * | 94,530,431  | 113,160,889 | 139,018,051 | 153,752,548 | 174,314,081  | 200,227,339  |
| NC    | 00151      | MR/DD                        | 12,354,693   | 15,629,923   | 20,877,193 * | 50,636,946   | 88,725,364  | 127,012,838 | 121,440,668 | 154,897,313 | 195,296,387  | 179,413,761  |
| NC    | 00289      | AIDS/ARC                     |              |              |              |              | 25,763      | 87,731      | 170,373     | 176,086     | 247,289      | 319,691      |
| NC    | 40141      | Children (Special Care)      |              | 1,819,623    | 1,604,816    | 2,146,845    | 4,394,367   | 6,286,688   | 8,369,880   | 10,531,314  | 11,817,594   | 17,167,268   |
| ND    | 00037      | MR/DD                        | 18,908,079   | 20,657,117   | 23,324,180   | 26,649,209   | 28,983,602  | 30,380,683  | 33,613,717  | 37,444,074  | 42,178,721   | 44,902,595   |
| ND    | 00054      | Aged/Disabled                | 1,468,128    | 1,542,548 *  | 1,616,967    | 1,802,408    | 2,047,192   | 2,416,113   | 2,867,469   | 3,328,323   | 3,779,343    | 4,542,268    |
| ND    | 00273      | TBI/Head Injury              |              |              |              | 190,802      | 280,120     | 291,523     | 299,950     | 321,411     | 348,994      | 433,669      |
| NE    | 00172      | MR/DD                        | 19,825,987   | 22,031,540   | 29,126,424   | 30,528,034   | 36,995,690  | 54,296,066  | 52,864,061  | 68,141,574  | 69,353,097   | 81,759,761   |
| NE    | 00187      | Aged/Disabled                | 2,265,796    | 3,246,779    | 3,833,342    | 4,340,559    | 4,930,050   | 5,482,517   | 6,885,058   | 13,813,410  | 23,151,664   | 31,827,137   |
| NE    | 00246      | Children (Special Care)      |              | 25,435       | 24,993       | 2,736        | 2,736       | 3,534       | 3,717       | 6,234       | 6,621        | 1,590        |
| NE    | 40154      | MR/DD                        | 89,207       | 2,050,248    | 2,852,548    | 3,694,326    | 3,670,778   | 4,812,113   | 5,763,818   | 6,353,915   | 6,538,470    | 7,733,548    |
| NE    | 40199      | TBI/Head Injury              |              |              |              |              |             |             |             |             |              | 82,991       |
| NH    | 00053      | MR/DD                        | 46,906,608 * | 53,506,219   | 61,166,145   | 73,234,020   | 82,334,721  | 89,538,896  | 94,328,906  | 98,379,936  | 98,379,936 * | 98,379,936 * |
| NH    | 0006       | Aged                         | 7,017,123    | 7,677,596 *  | 9,244,920    | 9,162,067    | 11,553,939  | 9,991,200   | 10,981,578  | 12,026,506  | 13,524,996   | 13,524,996 * |
| NH    | 40177      | TBI/Head Injury              |              |              | 1,483,193    | 4,238,291    | 5,303,967   | 5,724,339   | 5,987,312   | 7,058,090 * | 5,820,455    | 5,820,454 *  |
| NJ    | 00031      | MR/DD                        | 102,936,389  | 121,219,803  | 141,348,147  | 157,059,632  | 166,214,040 | 184,184,062 | 195,702,041 | 208,160,041 | 230,627,921  | 245,193,696  |
| NJ    | 00032      | Aged/Disabled                | 19,425,780   | 21,983,784   | 25,859,737   | 28,680,616 * | 41,134,312  | 31,806,816  | 33,206,481  | 34,567,454  | 34,500,808   | 37,465,312   |
| NJ    | 00160      | AIDS/ARC                     | 5,442,975    | 5,442,975    | 6,074,344    | 6,960,855    | 6,446,796   | 7,067,167   | 6,992,583   | 6,253,567   | 5,707,175    | 4,709,087    |
| NJ    | 00244      | Children (Special Care)      |              | 1,324,774    | 1,844,321    | 1,458,955    | 1,851,094   | 1,261,182   | 1,300,985   | 807,171     | 1,098,289    | 394,189      |
| NJ    | 00285      | Aged/Disabled                |              |              |              |              | 163,155     | 880,914     | 3,037,733   | 6,435,229   | 11,956,229   | 22,832,167   |
| NJ    | 40104      | Disabled/Physically Disabled | 41,745       | 42,570       | 41,670       | 44,370       | 44,730      | 39,600      | 41,290      | 42,615      | 38,380       | 50,925       |
| NJ    | 40123      | Disabled/Physically Disabled | 321,154      | 276,260      | 41,220       | 44,190       | 41,685      | 40,590      | 37,260      | 33,825      | 36,240       | 42,460       |
| NJ    | 40133      | Disabled/Physically Disabled | 10,379,105   | 12,610,465   | 14,406,412   | 13,899,657   | 12,690,725  | 13,244,021  | 14,066,560  | 15,439,936  | 16,475,608   | 17,376,899   |
| NJ    | 40174      | TBI/Head Injury              |              |              | 1,508,228    | 4,034,561    | 7,070,872   | 8,770,451   | 8,962,718   | 9,992,903   | 11,241,255   | 12,999,602   |
| NM    | 00161      | AIDS/ARC                     | 699,864      | 681,287      | 994,217      | 843,842      | 867,008 *   | 629,885     | 551,475     | 496,443     | 545,225      | 576,523      |

\*Estimate for missing data.  
Empty cells denote inactive program e.g., not started or ended  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 4A : Medicaid 1915(c) HCBS Waivers Annual Expenditure by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$)    | 1993 (\$)    | 1994 (\$)     | 1995 (\$)    | 1996 (\$)     | 1997 (\$)     | 1998 (\$)     | 1999 (\$)     | 2000 (\$)     | 2001 (\$)     |
|-------|------------|------------------------------|--------------|--------------|---------------|--------------|---------------|---------------|---------------|---------------|---------------|---------------|
| NM    | 00169      | Aged/Disabled                | 11,219,600   | 11,664,544   | 12,894,169    | 13,450,341   | 11,859,229    | 11,924,463    | 17,176,063    | 19,868,387    | 18,969,438    | 21,120,285    |
| NM    | 00173      | MR/DD                        | 3,593,444    | 6,704,691    | 11,814,916    | 31,592,237   | 64,751,635 *  | 84,109,852    | 90,684,758    | 95,665,274    | 109,701,496   | 130,573,476   |
| NM    | 00223      | Children (Special Care)      | 1,810,459    | 2,350,605    | 3,056,784 *   | 2,958,862 *  | 2,325,256 *   | 1,871,203     | 2,987,332     | 3,149,799     | 3,400,246     | 3,569,784     |
| NV    | 00125      | MR/DD                        | 2,273,277    | 2,481,281    | 2,526,019     | 3,673,394    | 4,877,293     | 5,576,524     | 7,631,877     | 9,744,301     | 14,915,124    | 20,854,000    |
| NV    | 00152      | Aged                         | 2,041,101    | 1,860,636    | 2,576,709     | 6,304,092    | 3,325,412     | 3,672,330     | 4,299,386     | 4,922,900     | 5,533,472     | 6,636,184     |
| NV    | 00267      | Aged                         |              |              |               | 3,793        | 53,545        | 153,730       | 234,883       | 256,773       | 335,909       | 474,316       |
| NV    | 40150      | Disabled/Physically Disabled | 49,004       |              |               |              |               |               |               |               |               |               |
| NV    | 4150       | Disabled/Physically Disabled |              | 82,171       | 75,816        | 74,259       | 61,969        | 77,859        | 95,375        | 145,769       | 207,974       | 654,260       |
| NY    | 00034      | Aged/Disabled                | 15,361,824 * | 16,998,718   | 18,967,043    | 20,672,916   | 22,068,026    | 23,166,002    | 24,129,960    | 23,845,013    | 24,424,625    | 26,222,889    |
| NY    | 00238      | MR/DD                        | 63,358,920 * | 178,657,086  | 445,224,469   | 713,406,588  | 1,086,832,459 | 1,339,279,998 | 1,441,373,701 | 1,737,986,541 | 1,775,946,667 | 1,679,148,226 |
| NY    | 00269      | TBI/Head Injury              |              |              |               |              | 653,722       | 5,573,497     | 10,714,719    | 16,457,886    | 23,857,657    | 32,588,546    |
| NY    | 00296      | Children (Special Care)      |              |              |               |              | 600,662       | 2,922,265     | 3,849,915     | 4,763,878     | 5,614,327     | 5,614,327 *   |
| NY    | 40125      | Children (Special Care)      | 203,930      | 237,815      | 412,152       | 442,388      | 428,224       | 344,367       | 547,943       | 1,473,808     | 2,097,527     | 2,085,085     |
| NY    | 40148      | Children (Special Care)      | 261,625      | 167,605 *    | 197,390       | 677,122      | 534,774       | 513,473       | 562,962       |               |               |               |
| NY    | 40163      | MR/DD                        | 335,000      | 376,611      | 629,864       | 978,746      | 1,156,072     | 1,109,013     | 1,062,182     | 926,262       | 857,987       | 1,074,159     |
| NY    | 40176      | MR/DD                        |              |              |               | 561,311      | 1,110,339     | 1,126,324     | 997,347       | 939,906       | 822,161       | 942,223       |
| NY    | 40178      | Children (Special Care)      |              |              |               | 77,698       | 233,424 *     | 390,410       | 442,071       |               |               |               |
| NY    | 40200      | MR/DD                        |              |              |               |              |               |               |               |               |               |               |
| OH    | 00180      | AIDS/ARC                     | 223,017      |              |               |              |               |               |               |               |               | 476,924       |
| OH    | 00196      | Disabled/Physically Disabled | 1,890,352 *  | 373,541      | 1,847,947 *   | 2,154,191    | 2,812,827     | 3,833,541     |               |               |               |               |
| OH    | 00198      | Aged/Disabled                | 29,122,109   | 46,100,794   | 49,895,197    | 72,503,873   | 93,280,744    | 102,940,889   | 118,964,840 * | 122,637,148   | 126,361,527   | 151,790,565   |
| OH    | 00207      | Disabled/Physically Disabled | 3,824,737    | 7,117,479    | 11,480,650    | 20,574,955 * | 28,228,686    | 36,481,763    |               |               |               |               |
| OH    | 00218      | MR/DD                        | 8,001,000 *  | 575,822      | 2,126,669     | 8,646,375    | 6,826,950     | 11,774,406    | 14,119,280 *  | 16,829,030 *  |               |               |
| OH    | 00231      | MR/DD                        | 2,338,190    | 19,011,901   | 50,898,015    | 81,124,511   | 49,856,484    | 23,387,460    | 27,265,475    | 96,973,986    | 97,983,671    | 105,463,193   |
| OH    | 00291      | MR/DD                        |              |              |               |              |               |               | 20,521,084    | 68,836,731    | 82,614,351    | 88,910,959    |
| OH    | 00337      | Disabled/Physically Disabled |              |              |               |              |               |               |               | 84,736,172    | 118,595,123   | 141,200,675   |
| OK    | 00179      | MR/DD                        | 34,859,968 * | 39,614,137 * | 55,091,485 *  | 70,086,630   | 86,468,667    | 90,534,136    | 113,425,671   | 139,198,367   | 153,874,579   | 185,127,310   |
| OK    | 00234      | MR/DD                        | 41,891 *     | 612,097 *    | 4,686,399 *   | 4,323,332    | 5,438,638     | 5,904,119     | 8,127,596     | 9,500,000 *   |               |               |
| OK    | 00256      | Aged/Disabled                |              |              | 41,202 *      | 310,850      | 1,077,878     | 2,795,125     | 10,605,496    | 27,899,130    | 40,877,160    | 54,497,981    |
| OK    | 00343      | MR/DD                        |              |              |               |              |               |               |               |               | 729,720       | 4,339,450     |
| OK    | 00351      | MR/DD                        |              |              |               |              |               |               |               |               | 98,832        | 1,113,335     |
| OR    | 00117      | MR/DD                        | 51,910,294   | 69,676,738   | 83,753,875    | 94,959,882   | 99,486,815    | 104,678,262   | 134,720,602   | 177,020,437   | 226,991,678   | 257,207,622   |
| OR    | 00185      | Aged/Disabled                | 63,983,050   | 76,182,007   | 86,768,326    | 97,242,300   | 97,314,530 *  | 119,485,176   | 114,701,042   | 168,138,603   | 196,673,453   | 221,373,187   |
| PA    | 00147      | MR/DD                        | 127,955,492  | 163,286,981  | 208,214,807 * | 250,402,106  | 290,038,041   | 361,140,774   | 464,289,284   | 540,990,897   | 614,334,656   | 614,334,656 * |
| PA    | 00192      | AIDS/ARC                     | 76,684       | 188,950      | 150,392       | 162,480      | 179,169       | 146,373       | 95,095        | 28,578        | 146,373 *     | 146,373 *     |
| PA    | 00235      | MR/DD                        | 106,301      | 1,160,779    | 1,199,828     | 1,804,210    | 2,943,655     | 3,092,647     | 3,806,380 *   | 4,403,090 *   | 6,404,833     | 8,547,195     |
| PA    | 00277      | Disabled/Physically Disabled |              |              |               |              | 2,049,377     | 4,550,604     | 8,953,177     | 16,706,115    | 27,437,318    | 33,030,186    |
| PA    | 00279      | Aged                         |              |              |               |              | 452,784       | 5,541,452 *   | 11,912,205    | 21,194,443    | 32,505,966    | 32,505,966 *  |
| PA    | 00313      | Disabled/Physically Disabled |              |              |               |              |               | 820,743       | 813,509       | 943,165       | 1,089,070     | 1,149,524     |
| PA    | 00319      | Aged                         |              |              |               |              |               |               | 316,122       | 1,046,508     | 1,063,248     | 1,063,248 *   |
| PA    | 00324      | MR/DD                        |              |              |               |              |               |               |               | 1,670,490     | 6,525,404     | 6,525,404 *   |
| PA    | 00354      | MR/DD                        |              |              |               |              |               |               |               |               | 9,196,130     | 9,196,130 *   |
| PA    | 40144      | Children (Special Care)      | 4,693,294    | 6,481,276    | 4,958,529     | 4,660,208    | 3,868,677     | 3,396,189     | 4,195,436     | 3,575,922     | 4,162,016     | 4,708,174     |
| RI    | 00040      | Aged/Disabled                | 2,464,993 *  | 4,692,743    | 4,802,598     | 5,413,931    | 6,783,780     | 7,022,690     | 7,213,910     | 9,052,250     | 10,167,650 *  | 10,167,650 *  |
| RI    | 00162      | MR/DD                        | 40,673,635   | 41,506,148 * | 64,484,610    | 66,419,146   | 74,854,518    | 93,611,710    | 95,015,883    | 97,391,274    | 153,156,128 * | 153,156,128 * |
| RI    | 00176      | Aged                         | 133,246      | 135,066      | 735,035       | 900,242      | 1,102,581 *   | 2,058,947     | 2,112,562     | 2,598,446     | 2,569,891 *   | 2,569,891 *   |
| RI    | 00335      | MR/DD                        |              |              |               |              |               |               |               |               | 292,348 *     |               |
| RI    | 40126      | Disabled/Physically Disabled | 254,849      | 379,097      | 386,689       | 445,180      | 467,438       | 475,537       | 438,700       | 633,796       | 980,786 *     | 980,786 *     |
| RI    | 40153      | Children (Special Care)      | 753,780 *    | 2,088,719 *  | 2,715,230     | 32,061,329   | 33,589,209    | 39,616,798    | 48,232,049    | 63,652,223    | 76,527,701    | 77,737,249    |
| SC    | 00104      | Aged/Disabled                | 23,703,596   | 24,348,413   | 27,373,146    |              |               |               | 2,709,975     | 2,585,582     | 2,681,085     | 2,681,085 *   |
| SC    | 00186      | AIDS/ARC                     | 543,707      | 751,353      | 964,988       | 1,031,883    | 790,883       | 928,904       | 2,709,975     | 80,252,227    | 97,260,378    | 117,804,219   |
| SC    | 00237      | MR/DD                        | 6,172,821    | 15,928,897   | 20,507,159    | 27,668,205   | 35,386,125    | 53,263,330    | 70,759,006    | 80,252,227    | 97,260,378    | 117,804,219   |
| SC    | 00284      | TBI/Head Injury              |              |              |               |              | 1,105,951     | 2,104,521     | 3,066,248     | 4,681,913     | 6,606,685     | 8,043,854     |

\*Estimate for missing data.  
Empty cells denote inactive program e.g., not started or ended  
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Table 4A : Medicaid 1915(c) HCBS Waivers Annual Expenditure by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$)     | 1993 (\$)     | 1994 (\$)     | 1995 (\$)     | 1996 (\$)     | 1997 (\$)     | 1998 (\$)     | 1999 (\$)      | 2000 (\$)      | 2001 (\$)      |
|-------|------------|------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|----------------|
| SC    | 40140      | Children (Special Care)      | 23,940        | 27,900        | 2,240         |               |               |               |               |                |                |                |
| SC    | 40181      | Disabled/Physically Disabled |               |               |               | 217,119       | 466,457       | 515,976       | 498,695       | 658,852        | 573,609        | 692,778        |
| SD    | 00044      | MR/DD                        | 15,993,744    | 19,472,805    | 22,525,878    | 27,817,605    | 32,865,848    | 37,592,280    | 40,468,524    | 46,167,408     | 49,611,521     | 53,448,336     |
| SD    | 00189      | Aged                         | 393,162       | 521,116       | 593,094       | 692,384       | 642,844       | 802,661       | 1,102,617     | 1,376,800      | 1,967,805      | 2,350,198      |
| SD    | 00264      | Disabled/Physically Disabled |               |               |               | 63,513        | 286,763       | 414,673       | 653,311       | 823,517        | 892,587        | 1,173,167      |
| SD    | 00338      | Aged                         |               |               |               |               |               |               |               | 398,888        | 474,874        | 583,308        |
| TN    | 00062      | Aged/Disabled                | 1,900,227     | 1,764,179 *   | 1,696,937     | 2,810,932     | 3,649,866     | 3,297,664     | 3,839,562     | 3,849,178      | 3,891,868      | 3,891,869 *    |
| TN    | 00128      | MR/DD                        | 9,598,772     | 10,400,235    | 13,153,082 *  | 17,574,655    | 39,438,230    | 68,175,327    | 113,834,548   | 124,208,486    | 169,914,124    | 182,715,777    |
| TN    | 00248      | Aged/Disabled                |               |               |               |               | 2,911         | 73,740        | 402,061       | 699,219        | 894,334        | 894,334 *      |
| TN    | 00357      | MR/DD                        |               |               |               |               |               |               |               |                |                | 10,084,692     |
| TN    | 40132      | MR/DD                        | 822,770       | 1,718,147     | 2,405,368     | 2,994,241     | 2,619,389     |               |               |                |                |                |
| TX    | 00110      | MR/DD                        | 18,827,503    | 20,328,982    | 41,250,681 *  | 66,330,678    | 83,927,266    | 131,378,559   | 189,977,938   | 202,540,266    | 187,960,852    | 161,244,944    |
| TX    | 00181      | Children (Special Care)      | 1,715,020     | 4,553,096     | 7,539,206     | 9,995,603     | 12,291,732    | 11,439,173    | 9,243,964     | 11,462,141     | 15,674,054     | 17,551,366     |
| TX    | 00221      | MR/DD                        | 5,609,891     | 12,745,652    | 8,977,096     | 18,637,081    | 21,977,009    | 24,525,482    | 26,140,076    | 27,591,429     | 33,150,734     | 39,945,664     |
| TX    | 00240      | MR/DD                        |               | 297,133       | 2,239,096     | 4,761,083     | 6,106,602     | 6,496,156     | 7,156,721     | 6,138,435      | 5,232,523      | 4,271,041      |
| TX    | 00266      | Aged/Disabled                |               |               | 1,515,529     | 1,515,529     | 28,060,176    | 143,188,929   | 177,713,383   | 266,376,386    | 298,410,833    | 350,783,471    |
| TX    | 00281      | Disabled/Physically Disabled |               |               |               |               | 1,769,644     | 2,481,007     | 3,361,700     | 3,765,705      | 3,684,908      | 3,939,575      |
| TX    | 00330      | MR/DD                        |               |               |               |               |               |               |               | 31,320,574     | 31,320,574 *   | 48,092,248     |
| UT    | 00158      | MR/DD                        | 26,217,682    | 29,561,797    | 32,881,482    | 37,056,704    | 43,904,184    | 52,711,833    | 60,744,873    | 63,281,966     | 76,334,967     | 83,062,061     |
| UT    | 00247      | Aged                         |               | 67,298        | 324,715       | 570,753 *     | 1,099,344     | 1,308,382     | 1,790,177     | 1,672,476      | 2,248,187      | 2,232,254      |
| UT    | 00292      | TBI/Head Injury              |               |               |               |               |               | 230,100 *     | 329,156       | 555,529        | 1,051,456      | 1,417,515      |
| UT    | 00331      | Disabled/Physically Disabled |               |               |               |               |               |               |               | 35,571         | 549,397        | 1,025,497      |
| UT    | 40183      | Children (Special Care)      |               |               |               | 107,916       | 292,024       | 248,070       | 248,070       | 487,412        | 809,929        | 1,108,814      |
| VA    | 00048      | Aged/Disabled                | 40,507,595    | 49,151,055    | 53,545,034    | 61,697,201    | 75,701,885    | 75,701,885    | 85,437,530    | 80,378,723     | 83,651,292     | 88,156,499     |
| VA    | 00225      | MR/DD                        | 58,685        | 58,685 *      |               |               |               |               |               |                |                |                |
| VA    | 00227      | MR/DD                        | 7,686,039     | 13,932,494    | 2,713,573     | 33,492,778    | 50,706,094    | 50,135,729    | 67,408,178    | 114,359,936    | 139,911,393    | 171,080,285    |
| VA    | 00306      | Aged/Disabled                |               |               |               |               |               |               |               | 94,290         | 114,066        |                |
| VA    | 00321      | Aged                         |               |               |               |               |               |               |               | 299,341        | 563,909        | 359,853        |
| VA    | 00358      | MR/DD                        |               |               |               |               |               |               |               |                |                | 20,764         |
| VA    | 40149      | Disabled/Physically Disabled | 4,547,860     | 6,059,367     | 7,755,089     | 10,089,099    | 11,529,988    | 11,529,988    | 19,021,568    | 14,904,887     | 15,957,248     | 17,406,038     |
| VA    | 40160      | AIDS/ARC                     | 521,000       | 833,017       | 210,642       | 1,432,066     | 1,798,958     | 1,798,957     | 1,608,485     | 1,133,664      | 993,899        | 896,913        |
| VT    | 00163      | Aged/Disabled                | 2,373,531     | 2,618,997     | 3,086,909     | 2,937,246     | 2,937,246     | 4,982,081     | 6,326,555     | 8,174,849      | 10,792,245     | 13,129,914     |
| VT    | 04790      | MR/DD                        | 13,602,558    | 21,977,675    | 31,366,014    | 37,383,613    | 43,561,521    | 48,665,344    | 64,446,952    | 54,750,093     | 60,196,583     | 68,804,020     |
| VT    | 04791      | Mental Health                | 2,457,906     | 2,642,880 *   | 1,727,610 *   | 2,653,491 *   | 2,795,427     | 2,706,925     | 3,434,204     | 2,570,376      | 4,291,107      | 4,630,844      |
| VT    | 40182      | TBI/Head Injury              |               |               |               | 811,139       | 1,011,090     | 1,248,259     | 1,360,891     | 1,460,699      | 2,002,955      | 2,219,671      |
| VT    | 40186      | Aged/Disabled                |               |               |               |               |               | 135,625       | 601,882       | 813,231        | 997,421        | 1,251,460      |
| WA    | 00049      | Aged/Disabled                | 39,645,370    | 45,115,189    | 44,176,599 *  | 40,938,609 *  | 57,251,326    | 96,751,569    | 143,739,991   | 194,129,285    | 241,108,970    | 274,756,889    |
| WA    | 00050      | MR/DD                        | 61,710,409    | 73,694,506    | 82,971,123    | 92,851,618    | 104,287,898   | 111,538,406   | 131,191,971   | 158,471,059    | 195,160,794    | 221,720,632    |
| WA    | 00203      | MR/DD                        | 3,536,548     | 3,539,707     | 4,066,957     | 4,246,494     | 4,246,494 *   | 3,672,924     | 2,338,207     | 584,091        | 395,147        |                |
| WA    | 00206      | AIDS/ARC                     | 117,677       | 593,906       | 361,868       | 259,295       | 355,768       | 585,113       | 504,549       | 367,766        | 31,006         |                |
| WA    | 40143      | MR/DD                        | 2,111         | 361           | 1,353         | 3,600         | 3,600 *       | 3,600 *       | 0             | 0              |                |                |
| WI    | 00154      | Aged/Disabled                | 39,047,710    | 51,163,494    | 59,774,515    | 68,105,328    | 78,586,868    | 90,605,549    | 100,910,975   | 114,878,732    | 120,339,680    | 117,371,991    |
| WI    | 00229      | MR/DD                        | 38,140,920    | 48,185,334    | 67,586,536    | 98,995,010    | 151,761,696   | 187,255,592   | 228,579,475   | 261,548,324    | 279,555,761    | 297,824,314    |
| WI    | 00230      | MR/DD                        | 6,734,602     | 7,586,184     | 8,568,666     | 9,726,782     |               |               |               |                |                |                |
| WI    | 00275      | TBI/Head Injury              |               |               |               | 942,802       | 3,277,116     | 5,938,263     | 8,614,061     | 10,374,865     | 11,625,824     | 13,720,189     |
| WI    | 00297      | MR/DD                        |               |               |               |               | 217,362       | 627,416       | 757,292       | 1,019,205      | 1,182,100      | 1,346,093      |
| WV    | 00133      | Aged                         | 10,606,950    | 13,151,031    | 19,923,406    | 29,859,689    | 36,257,797    | 45,629,763    | 58,829,226    | 71,370,929     | 81,769,016     | 97,905,420     |
| WV    | 00134      | Aged/Disabled                | 15,301,321    | 21,039,824    | 29,237,874    | 35,377,749    | 39,429,263    | 39,615,566    | 38,908,487    | 38,257,527     | 42,328,897     |                |
| WY    | 00226      | MR/DD                        | 5,962,640     | 10,614,760    | 17,983,033    | 23,029,310    | 24,510,161    | 28,394,043    | 32,404,413    | 34,325,829     | 37,608,808     | 38,787,147     |
| WY    | 00236      | Aged/Disabled                |               |               | 443,681       | 1,773,566     | 2,905,535     | 3,510,082     | 4,420,108     | 4,661,562      | 5,779,838      |                |
| WY    | 00253      | MR/DD                        |               | 1,020,767     | 2,230,208     | 3,666,451     | 4,484,088     | 4,970,079     | 5,663,698     | 6,655,624      | 6,441,919      | 7,637,633      |
| US    |            |                              | 2,164,188,379 | 2,941,170,877 | 4,062,695,152 | 5,123,521,497 | 6,485,123,682 | 7,822,371,221 | 9,171,989,313 | 11,347,647,713 | 12,557,636,693 | 14,218,236,802 |

\*Estimate for missing data.

Empty cells denote inactive program e.g., not started or ended

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 4B : Medicaid 1915(c) HCBS Waivers Annual Expenditures by State, 1992-2001

| State | 1992 (\$)     | 1993 (\$)     | 1994 (\$)     | 1995 (\$)     | 1996 (\$)       | 1997 (\$)     | 1998 (\$)     | 1999 (\$)      | 2000 (\$)      | 2001 (\$)      | % chng 92-01 | % chng 00-01 |
|-------|---------------|---------------|---------------|---------------|-----------------|---------------|---------------|----------------|----------------|----------------|--------------|--------------|
| AK    |               |               | 369,058       | 4,465,703     | 7,873,841       | 23,032,797    | 29,510,244    | 38,284,093     | 52,293,852     | 73,290,571     |              | 40           |
| AL    | 43,045,427    | 46,167,521 *  | 50,391,118 *  | 63,683,235    | 83,013,934      | 104,971,092   | 119,168,979   | 129,111,942    | 135,582,490    | 217,638,481    | 406          | 61           |
| AR    | 5,341,167     | 12,252,417    | 18,774,410    | 25,979,648    | 34,258,972      | 34,723,018    | 43,074,690    | 56,212,910     | 64,662,496     | 86,874,448     | 1,527        | 34           |
| CA    | 99,929,507 *  | 223,754,536   | 286,172,234   | 365,024,315   | 424,267,434     | 451,852,961   | 514,523,304   | 550,073,062    | 581,250,608    | 765,624,295 *  | 666          | 32           |
| CO    | 70,142,114    | 80,510,442    | 112,668,681   | 122,401,007   | 143,625,699     | 171,621,237   | 204,547,173   | 209,560,647    | 277,436,410    | 304,367,003    | 334          | 10           |
| CT    | 115,897,231   | 132,022,809   | 182,742,748   | 208,171,472   | 236,055,576     | 257,346,579   | 337,686,007   | 676,068,983    | 390,972,103    | 413,385,067    | 257          | 6            |
| DC    |               |               |               |               |                 |               |               | 51,525         | 373,020        | 1,684,150      |              | 351          |
| DE    | 9,209,513 *   | 11,411,204    | 14,130,238    | 15,866,622    | 16,523,424      | 20,874,480    | 24,379,178    | 34,407,574     | 40,200,215     | 47,277,135     | 413          | 18           |
| FL    | 39,407,675    | 48,803,987    | 105,741,381   | 131,323,742   | 172,827,024     | 205,805,510   | 220,048,674   | 287,062,661    | 342,251,837    | 560,185,338    | 1,322        | 64           |
| GA    | 38,910,923    | 51,621,629    | 54,009,763    | 61,308,290    | 76,151,707 *    | 100,192,771   | 107,233,203   | 164,829,390    | 189,849,750    | 214,525,538 *  | 451          | 13           |
| HI    | 11,960,678    | 14,725,870    | 18,724,652    | 20,225,768 *  | 35,648,889      | 21,121,166    | 26,942,899    | 34,181,923     | 41,396,896     | 52,107,418     | 336          | 26           |
| IA    | 1,813,159 *   | 2,583,573     | 3,229,658 *   | 14,476,664 *  | 33,305,860 *    | 53,993,667    | 70,464,643    | 87,532,224     | 105,445,355    | 127,808,229    | 6,949        | 21           |
| ID    | 3,374,051     | 5,479,745     | 4,341,793     | 5,942,150     | 13,006,196      | 13,925,381    | 14,919,673    | 17,843,156     | 32,718,529     | 56,502,331     | 1,575        | 73           |
| IL    | 124,552,059   | 132,181,382   | 155,172,400   | 170,329,780   | 207,180,886     | 263,937,152 * | 271,095,427   | 317,526,299    | 331,945,970    | 420,451,700    | 238          | 27           |
| IN    | 3,084,690     | 6,691,424     | 12,274,457    | 19,185,436    | 28,174,692      | 38,478,205    | 51,541,655    | 84,591,088     | 104,045,614    | 133,706,767    | 4,235        | 29           |
| KS    | 19,322,437    | 32,356,084    | 53,658,900    | 67,967,949    | 86,414,246      | 154,646,453   | 199,506,463   | 240,266,596    | 273,496,388    | 295,849,690    | 1,431        | 8            |
| KY    | 30,062,064 *  | 38,575,190    | 39,797,734    | 40,688,259    | 58,819,744      | 32,332,134    | 81,504,618    | 97,323,773     | 122,006,749    | 139,131,176 *  | 363          | 14           |
| LA    | 2,324,025     | 10,425,808    | 25,661,463    | 38,928,066    | 45,173,806      | 54,297,339    | 62,516,572    | 77,970,290     | 83,648,777     | 129,630,601    | 5,478        | 55           |
| MA    | 38,941,231 *  | 108,175,999   | 213,071,773   | 200,385,114   | 253,651,395     | 286,885,121   | 356,207,610   | 427,737,937    | 469,647,944    | 506,934,671    | 1,202        | 8            |
| MD    | 68,741,764    | 91,041,774    | 107,667,558   | 122,402,485   | 129,448,246     | 145,584,518   | 141,714,823   | 156,128,448    | 179,364,397    | 199,377,107    | 190          | 11           |
| ME    | 22,500,645    | 27,415,902    | 36,707,365    | 47,920,977    | 58,612,998      | 70,487,706    | 95,534,135    | 119,480,293    | 135,025,229    | 151,124,842    | 572          | 12           |
| MI    | 61,618,780    | 77,300,297    | 106,661,221   | 114,351,091   | 165,223,606     | 209,012,749   | 275,402,582   | 321,580,363    | 498,262,889    | 593,216,397    | 863          | 19           |
| MN    | 96,210,931    | 133,413,593   | 167,549,543   | 214,031,766   | 268,758,774     | 313,077,391   | 375,238,109   | 430,091,364    | 500,721,567    | 622,718,294    | 547          | 24           |
| MO    | 57,648,181    | 80,485,225    | 110,270,624   | 136,996,799   | 163,787,699     | 187,438,028   | 209,298,821   | 231,958,345    | 265,302,776    | 294,351,174    | 411          | 11           |
| MS    | 868,396       | 944,468       | 1,178,308     | 2,618,127     | 9,866,183       | 10,763,783    | 12,028,175    | 14,855,218     | 25,413,612     | 41,519,123     | 4,681        | 63           |
| MT    | 15,250,611    | 16,857,632    | 20,364,299    | 23,821,816    | 30,675,846      | 36,277,989    | 38,933,908    | 41,729,279     | 50,378,704     | 56,126,500     | 268          | 11           |
| NC    | 51,443,472    | 65,420,692    | 82,417,879    | 128,967,788   | 187,675,925     | 246,548,146   | 268,998,972   | 319,357,261    | 381,675,351    | 397,128,059    | 672          | 4            |
| ND    | 20,376,207    | 22,199,665 *  | 24,941,147    | 28,642,419    | 31,310,914      | 33,088,319    | 36,781,136    | 41,093,808     | 46,307,058     | 49,878,532     | 145          | 8            |
| NE    | 22,180,990    | 27,354,002    | 35,837,307    | 38,565,655    | 45,599,254      | 64,594,230    | 65,516,654    | 88,315,133     | 99,049,852     | 121,405,027    | 447          | 23           |
| NH    | 53,923,731    | 61,183,815 *  | 71,894,258    | 86,634,378    | 99,192,627      | 105,254,435   | 111,297,796   | 117,464,532 *  | 117,725,387    | 117,725,386 *  | 118          | 0            |
| NJ    | 157,507,523   | 162,900,631   | 191,124,079   | 212,182,836   | 235,837,359     | 247,294,803   | 263,347,651   | 281,733,202    | 311,681,905    | 341,064,337    | 117          | 9            |
| NM    | 17,323,367    | 21,401,127    | 28,760,086 *  | 48,845,282 *  | 79,803,128 *    | 98,535,403    | 111,399,628   | 119,179,803    | 132,616,405    | 155,840,068    | 800          | 18           |
| NV    | 4,363,382     | 4,424,088     | 5,178,544     | 10,055,538    | 8,318,219       | 9,480,443     | 12,261,521    | 15,069,943     | 20,992,479     | 28,618,760     | 556          | 36           |
| NY    | 79,521,299    | 196,437,835   | 465,430,918   | 736,816,769   | 1,113,617,702 * | 1,374,425,349 | 1,483,680,800 | 1,786,393,294  | 1,833,620,951  | 1,748,152,379  | 2,098        | -5           |
| OH    | 45,399,405    | 73,179,537    | 116,248,478   | 185,003,905   | 181,005,691     | 178,418,059   | 180,870,679   | 390,013,067    | 425,554,672    | 487,365,392    | 974          | 15           |
| OK    | 34,901,859 *  | 40,226,234 *  | 59,819,086 *  | 74,720,812    | 92,985,183      | 99,233,380    | 132,158,563   | 176,597,497    | 195,580,291    | 245,078,076    | 602          | 25           |
| OR    | 115,893,344   | 145,858,745   | 170,522,201   | 192,202,182   | 196,801,345 *   | 224,163,438   | 249,421,644   | 345,159,040    | 423,665,131    | 478,580,809    | 313          | 13           |
| PA    | 132,831,771   | 171,117,986   | 214,523,556   | 257,029,004   | 299,531,703     | 378,688,782   | 494,381,208   | 590,559,208    | 702,865,014    | 711,206,856    | 435          | 1            |
| RI    | 44,280,503 *  | 48,801,773 *  | 73,127,162    | 73,178,499    | 83,208,317      | 103,171,884   | 104,781,055   | 109,675,766    | 167,166,803 *  | 166,874,455 *  | 277          | 0            |
| SC    | 30,444,064    | 41,056,563    | 48,847,533    | 60,978,536    | 71,338,625      | 96,429,529    | 125,265,973   | 151,830,797    | 183,649,458    | 206,959,185    | 580          | 13           |
| SD    | 16,386,906    | 19,993,921    | 23,118,972    | 28,573,502    | 33,795,455      | 38,809,614    | 42,224,452    | 48,766,613     | 52,946,787     | 57,555,009     | 251          | 9            |
| TN    | 12,321,769    | 13,882,561    | 17,255,387    | 23,379,828    | 45,710,396      | 71,546,731    | 118,076,171   | 128,756,883    | 174,700,326    | 197,586,672    | 1,504        | 13           |
| TX    | 26,152,414    | 37,924,863    | 61,521,608    | 101,239,974   | 154,132,429     | 319,509,306   | 413,593,782   | 549,195,136    | 575,434,478 *  | 625,828,309    | 2,293        | 9            |
| UT    | 26,217,682    | 29,629,095    | 33,206,197    | 37,735,373    | 45,295,552      | 54,498,385    | 63,112,276    | 66,032,954     | 80,993,936     | 88,846,141     | 239          | 10           |
| VA    | 53,321,179    | 70,034,618    | 64,083,023    | 106,711,144   | 139,736,925     | 139,166,559   | 177,727,811   | 211,170,841    | 241,191,807    | 277,920,352    | 421          | 15           |
| VT    | 18,433,995    | 27,239,552 *  | 36,180,533 *  | 43,785,489    | 50,305,284      | 57,738,234    | 76,170,484    | 67,769,248     | 78,280,311     | 90,035,909     | 388          | 15           |
| WA    | 105,012,115   | 122,943,669   | 131,577,900   | 138,299,616   | 166,145,086 *   | 212,551,612 * | 277,774,718   | 353,552,201    | 436,695,917    | 496,477,521    | 373          | 14           |
| WI    | 83,923,232    | 106,935,012   | 135,929,717   | 177,769,922   | 233,843,042     | 284,426,820   | 338,861,803   | 387,821,126    | 412,703,365    | 430,262,587    | 413          | 4            |
| WV    | 25,908,271    | 34,190,855    | 49,161,280    | 65,237,438    | 75,687,060      | 85,244,329    | 98,774,407    | 110,279,416    | 120,026,543    | 140,234,317    | 441          | 17           |
| WY    | 5,962,640     | 11,635,527    | 20,656,922    | 28,469,327    | 31,899,784      | 36,874,204    | 42,488,564    | 45,401,561     | 48,718,289     | 52,204,618     | 776          | 7            |
| US    | 2,164,188,379 | 2,941,170,877 | 4,062,695,152 | 5,123,521,497 | 6,485,123,682   | 7,822,371,221 | 9,171,989,313 | 11,347,647,713 | 12,557,536,693 | 14,218,236,802 | 557          | 13           |

\*Includes estimate for missing data for at least one waiver  
Empty cell denotes no waiver reported  
Source: CMS Form 372. University of California, San Francisco, 2003.

Table 5A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| AK    | 00260      | MR/DD                        |           |           |           | 28,087    | 31,732    | 44,021    | 45,193    | 46,995    | 45,883    | 53,951    |
| AK    | 00261      | Aged                         |           |           | 3,968     | 7,609     | 6,016     | 11,199    | 12,566    | 12,015    | 12,254    | 13,519    |
| AK    | 00262      | Disabled/Physically Disabled |           |           | 19,536    | 13,208    | 15,398    | 19,383    | 10,784    | 10,753    | 11,658    | 13,222    |
| AK    | 00263      | MR/DD                        |           |           | 14,489    | 19,454    | 21,367    | 22,258    | 27,048    | 27,227    | 29,555    | 42,032    |
| AL    | 00001      | MR/DD                        | 8,480     | 9,576 *   | 11,192    | 13,118    | 17,077    | 19,765    | 21,840    | 22,265    | 23,576    | 25,290    |
| AL    | 00068      | Aged/Disabled                | 3,819     | 3,842     | 3,598     | 3,978     | 4,294     | 4,999     | 5,311     | 6,435     | 6,490     | 15,521    |
| AL    | 00241      | Disabled/Physically Disabled |           | 545       | 1,290     | 3,680     | 5,164     | 5,416     | 5,665     | 8,054     | 6,938     | 5,868     |
| AL    | 00245      | MR/DD                        |           | 12,913    |           |           |           |           |           |           |           |           |
| AL    | 40162      | Aged/Disabled                | 1,584     | 1,584 *   |           |           |           |           |           |           |           |           |
| AR    | 00188      | MR/DD                        | 9,191 *   | 16,593    | 24,132    | 26,156 *  | 27,508 *  | 26,029    | 16,513    | 17,672    | 17,722 *  | 19,946    |
| AR    | 00195      | Aged                         | 563       | 1,795     | 2,234     | 1,655     | 2,786     | 2,823     | 2,956     | 3,039     | 3,676     | 3,831     |
| AR    | 00312      | Disabled/Physically Disabled |           |           |           |           |           |           | 8,393     | 12,641    | 12,848    | 12,114    |
| CA    | 00129      | MR/DD                        | 17,443    | 13,261    | 14,375    | 11,220    | 12,179    | 10,994    |           |           |           |           |
| CA    | 00139      | Disabled/Physically Disabled | 5,629 *   | 5,228     | 4,801     | 4,678 *   | 4,549     | 33,450    | 48,792    | 74,483    | 97,710    | 97,710 *  |
| CA    | 00141      | Aged/Disabled                | 2,440 *   | 2,418     | 2,503     | 2,513     | 2,506     | 2,583     | 2,627     | 2,496     | 2,650     | 2,728     |
| CA    | 00164      | Disabled/Physically Disabled | 99,403    | 90,753    | 93,045    | 94,666    | 104,977   | 106,032   | 100,739   |           |           |           |
| CA    | 00183      | AIDS/ARC                     | 2,355 *   | 2,881     | 3,217     | 3,226     | 3,384     | 3,381     | 3,623     | 3,572     | 3,694     | 3,375     |
| CA    | 348        | MR/DD                        |           |           |           |           |           |           | 12,941    | 15,991    | 16,267    | 19,192    |
| CA    | 40136      | Aged/Disabled                | 10,460 *  | 10,460    | 12,358    | 10,654    | 12,549    | 10,147    | 23,521    | 21,017    | 8,783     | 8,783 *   |
| CO    | 00006      | Aged/Disabled                | 4,093     | 4,179 *   | 3,621     | 3,762     | 4,205     | 4,134     | 4,588     | 5,049     | 5,013     | 5,131     |
| CO    | 00007      | MR/DD                        | 23,484    | 22,839    | 30,354    | 29,750    | 31,688    | 35,501    | 37,703    | 33,810    | 45,804    | 47,333    |
| CO    | 00199      | MR/DD                        | 23,945    | 23,945 *  | 23,945 *  | 23,028 *  |           |           |           |           |           |           |
| CO    | 00211      | AIDS/ARC                     | 1,920     | 1,841     | 2,781     | 2,759     | 2,876     | 3,824     | 4,737     | 4,375     | 5,900     | 1,357     |
| CO    | 00268      | Mental Health                |           |           |           | 1,322     | 4,072 *   | 4,215     | 4,766     | 5,198     | 5,137     | 5,486     |
| CO    | 00288      | TBI/Head Injury              |           |           |           |           | 9,282     | 4,881     | 10,223    | 12,689    | 15,704    | 16,074    |
| CO    | 00293      | MR/DD                        |           |           |           |           | 7,313     | 5,837     | 6,215     | 7,921     | 10,800    | 11,559    |
| CO    | 00305      | MR/DD                        |           |           |           |           |           | 28,495    | 29,171    | 27,648    | 29,630    | 30,096    |
| CO    | 40157      | Children (Special Care)      | 604       | 555       | 494       | 417       | 442       | 372       | 540       | 428       | 459       | 408       |
| CO    | 40179      | MR/DD                        |           |           |           |           | 596       | 722       | 783       | 731       | 767       |           |
| CO    | 40180      | MR/DD                        |           |           |           |           | 3,527     | 5,202     | 5,959     | 5,946     | 11,764    | 11,943    |
| CT    | 00140      | Aged/Disabled                | 5,143     | 5,120     | 4,610     | 5,405     | 7,240     | 5,782     | 5,809     | 6,063     | 6,146     | 6,190     |
| CT    | 00153      | MR/DD                        | 50,200    | 53,017    | 70,429    | 68,081    | 68,007    | 67,270    | 75,056    | 70,449    | 66,852    | 65,370    |
| CT    | 00301      | Disabled/Physically Disabled |           |           |           |           |           | 4,971     | 12,226    | 13,453    | 14,959    | 15,617    |
| CT    | 302        | TBI/Head Injury              |           |           |           |           |           |           |           |           | 40,264    | 49,326    |
| CT    | 40110      | MR/DD                        | 108       | 116       | 112       | 125       | 302       | 216       | 187       | 38        | 46        | 54        |
| DC    | 00307      | MR/DD                        |           |           |           |           |           |           |           | 1,777     | 3,743     | 4,721     |
| DC    | 00334      | Aged/Disabled                |           |           |           |           |           |           |           |           | 1,642     | 7,536     |
| DE    | 00009      | MR/DD                        | 24,953    | 29,674    | 33,875    | 37,874 *  | 36,611    | 44,303    | 52,677    | 53,446    | 59,777    | 63,216    |
| DE    | 00136      | Aged/Disabled                | 4,394     | 4,341     | 6,561     | 6,999     | 7,285     | 7,271     | 7,995     | 8,894     | 8,520     | 9,804     |
| DE    | 332        | Aged                         |           |           |           |           |           |           |           |           |           | 4,454     |
| DE    | 40159      | AIDS/ARC                     | 2,852 *   | 2,852     | 3,483     | 3,613     | 3,326     | 3,837     | 4,061     | 4,632     | 4,198     | 4,089     |
| FL    | 00116      | Aged/Disabled                | 5,495     | 5,499     | 5,302     | 5,770     | 6,513     | 6,294     | 6,098     | 6,551     | 6,723     | 6,875     |
| FL    | 00194      | AIDS/ARC                     | 1,676 *   | 2,092     | 2,263     | 2,446     | 2,492     | 2,927     | 3,033     | 2,950     | 3,649     | 3,719     |
| FL    | 00280      | Aged/Disabled                |           |           |           | 5,160     | 4,457     | 4,940     | 5,470     | 6,380     | 6,530     | 6,846     |
| FL    | 00294      | MR/DD                        |           |           |           |           | 3,922     | 3,089     | 4,150     | 4,350     | 3,414     | 3,715     |
| FL    | 00315      | MR/DD                        |           |           |           |           |           |           |           | 7,189     | 12,694    | 20,721    |
| FL    | 01090      | Aged/Disabled                | 1,556     | 1,331     | 2,518     | 2,821     | 2,962     | 5,166     | 3,805     | 4,332     | 4,529     | 5,487     |
| FL    | 01091      | MR/DD                        | 6,674     | 5,271     | 10,657    | 11,441    | 12,530    | 11,807    | 12,218    | 14,327    | 12,047    | 16,447    |
| FL    | 01879      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 5,141     | 10,839    |
| FL    | 40166      | Children (Special Care)      | 4,440     | 11,638    | 14,703    | 6,895     | 12,618    | 2,784     | 970       | 737       | 2,850     | 11,834    |
| GA    | 00112      | Aged/Disabled                | 2,675     | 2,590     | 2,561     | 2,930     | 3,467     | 3,312     | 3,840     | 4,235     | 5,420     | 5,081     |
| GA    | 00175      | MR/DD                        | 23,436    | 35,120    | 33,384    | 24,139    | 20,968    | 23,075    | 19,796    | 40,910    | 25,557    | 21,796    |

\* Estimate for missing data  
Empty cells denote inactive program e.g. not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 5A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| GA    | 323        | MR/DD                        |           |           |           |           |           |           |           |           |           |           |
| GA    | 40116      | Children (Special Care)      | 34,394    | 25,515    | 32,194    | 32,715    | 26,774    | 34,821    | 33,540    | 23,135    | 37,550    | 32,266    |
| GA    | 40170      | TBI/Head Injury              |           | 17,876    | 43,258    | 51,224    | 42,836 *  | 45,175    | 41,703    | 50,903    | 48,861    | 48,861 *  |
| HI    | 00013      | MR/DD                        | 17,101 *  | 17,842    | 24,237    | 26,267    | 51,422 *  | 22,423    | 21,435    | 20,809    | 21,435    | 21,511    |
| HI    | 00014      | Aged/Disabled                | 11,135    | 13,337    | 11,602    | 12,118    | 11,134    | 12,631    | 9,084     | 10,893    | 16,947    | 14,549    |
| HI    | 00057      | Aged/Disabled                | 11,882    | 13,295    | 14,329    | 15,299    | 15,353    | 14,558    | 14,315    | 16,958    | 15,618    | 16,395    |
| HI    | 00182      | AIDS/ARC                     | 6,251     | 4,225     | 4,600     | 8,191 *   | 10,183    | 13,263    | 12,866    | 8,322     | 7,037     | 5,675     |
| HI    | 40195      | Children (Special Care)      |           |           |           |           |           |           |           |           |           | 1,845     |
| IA    | 00213      | AIDS/ARC                     |           | 2,552     | 705 *     | 511 *     | 818 *     | 1,964     | 2,593     | 2,448     | 4,803     | 3,966     |
| IA    | 00242      | MR/DD                        |           | 612 *     | 1,620     | 4,876 *   | 10,095 *  | 15,012    | 16,113    | 16,899    | 17,773    | 18,725    |
| IA    | 00299      | TBI/Head Injury              |           |           |           |           |           | 4,131     | 12,888    | 16,802    | 11,365    | 10,692    |
| IA    | 00345      | Disabled/Physically Disabled |           |           |           |           |           |           |           |           | 3,269     | 2,601     |
| IA    | 40111      | MR/DD                        | 10,026    | 1,302 *   | 1,014     | 754 *     | 631 *     | 637       | 5,460     | 5,562     | 5,732     | 5,770     |
| IA    | 40155      | Aged                         | 1,024 *   | 1,977     | 1,334 *   | 1,168 *   | 1,369 *   | 2,264     | 2,368     | 2,532     | 2,749     | 3,027     |
| ID    | 07690      | Aged/Disabled                | 2,896     | 3,892     | 2,594     | 3,574     | 3,347     | 5,303     | 5,246     | 6,301     | 5,120     | 7,129     |
| ID    | 07691      | MR/DD                        |           |           |           | 5,030     | 19,860    | 22,141    | 22,770    | 20,620    | 20,828    | 21,266    |
| ID    | 40187      | MR/DD                        |           |           |           |           |           | 23,456    | 22,946    | 25,261    | 33,101    | 35,485    |
| ID    | 40189      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 53,186    | 39,702    |
| IL    | 00142      | Disabled/Physically Disabled | 4,669 *   | 4,741     | 4,628     | 4,498     | 5,039     | 5,173     | 5,360     | 5,280     | 5,348     | 6,673     |
| IL    | 00143      | Aged                         | 2,131 *   | 2,004     | 2,239 *   | 2,097     | 2,055     | 2,165     | 2,440     | 2,683     | 2,941     | 3,503     |
| IL    | 00202      | AIDS/ARC                     | 4,800     | 4,678     | 5,239     | 4,893 *   | 2,594     | 5,556     | 5,857     | 5,686     | 6,196     | 7,865     |
| IL    | 00204      | MR/DD                        | 20,327    | 24,436    | 20,781    |           |           |           |           |           |           |           |
| IL    | 00278      | Children (Special Care)      |           |           |           | 53,300    | 69,871    | 81,269    | 81,534    | 75,407    | 78,771    | 78,782    |
| IL    | 00318      | MR/DD                        |           |           |           |           |           |           | 977       |           |           |           |
| IL    | 00326      | Aged/Disabled                |           |           |           |           |           |           |           |           | 3,396     | 5,515     |
| IL    | 00329      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 3,786     | 6,908     |
| IL    | 00350      | MR/DD                        | 22,363    | 17,544    | 20,059    | 14,918    | 14,770    | 18,844    | 19,243    | 21,649    | 23,832    | 25,111    |
| IL    | 40147      | Children (Special Care)      | 50,447    | 67,321    | 85,708    | 97,165    | 106,976   | 100,764 * |           |           |           |           |
| IN    | 00210      | Aged/Disabled                | 2,308     | 2,782 *   | 3,645     | 3,596     | 3,781     | 4,576     | 5,319     | 6,620     | 7,142     | 7,437     |
| IN    | 00249      | MR/DD                        |           | 2,608     | 10,307    | 17,229    | 20,887    | 23,227    | 25,248    | 38,122    | 37,290    | 44,408    |
| IN    | 40171      | Children (Special Care)      |           | 8,499     | 6,009     | 5,739     | 5,789     | 5,680     | 8,127     | 9,257     | 6,260     | 8,299     |
| IN    | 40197      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 8,759     | 16,936    |
| IN    | 4151       | MR/DD                        | 6,799     | 13,562    | 16,020    | 12,784    | 16,896    | 15,981    | 14,232    | 21,596    | 27,312    | 29,871    |
| KS    | 00018      | Aged/Disabled                | 3,084     | 3,692     | 4,060     | 5,296     | 6,200     | 3,765     |           |           |           |           |
| KS    | 00224      | MR/DD                        | 14,806    | 20,235    | 25,836    | 26,237    | 20,991    | 23,280    | 24,632    | 29,472    | 30,161    | 29,607    |
| KS    | 00303      | Aged                         |           |           |           |           |           | 4,707     | 5,273     | 6,076     | 6,478     | 6,955     |
| KS    | 00304      | Disabled/Physically Disabled |           |           |           |           |           | 7,648     | 9,874     | 9,874     | 12,086    | 12,837    |
| KS    | 00320      | Mental Health                |           |           |           |           |           |           | 137       | 194       | 196       | 484       |
| KS    | 40164      | TBI/Head Injury              | 10,140    | 20,478    | 29,332    | 25,366 *  | 39,714    | 25,888    | 34,062    | 39,970    | 40,540    | 32,386    |
| KS    | 40165      | Children (Special Care)      | 1,477     | 2,841     | 3,232     | 3,164     | 2,844     | 2,085     | 2,383     | 2,568     | 2,770     | 3,114     |
| KY    | 00019      | MR/DD                        | 29,162    | 28,217    | 26,433    | 30,303 *  | 33,056    |           |           |           |           |           |
| KY    | 00144      | Aged/Disabled                | 1,386 *   | 2,218     | 2,255     | 2,772     | 2,514     | 2,557     | 2,846     | 3,334     | 3,686     | 3,686 *   |
| KY    | 00314      | MR/DD                        |           |           |           |           |           |           | 40,366    | 44,601    | 48,729    | 49,644    |
| KY    | 00333      | TBI/Head Injury              |           |           |           |           |           |           |           | 7,896     | 10,364    | 10,364 *  |
| KY    | 40145      | Children (Special Care)      | 181,615   | 202,284   | 218,051   | 213,663   | 195,859   | 195,859 * |           |           |           |           |
| KY    | 40146      | Disabled/Physically Disabled | 51,289 *  | 55,331    | 108,705   | 95,508    | 73,911    | 105,405   | 90,251    | 106,309   | 94,864    | 94,864 *  |
| LA    | 00121      | Aged/Disabled                | 3,444     | 3,604     | 4,216     | 4,628     | 5,019     | 5,019     | 8,000 *   | 10,837    | 5,212     | 6,091     |
| LA    | 00200      | MR/DD                        | 4,248     | 8,398     | 14,329    | 16,468    | 17,404    | 23,584    | 23,898    | 24,114    | 21,624    | 30,226    |
| LA    | 00251      | Disabled/Physically Disabled |           | 5,347     | 14,883    | 7,711     | 13,188    | 13,832    | 13,537    | 14,975    | 14,058    | 14,972    |
| LA    | 00257      | Aged/Disabled                |           |           | 2,367     | 6,986     | 10,445    | 10,772    | 6,594     | 6,698     | 6,154     | 7,822     |
| MA    | 00059      | Aged                         | 2,062     | 1,982     | 1,558     | 1,470     | 1,326     | 1,233     | 1,634     | 1,919     | 2,176     | 2,301     |
| MA    | 00064      | MR/DD                        | 28,482 *  | 24,368    | 28,425    | 27,183    | 28,176    | 31,135    | 34,182    | 37,729    | 39,608    | 41,341    |

\* Estimate for missing data  
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Table 5A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| MD    | 00023      | MR/DD                        | 32,773    | 33,649    | 36,744    | 39,260 *  | 37,864 *  | 41,294    | 38,924    | 15,190    | 36,759    | 35,162    |
| MD    | 00265      | Aged                         |           |           | 4,372     | 4,441     | 5,690     | 2,430     | 4,676     | 5,141     | 7,466     | 7,466 *   |
| MD    | 40118      | Children (Special Care)      | 68,445    | 59,181    | 18,529    | 12,960    | 16,981    | 12,619    | 13,728    | 7,574     | 12,173    | 9,934     |
| ME    | 00088      | Aged                         | 6,573     | 5,551     | 5,698 *   | 7,338     | 5,787     | 7,106     | 8,677     | 10,574    | 10,784    | 10,857    |
| ME    | 00127      | Disabled/Physically Disabled | 9,615     | 8,773     | 10,896    | 9,994     | 14,242    | 16,492    | 19,761    | 20,371    | 20,371    | 24,413    |
| ME    | 00159      | MR/DD                        | 28,889    | 33,317 *  | 39,616    | 45,118    | 45,920    | 49,590    | 55,806    | 57,561    | 59,513    | 61,597    |
| ME    | 00276      | Disabled/Physically Disabled |           |           |           | 8,409     | 8,829     | 10,695    | 10,917    | 12,869    | 13,454    | 14,004    |
| MI    | 00167      | MR/DD                        | 25,410    | 26,366    | 28,066    | 29,674    | 29,645    | 32,807    | 34,615    | 34,934    | 58,053    | 75,870    |
| MI    | 00233      | Aged/Disabled                |           | 2,132     | 2,436     | 2,632     |           | 2,421     | 2,811     | 2,665     | 2,925     | 3,698     |
| MI    | 40119      | MR/DD                        | 8,830     | 9,591     | 46,415    | 13,197    | 11,415    | 14,950    | 20,660    | 30,805    | 33,757    | 21,703    |
| MN    | 00025      | Aged                         | 2,338     | 2,752     | 2,953 *   | 3,015     | 3,384     | 3,676     | 4,145     | 4,545     | 4,533     | 5,431     |
| MN    | 00061      | MR/DD                        | 24,410    | 29,835    | 31,614    | 34,403    | 38,200    | 41,159    | 45,037    | 48,097    | 49,519    | 36,559    |
| MN    | 00166      | Disabled/Physically Disabled |           | 4,178     | 3,806     | 3,800     | 4,609     | 4,705     | 5,427     | 5,929     | 6,177     | 6,429     |
| MN    | 00212      | MR/DD                        | 23,044    | 10,724    | 38,562    | 46,613    | 49,509    | 50,919    |           |           |           |           |
| MN    | 40128      | Disabled/Physically Disabled | 76,093    | 87,349    | 73,237    | 51,237    | 54,390    | 57,099    | 56,664    | 51,788    | 44,943    | 38,722    |
| MN    | 40169      | TBI/Head Injury              |           | 4,615     | 9,155     | 13,202    | 21,691    | 24,310    | 27,872    | 30,430    | 33,473    | 36,970    |
| MO    | 00026      | Aged                         | 1,158     | 1,069     | 1,205     | 1,438     | 1,546     | 1,809     | 2,077     | 2,224     | 2,604     | 2,900     |
| MO    | 00178      | MR/DD                        | 21,964    | 25,030    | 28,475    | 23,766    | 23,966    | 24,754    | 23,713    | 24,017    | 25,544    | 26,762    |
| MO    | 00197      | AIDS/ARC                     | 4,886     | 5,855     | 6,064     | 9,274     | 16,590    | 12,730    | 9,064     | 10,257    | 11,194    | 12,435    |
| MO    | 00239      | MR/DD                        | 19,823    | 27,280    | 31,456    | 8,743     |           |           |           |           |           |           |
| MO    | 00346      | Disabled/Physically Disabled |           |           |           |           |           |           |           |           | 1,934     | 5,629     |
| MO    | 40185      | MR/DD                        |           |           |           |           | 9,926     | 7,733     | 8,953     | 8,144     | 8,575     | 8,743     |
| MO    | 40190      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 24,574    | 69,254    | 71,946    |
| MS    | 00130      | Aged/Disabled                | 1,772     | 2,108     | 2,434     |           |           |           |           |           |           |           |
| MS    | 00255      | Disabled/Physically Disabled |           |           | 1,157     | 6,781     | 7,161     | 8,240     | 8,976     | 10,109    | 9,123     | 8,303     |
| MS    | 00272      | Aged/Disabled                |           |           |           | 3,000     | 7,067     | 5,394 *   | 4,510     | 4,585     | 5,111     | 4,214     |
| MS    | 00282      | MR/DD                        |           |           |           |           | 802       | 2,575     | 3,698     | 5,535     | 7,084     | 10,808    |
| MT    | 00148      | Aged/Disabled                | 8,043     | 6,369     | 5,993     | 6,313     | 8,428     | 9,371     | 9,160     | 9,547     | 10,495    | 11,241    |
| MT    | 00208      | MR/DD                        | 21,462    | 23,831    | 25,593    | 26,107    | 24,169    | 26,298    | 27,049    | 27,832    | 26,356    | 27,379    |
| MT    | 00232      | MR/DD                        |           | 15,584    | 34,870    |           |           |           |           |           |           |           |
| NC    | 00132      | Aged/Disabled                | 9,799     | 10,504    | 11,153    | 11,719 *  | 12,360    | 12,427    | 13,709    | 13,778    | 15,255    | 16,430    |
| NC    | 00151      | MR/DD                        | 12,404    | 13,747    | 16,196 *  | 18,447    | 27,666    | 31,478    | 28,894    | 30,942    | 34,566    | 36,355    |
| NC    | 00289      | AIDS/ARC                     |           |           |           |           | 3,220     | 3,655     | 5,679     | 8,004     | 9,159     | 8,880     |
| NC    | 40141      | Children (Special Care)      | 28,220    | 41,355    | 39,142    | 42,937    | 40,689    | 44,905    | 37,199    | 41,791    | 42,055    | 44,360    |
| ND    | 00037      | MR/DD                        | 14,174    | 14,517    | 14,771    | 16,289    | 16,524    | 17,097    | 18,705    | 20,295    | 21,888    | 22,655    |
| ND    | 00054      | Aged/Disabled                | 3,430     | 3,866 *   | 4,382     | 5,777     | 6,824     | 8,054     | 8,716     | 9,592     | 9,946     | 10,027    |
| ND    | 00273      | TBI/Head Injury              |           |           |           | 21,200    | 25,465    | 24,294    | 23,073    | 22,958    | 26,846    | 20,651    |
| NE    | 00172      | MR/DD                        | 27,613    | 26,291    | 32,948    | 20,018    | 22,683    | 27,040    | 25,965    | 31,768    | 30,633    | 35,440    |
| NE    | 00187      | Aged/Disabled                | 3,289     | 4,442     | 5,258     | 5,565     | 6,020     | 6,558     | 6,259     | 5,861     | 7,102     | 8,373     |
| NE    | 00246      | Children (Special Care)      |           | 1,211     | 1,315     | 547       | 547       | 589       | 219       | 297       | 662       | 199       |
| NE    | 40154      | MR/DD                        | 11,151    | 10,906    | 18,054    | 23,090    | 16,242    | 21,973    | 26,934    | 25,314    | 25,742    | 28,643    |
| NE    | 40199      | TBI/Head Injury              |           |           |           |           |           |           |           |           |           | 13,832    |
| NH    | 00053      | MR/DD                        | 46,032 *  | 49,269    | 49,688    | 40,618    | 40,861    | 41,743    | 40,140    | 38,809    | 38,809 *  | 38,809 *  |
| NH    | 00066      | Aged                         | 7,858     | 10,076 *  | 9,153     | 8,367     | 9,199     | 7,490     | 8,559     | 8,837     | 8,303     | 8,303 *   |
| NH    | 40177      | TBI/Head Injury              |           |           | 47,845    | 61,425    | 71,675    | 84,181    | 78,780    | 78,423 *  | 76,585    | 76,585 *  |
| NJ    | 00031      | MR/DD                        | 25,708    | 27,976    | 27,929    | 29,395    | 29,395    | 29,469    | 29,769    | 29,623    | 32,081    | 33,369    |
| NJ    | 00032      | Aged/Disabled                | 6,482     | 6,208     | 7,229     | 8,063 *   | 11,316    | 8,613     | 8,523     | 8,248     | 8,033     | 8,455     |
| NJ    | 00160      | AIDS/ARC                     | 13,191 *  | 4,589     | 5,126     | 5,894     | 6,247     | 7,761     | 7,761     | 50,432    | 7,308     | 6,680     |
| NJ    | 00244      | Children (Special Care)      |           | 7,401     | 7,066     | 6,182     | 6,089     | 3,624     | 4,003     | 2,774     | 3,895     | 2,011     |
| NJ    | 00285      | Aged/Disabled                |           |           |           |           | 4,662     | 7,104     | 9,493     | 9,901     | 9,816     | 9,060     |
| NJ    | 40104      | Disabled/Physically Disabled | 745       | 990       | 868       | 965       | 932       | 880       | 918       | 969       | 853       | 1,157     |
| NJ    | 40123      | Disabled/Physically Disabled | 5,839     | 5,313     | 763       | 961       | 906       | 1,015     | 887       | 890       | 906       | 987       |

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|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| NJ    | 40133      | Disabled/Physically Disabled | 64,069    | 69,671    | 68,930    | 72,773    | 75,540    | 82,261    | 73,263    | 73,875    | 74,889    | 78,274    |
| NJ    | 40174      | TBI/Head Injury              |           |           | 31,421    | 41,169    | 49,446    | 52,205    | 57,453    | 50,984    | 44,083    | 50,979    |
| NM    | 00161      | AIDS/ARC                     | 7,776     | 6,194     | 7,891     | 7,534     | 8,847 *   | 8,998     | 8,617     | 8,272     | 10,691    | 12,266    |
| NM    | 00169      | Aged/Disabled                | 8,423     | 8,609     | 11,040    | 10,508    | 9,673     | 9,766     | 9,463     | 14,151    | 14,041    | 14,657    |
| NM    | 00173      | MR/DD                        | 12,743    | 19,547    | 26,913    | 31,342    | 44,595 *  | 52,667    | 55,431    | 54,603    | 51,215    | 53,646    |
| NM    | 00223      | Children (Special Care)      | 18,859    | 19,111    | 28,568 *  | 25,075 *  | 24,476 *  | 16,131    | 21,966    | 20,722    | 19,430    | 22,036    |
| NV    | 00125      | MR/DD                        | 12,843    | 13,559    | 13,365    | 11,303    | 13,041    | 14,226    | 12,957    | 14,184    | 15,392    | 19,185    |
| NV    | 00152      | Aged                         | 4,343     | 2,777     | 3,181     | 4,067     | 3,545     | 3,845     | 4,312     | 4,357     | 4,642     | 5,352     |
| NV    | 00267      | Aged                         |           |           |           | 1,897     | 1,575     | 2,365     | 2,553     | 2,445     | 2,434     | 2,875     |
| NV    | 40150      | Disabled/Physically Disabled | 925       |           |           |           |           |           |           |           |           |           |
| NV    | 4150       | Disabled/Physically Disabled |           | 934       | 758       | 735       | 590       | 763       | 875       | 1,113     | 1,396     | 2,607     |
| NY    | 00034      | Aged/Disabled                | 1,069 *   | 1,078     | 1,106     | 1,149     | 1,183     | 1,153     | 1,212     | 1,208     | 1,204     | 1,288     |
| NY    | 00238      | MR/DD                        | 33,630 *  | 17,355    | 23,467    | 27,445    | 39,057    | 42,613    | 43,530    | 48,589    | 44,402    | 39,379    |
| NY    | 00269      | TBI/Head Injury              |           |           |           |           | 12,106    | 27,321    | 30,967    | 34,575    | 38,050    | 41,304    |
| NY    | 00296      | Children (Special Care)      |           |           |           |           | 7,701     | 13,223    | 16,594    | 17,386    | 15,012    | 15,012 *  |
| NY    | 40125      | Children (Special Care)      | 1,025     | 1,154     | 1,891     | 2,341     | 2,020     | 2,391     | 2,647     | 2,465     | 3,222     | 3,697     |
| NY    | 40148      | Children (Special Care)      | 1,445     | 818 *     | 1,141     | 3,149     | 2,534     | 2,493     | 2,746     |           |           |           |
| NY    | 40163      | MR/DD                        | 1,692     | 1,972     | 3,103     | 4,331     | 5,780     | 4,907     | 4,895     | 4,475     | 3,972     | 5,115     |
| NY    | 40176      | MR/DD                        |           |           |           | 2,939     | 5,364     | 4,855     | 4,554     | 4,630     | 3,842     | 4,530     |
| NY    | 40178      | Children (Special Care)      |           |           |           | 984       | 1,755 *   | 2,033     | 2,210     |           |           |           |
| NY    | 40200      | MR/DD                        |           |           |           |           |           |           |           |           |           | 4,542     |
| OH    | 00180      | AIDS/ARC                     | 2,009     |           |           |           |           |           |           |           |           |           |
| OH    | 00196      | Disabled/Physically Disabled | 29,082 *  | 1,525     | 4,800 *   | 4,643     | 5,337     | 6,347     |           |           |           |           |
| OH    | 00198      | Aged/Disabled                | 4,416     | 7,606     | 5,350     | 4,922     | 5,233     | 5,192     | 5,154 *   | 5,595     | 5,562     | 6,199     |
| OH    | 00207      | Disabled/Physically Disabled | 3,292     | 4,028     | 4,838     | 7,001 *   | 8,469     | 8,915     |           |           |           |           |
| OH    | 00218      | MR/DD                        | 13,335 *  | 27,420    | 32,222    | 39,302    | 28,211    | 47,287    | 40,456 *  | 40,847 *  |           |           |
| OH    | 00231      | MR/DD                        | 5,661     | 14,209    | 21,225    | 32,333    | 20,383    | 9,314     | 10,897    | 35,876    | 36,629    | 38,281    |
| OH    | 00291      | MR/DD                        |           |           |           |           |           |           | 13,343    | 24,744    | 28,478    | 30,638    |
| OH    | 00337      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 14,015    | 18,231    | 20,192    |
| OK    | 00179      | MR/DD                        | 29,744 *  | 29,431 *  | 32,274 *  | 36,542    | 41,097    | 38,443    | 45,589    | 51,232    | 53,355    | 60,184    |
| OK    | 00234      | MR/DD                        | 1,396 *   | 9,716 *   | 54,493 *  | 46,487    | 54,386    | 56,770    | 66,076    | 69,343 *  |           |           |
| OK    | 00256      | Aged/Disabled                |           |           | 1,145 *   | 1,627     | 1,744     | 1,282     | 1,734     | 3,156     | 2,615     | 3,512     |
| OK    | 00343      | MR/DD                        |           |           |           |           |           |           |           |           | 3,258     | 7,367     |
| OK    | 00351      | MR/DD                        |           |           |           |           |           |           |           |           | 1,620     | 4,507     |
| OR    | 00117      | MR/DD                        | 35,289    | 34,528    | 37,240    | 38,136    | 39,401    | 40,858    | 33,807    | 33,957    | 39,907    | 44,186    |
| OR    | 00185      | Aged/Disabled                | 4,166     | 4,341     | 4,571     | 4,729     | 4,572 *   | 5,172     | 5,076     | 6,366     | 6,734     | 6,992     |
| PA    | 00147      | MR/DD                        | 47,303    | 42,106    | 43,100 *  | 45,569    | 49,068    | 42,502    | 47,270    | 49,797    | 53,015    | 53,015 *  |
| PA    | 00192      | AIDS/ARC                     | 1,128     | 1,285     | 869       | 686       | 776       | 813       | 820       | 665       | 813 *     | 813 *     |
| PA    | 00235      | MR/DD                        | 5,315     | 37,444    | 18,179    | 22,553    | 30,037    | 28,373    | 28,619 *  | 28,968 *  | 34,250    | 34,464    |
| PA    | 00277      | Disabled/Physically Disabled |           |           |           |           | 5,806     | 6,492     | 5,703     | 8,765     | 13,364    | 19,418    |
| PA    | 00279      | Aged                         |           |           |           |           | 1,173     | 4,269 *   | 5,909     | 7,107     | 7,124     | 7,124 *   |
| PA    | 00313      | Disabled/Physically Disabled |           |           |           |           |           | 21,045    | 18,919    | 22,456    | 26,563    | 27,370    |
| PA    | 00319      | Aged                         |           |           |           |           |           |           | 6,726     | 13,081    | 12,810    | 12,810 *  |
| PA    | 00324      | MR/DD                        |           |           |           |           |           |           |           | 1,965     | 3,319     | 3,319 *   |
| PA    | 00354      | MR/DD                        |           |           |           |           |           |           |           |           | 3,832     | 3,832 *   |
| PA    | 40144      | Children (Special Care)      | 58,666    | 102,877   | 90,155    | 108,377   | 104,559   | 117,110   | 144,670   | 132,442   | 134,259   | 100,174   |
| RI    | 00040      | Aged/Disabled                | 3,572 *   | 3,934     | 3,972     | 3,810     | 4,399     | 4,478     | 4,359     | 5,312     | 5,803 *   | 5,803 *   |
| RI    | 00162      | MR/DD                        | 36,942    | 37,326 *  | 51,097    | 52,093    | 35,011    | 36,116    | 34,371    | 34,377    | 47,981 *  | 47,981 *  |
| RI    | 00176      | Aged                         | 2,665     | 2,078     | 2,019     | 2,103     | 2,192 *   | 4,143     | 4,225     | 4,331     | 4,690 *   | 4,690 *   |
| RI    | 00335      | MR/DD                        |           |           |           |           |           |           |           |           | 5,966     | 5,966 *   |
| RI    | 40126      | Disabled/Physically Disabled | 6,371     | 8,066     | 7,892     | 8,094     | 8,201     | 8,646     | 7,976     | 10,928    | 16,910 *  | 16,910 *  |
| RI    | 40153      | Children (Special Care)      | 23,556 *  | 37,977 *  | 45,254    |           |           |           |           |           |           |           |

\* Estimate for missing data  
Empty cells denote inactive program e.g. not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 5A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| SC    | 00104      | Aged/Disabled                | 4,720     | 4,287     | 4,378     | 4,741     | 4,386     | 4,526     | 4,552     | 4,432     | 5,283     | 5,387     |
| SC    | 00186      | AIDS/ARC                     | 1,295     | 1,521     | 1,625     | 1,628     | 1,242     | 1,221     | 2,968     | 2,410     | 2,407     | 2,407 *   |
| SC    | 00237      | MR/DD                        | 22,778    | 23,220    | 17,573    | 16,314    | 14,014    | 14,891    | 17,747    | 18,918    | 21,404    | 25,438    |
| SC    | 00284      | TBI/Head Injury              |           |           |           |           | 11,060    | 13,072    | 11,748    | 14,816    | 17,478    | 17,796    |
| SC    | 40140      | Children (Special Care)      | 23,940    | 27,900    | 2,240     |           |           |           |           |           |           |           |
| SC    | 40181      | Disabled/Physically Disabled |           |           |           | 9,869     | 17,276    | 18,428    | 18,470    | 20,589    | 16,389    | 19,794    |
| SD    | 00044      | MR/DD                        | 17,328    | 19,035    | 20,838    | 22,095    | 23,747    | 25,890    | 24,996    | 26,172    | 27,440    | 27,494    |
| SD    | 00189      | Aged                         | 1,837     | 1,930     | 1,919     | 2,226     | 2,041     | 2,211     | 2,696     | 2,638     | 5,421     | 3,416     |
| SD    | 00264      | Disabled/Physically Disabled |           |           |           | 2,761     | 7,546     | 9,215     | 12,810    | 15,837    | 14,397    | 14,307    |
| SD    | 00338      | Aged                         |           |           |           |           |           |           |           | 1,483     | 1,615     | 1,840     |
| TN    | 00062      | Aged/Disabled                | 7,984     | 7,841 *   | 6,815     | 7,874     | 9,480     | 8,499     | 9,973     | 9,870     | 9,878     | 9,878 *   |
| TN    | 00128      | MR/DD                        | 15,069    | 15,205    | 13,490 *  | 15,177    | 15,304    | 20,442    | 28,017    | 28,567    | 38,838    | 42,384    |
| TN    | 00248      | Aged/Disabled                |           |           |           |           | 364       | 6,145     | 3,829     | 5,926     | 6,343     | 6,343 *   |
| TN    | 00357      | MR/DD                        |           |           |           |           |           |           |           |           |           | 80,037    |
| TN    | 40132      | MR/DD                        | 7,762     | 9,762     | 12,272    | 13,927    | 11,539    |           |           |           |           |           |
| TX    | 00110      | MR/DD                        | 19,531    | 15,401    | 17,827 *  | 24,155    | 28,891    | 35,546    | 41,534    | 46,454    | 41,834    | 32,101    |
| TX    | 00181      | Children (Special Care)      | 6,191     | 9,813     | 13,910    | 15,497    | 18,910    | 20,391    | 10,328    | 12,378    | 13,149    | 15,302    |
| TX    | 00221      | MR/DD                        | 14,496    | 20,459    | 13,769    | 25,849    | 25,261    | 27,870    | 25,453    | 26,203    | 25,057    | 26,489    |
| TX    | 00240      | MR/DD                        |           | 14,149    | 23,569    | 33,529    | 39,653    | 37,989    | 42,098    | 46,858    | 41,528    | 35,009    |
| TX    | 00266      | Aged/Disabled                |           |           | 3,368     | 3,368     | 4,125     | 5,919     | 7,312     | 9,521     | 9,149     | 11,354    |
| TX    | 00281      | Disabled/Physically Disabled |           |           |           |           | 33,390    | 26,116    | 33,284    | 37,657    | 36,484    | 37,520    |
| TX    | 00330      | MR/DD                        |           |           |           |           |           |           |           | 42,729    | 42,729 *  | 22,664    |
| UT    | 00158      | MR/DD                        | 17,537    | 19,814    | 20,525    | 21,849    | 20,788    | 22,770    | 23,345    | 22,220    | 23,952    | 24,524    |
| UT    | 00247      | Aged                         |           | 1,122     | 2,752     | 2,187 *   | 2,826     | 2,808     | 3,185     | 2,914     | 2,860     | 2,759     |
| UT    | 00292      | TBI/Head Injury              |           |           |           |           |           | 8,850 *   | 12,191    | 15,014    | 21,029    | 20,250    |
| UT    | 00331      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 1,694     | 9,007     | 12,660    |
| UT    | 40183      | Children (Special Care)      |           |           |           | 3,597     | 7,301     | 4,681     | 4,681     | 8,124     | 9,100     | 9,318     |
| VA    | 00048      | Aged/Disabled                | 5,277     | 5,938     | 6,183     | 6,608     | 7,478     | 6,955     | 7,933     | 7,645     | 8,311     | 9,174     |
| VA    | 00225      | MR/DD                        | 29,343 *  | 29,343 *  | 29,343 *  |           |           |           |           |           |           |           |
| VA    | 00227      | MR/DD                        | 18,257    | 21,872    | 4,032     | 29,640    | 31,731    | 28,357    | 21,251    | 31,331    | 29,642    | 33,677    |
| VA    | 00306      | Aged/Disabled                |           |           |           |           |           |           | 3,944     | 75        | 1,296     |           |
| VA    | 00321      | Aged                         |           |           |           |           |           |           |           | 4,828     | 5,696     | 2,833     |
| VA    | 00358      | MR/DD                        |           |           |           |           |           |           |           |           |           | 1,483     |
| VA    | 40149      | Disabled/Physically Disabled | 37,278    | 45,559    | 53,855    | 55,435    | 63,005    | 63,005    | 118,885   | 63,425    | 64,867    | 62,164    |
| VA    | 40160      | AIDS/ARC                     | 2,699     | 2,595     | 912       | 2,539     | 2,755     | 2,829     | 2,852     | 2,168     | 2,165     | 2,161     |
| VT    | 00163      | Aged/Disabled                | 6,380     | 6,357     | 6,391     | 9,475     | 4,730     | 7,595     | 7,715     | 9,386     | 10,901    | 11,755    |
| VT    | 04790      | MR/DD                        | 29,765    | 38,557    | 43,503    | 42,051    | 41,096    | 36,263    | 43,311    | 35,254    | 35,746    | 38,224    |
| VT    | 04791      | Mental Health                | 16,278    | 15,102 *  | 11,833 *  | 18,556 *  | 17,693    | 15,122    | 16,048    | 14,123    | 22,234    | 17,608    |
| VT    | 40182      | TBI/Head Injury              |           |           |           | 36,870    | 30,639    | 32,849    | 34,022    | 33,970    | 40,059    | 4,439     |
| VT    | 40186      | Aged/Disabled                |           |           |           |           |           | 2,659     | 5,326     | 5,687     | 6,649     | 6,418     |
| WA    | 00049      | Aged/Disabled                | 5,869     | 6,821     | 7,273 *   | 6,294 *   | 5,411     | 6,468     | 7,033     | 7,548     | 8,263     | 9,003     |
| WA    | 00050      | MR/DD                        | 31,389    | 34,811    | 33,362    | 29,722    | 30,150    | 26,189    | 23,240    | 20,451    | 21,589    | 24,069    |
| WA    | 00203      | MR/DD                        | 57,976    | 58,028    | 48,416    | 52,426    | 52,426 *  | 50,314    | 33,887    | 58,409    | 49,393    |           |
| WA    | 00206      | AIDS/ARC                     | 3,566     | 6,906     | 5,244     | 4,802     | 5,832     | 9,142     | 10,297    | 10,508    | 5,168     |           |
| WA    | 40143      | MR/DD                        | 704       | 181       | 677       | 3,600     | 3,600 *   | 3,600 *   |           |           |           |           |
| WI    | 00154      | Aged/Disabled                | 6,371     | 6,799     | 7,179     | 7,269     | 7,365     | 7,684     | 7,826     | 8,265     | 8,668     | 9,382     |
| WI    | 00229      | MR/DD                        | 23,242    | 25,563    | 25,418    | 22,799    | 25,579    | 26,671    | 28,338    | 30,063    | 30,390    | 32,656    |
| WI    | 00230      | MR/DD                        | 20,470    | 22,850    | 25,578    | 27,555    |           |           |           |           |           |           |
| WI    | 00275      | TBI/Head Injury              |           |           |           | 21,926    | 33,102    | 41,526    | 46,562    | 50,609    | 53,086    | 56,230    |
| WI    | 00297      | MR/DD                        |           |           |           | 3,952     | 6,820     | 6,820     | 6,364     | 5,539     | 5,524     | 6,203     |
| WV    | 00133      | Aged                         | 20,281    | 20,645    | 24,811    | 29,652    | 28,282    | 31,731    | 35,893    | 39,345    | 42,811    | 42,201    |
| WV    | 00134      | Aged/Disabled                | 6,376     | 6,530     | 9,481     | 10,128    | 10,212    | 10,373    | 11,419    | 11,213    | 11,692    | 9,577     |
| WY    | 00226      | MR/DD                        | 20,281    | 28,306    | 39,610    | 43,126    | 42,041    | 46,094    | 47,654    | 47,022    | 47,426    | 46,341    |

\* Estimate for missing data  
Empty cells denote inactive program e.g. not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 5A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by Waiver, 1992-2001

| State | Waiver No. | Participant Category | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| WY    | 00236      | Aged/Disabled        |           |           | 2,207     | 3,634     | 3,926     | 4,234     | 4,403     | 4,501     | 4,898     | 5,193     |
| WY    | 00253      | MR/DD                |           | 20,015    | 17,986    | 19,607    | 16,130    | 16,622    | 15,307    | 17,515    | 14,946    | 14,888    |
| US    |            |                      | 9,187     | 9,900     | 11,509    | 12,231    | 13,544    | 13,927    | 14,880    | 16,256    | 16,343    | 17,070    |

\* Estimate for missing data  
Empty cells denote inactive program e.g., not started or ended.  
Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 5B : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Unduplicated Participant by State, 1992-2001**

| STATE | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) | % chng 92-01 | % chng 00-01 |
|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|--------------|--------------|
| AK    |           |           | 6,590     | 16,298    | 16,824    | 25,118    | 25,115    | 23,259    | 23,461    | 26,768    |              | 14           |
| AL    | 4,822     | 5,138 *   | 5,425 *   | 6,604     | 8,479     | 10,097    | 11,057    | 12,714    | 13,239    | 18,820    | 290          | 42           |
| AR    | 3,589     | 4,235     | 4,748     | 2,948     | 4,230     | 4,156     | 4,654     | 5,610     | 6,213     | 7,589     | 111          | 22           |
| CA    | 7,929 *   | 9,479     | 10,605    | 9,470     | 10,396    | 9,776     | 11,380    | 13,145    | 13,049    | 15,150 *  | 91           | 16           |
| CO    | 11,872    | 11,880    | 14,680    | 13,853    | 12,569    | 12,030    | 11,602    | 10,516    | 12,503    | 12,722    | 7            | 2            |
| CT    | 23,537    | 23,309    | 24,519    | 23,917    | 29,049    | 26,726    | 27,818    | 37,354    | 26,383    | 26,277    | 12           | 0            |
| DC    |           |           |           |           |           |           |           | 1,777     | 2,590     | 5,930     |              | 129          |
| DE    | 11,899 *  | 13,147    | 17,841    | 20,008    | 19,146    | 21,790    | 24,625    | 21,654    | 22,712    | 22,995    | 93           | 1            |
| FL    | 2,711     | 2,904     | 4,991     | 5,497     | 6,447     | 7,473     | 6,586     | 7,874     | 7,847     | 11,051    | 308          | 41           |
| GA    | 3,510     | 3,782     | 3,994     | 4,524     | 5,907 *   | 6,619     | 7,128     | 9,914     | 11,358    | 10,302 *  | 194          | -9           |
| HI    | 13,989    | 15,088    | 18,109    | 20,085 *  | 33,505    | 18,708    | 17,473    | 17,647    | 18,309    | 17,882    | 28           | -2           |
| IA    | 9,204 *   | 1,161     | 1,168 *   | 3,168 *   | 5,954 *   | 8,962     | 9,393     | 9,561     | 9,894     | 10,367    | 13           | 5            |
| ID    | 2,896     | 3,892     | 2,594     | 3,954     | 6,944     | 10,671    | 10,970    | 11,504    | 8,628     | 10,104    | 249          | 17           |
| IL    | 5,091     | 5,019     | 5,354     | 5,355     | 5,892     | 7,156 *   | 7,067     | 8,061     | 7,810     | 9,103     | 79           | 17           |
| IN    | 2,462     | 3,046     | 5,131     | 7,459     | 8,810     | 10,600    | 12,828    | 19,509    | 21,070    | 25,673    | 943          | 22           |
| KS    | 6,211     | 8,165     | 10,993    | 12,360    | 13,171    | 10,045    | 12,800    | 14,351    | 14,811    | 15,037    | 142          | 2            |
| KY    | 6,652 *   | 5,632     | 5,335     | 7,849     | 5,805     | 2,949     | 6,130     | 6,732     | 7,749     | 8,660 *   | 30           | 12           |
| LA    | 3,748     | 7,200     | 11,963    | 14,079    | 15,282    | 19,846    | 20,193    | 20,753    | 18,356    | 25,408    | 578          | 38           |
| MA    | 9,354 *   | 14,420    | 19,722    | 18,749    | 20,367    | 22,487    | 25,949    | 26,391    | 26,718    | 28,213    | 202          | 6            |
| MD    | 36,526    | 35,829    | 35,197    | 36,980    | 36,048    | 38,999    | 36,761    | 14,757    | 34,916    | 33,560    | -8           | -4           |
| ME    | 15,507    | 16,368 *  | 19,556    | 22,445    | 25,843    | 26,629    | 30,280    | 32,153    | 35,347    | 39,355    | 154          | 11           |
| MI    | 24,336    | 19,058    | 19,184    | 18,258    | 20,630    | 21,341    | 23,593    | 21,211    | 25,509    | 27,244    | 12           | 7            |
| MN    | 12,259    | 14,306    | 14,585    | 15,422    | 17,681    | 19,097    | 21,141    | 22,566    | 21,846    | 20,240    | 65           | -7           |
| MO    | 6,429     | 6,836     | 7,228     | 7,224     | 7,507     | 7,863     | 7,928     | 8,085     | 8,320     | 8,878     | 38           | 7            |
| MS    | 1,772     | 2,108     | 2,347     | 3,454     | 6,885     | 5,287     | 4,657     | 4,927     | 5,477     | 5,089     | 187          | -7           |
| MT    | 13,413    | 13,875    | 14,640    | 13,826    | 15,030    | 16,960    | 16,525    | 16,732    | 17,432    | 18,312    | 37           | 5            |
| NC    | 10,518    | 11,381    | 12,294    | 13,873    | 17,107    | 18,530    | 18,426    | 19,427    | 21,954    | 22,635    | 115          | 3            |
| ND    | 11,564    | 12,184 *  | 12,803    | 14,636    | 15,163    | 15,839    | 17,195    | 18,628    | 19,960    | 20,309    | 76           | 2            |
| NE    | 15,676    | 15,385    | 20,021    | 15,614    | 17,008    | 21,047    | 19,458    | 18,499    | 17,113    | 18,993    | 21           | 11           |
| NH    | 28,203    | 33,108 *  | 31,644    | 29,199    | 29,654    | 29,674    | 30,007    | 29,469 *  | 27,765    | 27,765 *  | -2           | 0            |
| NJ    | 17,263    | 17,120    | 18,302    | 19,834    | 21,442    | 21,025    | 21,151    | 22,062    | 21,758    | 21,636    | 25           | -1           |
| NM    | 9,624     | 11,083    | 15,630 *  | 19,398 *  | 27,796 *  | 32,801    | 30,512    | 35,386    | 35,659    | 38,159    | 296          | 7            |
| NV    | 6,233     | 4,701     | 4,712     | 5,084     | 5,733     | 6,262     | 6,862     | 7,340     | 8,575     | 10,433    | 67           | 22           |
| NY    | 4,725     | 7,367     | 12,675    | 16,413    | 23,410 *  | 25,962    | 27,147    | 31,198    | 29,403    | 26,788    | 467          | -9           |
| OH    | 5,075     | 7,759     | 7,990     | 8,867     | 7,426     | 6,539     | 6,584     | 11,517    | 12,228    | 13,123    | 159          | 7            |
| OK    | 29,036 *  | 28,549 *  | 32,706 *  | 33,933    | 32,950    | 21,391    | 15,142    | 15,100    | 10,403    | 12,613    | -57          | 21           |
| OR    | 6,886     | 7,454     | 8,032     | 8,338     | 8,266 *   | 8,734     | 9,383     | 10,915    | 12,142    | 12,768    | 85           | 5            |
| PA    | 46,235    | 41,544    | 41,858    | 43,899    | 42,693    | 34,893    | 35,887    | 34,849    | 30,438    | 31,169    | -33          | 2            |
| RI    | 23,147 *  | 19,742 *  | 24,831    | 23,019    | 19,625    | 21,891    | 21,267    | 21,112    | 29,857 *  | 30,067 *  | 30           | 1            |
| SC    | 5,328     | 5,984     | 6,095     | 6,691     | 6,517     | 7,261     | 7,936     | 7,582     | 8,933     | 10,016    | 88           | 12           |
| SD    | 14,412    | 15,463    | 16,632    | 17,937    | 19,456    | 20,865    | 20,310    | 18,706    | 20,952    | 18,989    | 32           | -9           |
| TN    | 12,560    | 12,795    | 12,152    | 13,514    | 14,298    | 19,156    | 25,934    | 26,515    | 35,581    | 39,740    | 216          | 12           |
| TX    | 16,064    | 15,620    | 15,179    | 21,522    | 13,480    | 10,796    | 13,311    | 15,566    | 14,179 *  | 15,293    | -5           | 8            |
| UT    | 17,537    | 19,091    | 19,306    | 18,991    | 17,826    | 19,055    | 19,455    | 18,653    | 19,409    | 19,894    | 13           | 2            |
| VA    | 6,337     | 7,474     | 6,622     | 9,517     | 11,128    | 10,330    | 11,289    | 13,001    | 15,385    | 17,901    | 182          | 16           |
| VT    | 18,810    | 23,543 *  | 26,800 *  | 32,101    | 26,872    | 25,480    | 28,475    | 24,273    | 25,523    | 23,235    | 24           | -9           |
| WA    | 11,909    | 13,845    | 15,096    | 14,164    | 11,715 *  | 10,981 *  | 10,601    | 10,550    | 11,422    | 12,496    | 5            | 9            |
| WI    | 10,362    | 10,977    | 12,008    | 12,602    | 13,955    | 14,933    | 15,933    | 16,870    | 17,550    | 19,477    | 88           | 11           |
| WV    | 8,864     | 8,860     | 12,648    | 14,497    | 14,717    | 16,215    | 19,228    | 20,870    | 23,162    | 20,806    | 135          | -10          |
| WY    | 20,281    | 27,313    | 26,517    | 23,548    | 19,925    | 21,143    | 20,686    | 21,702    | 22,379    | 21,196    | 5            | -5           |
| US    | 9,187     | 9,900     | 11,509    | 12,231    | 13,544    | 13,927    | 14,880    | 16,256    | 16,343    | 17,070    | 86           | 4            |

\*Estimate for missing data

Empty cell denotes no waiver reported

Source: CMS From 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| AK    | 00260      | MR/DD                        |           |           |           | 4.19      | 7.21      | 24.75     | 31.43     | 38.31     | 50.73     | 67.73     |
| AK    | 00261      | Aged                         |           |           | 0.28      | 1.60      | 2.04      | 7.62      | 10.83     | 13.81     | 18.06     | 24.02     |
| AK    | 00262      | Disabled/Physically Disabled |           |           | 0.06      | 0.48      | 1.67      | 2.80      | 2.55      | 5.99      | 9.25      | 13.83     |
| AK    | 00263      | MR/DD                        |           |           | 0.26      | 1.13      | 2.04      | 2.59      | 3.26      | 3.69      | 5.27      | 9.86      |
| AL    | 00001      | MR/DD                        | 3.96      | 4.72 *    | 6.08      | 8.56      | 12.71     | 16.37     | 18.76     | 20.35     | 21.37     | 24.31     |
| AL    | 00068      | Aged/Disabled                | 6.44      | 6.23      | 5.80      | 6.08      | 6.28      | 7.47      | 8.16      | 8.58      | 8.52      | 23.92     |
| AL    | 00241      | Disabled/Physically Disabled |           | 0.01      | 0.05      | 0.30      | 0.43      | 0.45      | 0.46      | 0.62      | 0.56      | 0.51      |
| AL    | 00245      | MR/DD                        |           | 0.06      |           |           |           |           |           |           |           | 0.51      |
| AL    | 40162      | Aged/Disabled                | 0.01      | 0.01 *    | 0.01 *    |           |           |           |           |           |           | 0.51      |
| AR    | 00188      | MR/DD                        | 2.00 *    | 3.26      | 4.47      | 4.90 *    | 5.18 *    | 4.95      | 7.18      | 10.84     | 10.35 *   | 17.06     |
| AR    | 00195      | Aged                         | 0.23      | 1.79      | 3.19      | 5.56      | 8.47      | 8.81      | 9.34      | 9.72      | 11.47     | 12.00     |
| AR    | 00312      | Disabled/Physically Disabled |           |           |           |           |           |           | 0.45      | 1.48      | 2.31      | 3.22      |
| CA    | 00129      | MR/DD                        | 1.89      | 5.61      | 7.32      | 9.66      | 11.20     | 11.99     |           |           |           | 3.22      |
| CA    | 00139      | Disabled/Physically Disabled | 0.01 *    | 0.01      | 0.01      | 0.01 *    | 0.01      | 0.14      | 0.52      | 1.06      | 1.29      | 1.27 *    |
| CA    | 00141      | Aged/Disabled                | 0.65 *    | 0.64      | 0.64      | 0.64      | 0.63      | 0.64      | 0.63      | 0.64      | 0.84      | 0.95      |
| CA    | 00164      | Disabled/Physically Disabled | 0.63      | 0.74      | 0.87      | 0.95      | 1.15      | 0.99      | 0.75      |           |           | 0.95      |
| CA    | 00183      | AIDS/ARC                     | 0.06 *    | 0.17      | 0.26      | 0.30      | 0.32      | 0.28      | 0.28      | 0.28      | 0.27      | 0.24      |
| CA    | 348        | MR/DD                        |           |           |           |           |           |           | 13.55     | 14.57     | 14.64     | 19.68     |
| CA    | 40136      | Aged/Disabled                | 0.00 *    | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.02      | 0.04      | 0.05      | 0.05 *    |
| CO    | 00006      | Aged/Disabled                | 3.79      | 4.23 *    | 4.06      | 4.93      | 7.04      | 9.06      | 11.57     | 14.29     | 15.07     | 16.36     |
| CO    | 00007      | MR/DD                        | 15.46     | 17.38     | 25.78     | 27.07     | 28.64     | 31.20     | 32.97     | 27.86     | 36.69     | 39.09     |
| CO    | 00199      | MR/DD                        | 0.91      | 0.88 *    | 0.86 *    | 0.53 *    |           |           |           |           |           | 39.09     |
| CO    | 00211      | AIDS/ARC                     | 0.05      | 0.06      | 0.09      | 0.08      | 0.11      | 0.14      | 0.15      | 0.16      | 0.16      | 0.03      |
| CO    | 00268      | Mental Health                |           |           |           | 0.03      | 0.23 *    | 0.49      | 1.42      | 1.59      | 1.55      | 2.13      |
| CO    | 00288      | TBI/Head Injury              |           |           |           |           | 0.08      | 0.16      | 0.37      | 0.66      | 0.93      | 1.13      |
| CO    | 00293      | MR/DD                        |           |           |           |           | 1.39      | 1.43      | 3.13      | 5.08      | 7.39      | 7.78      |
| CO    | 00305      | MR/DD                        |           |           |           |           |           | 1.54      | 1.74      | 1.74      | 1.78      | 1.80      |
| CO    | 40157      | Children (Special Care)      | 0.03      | 0.03      | 0.03      | 0.03      | 0.02      | 0.02      | 0.03      | 0.03      | 0.05      | 0.06      |
| CO    | 40179      | MR/DD                        |           |           |           |           | 0.03      | 0.04      | 0.04      | 0.03      | 0.04      | 0.06      |
| CO    | 40180      | MR/DD                        |           |           |           |           | 0.02      | 0.03      | 0.09      | 0.22      | 0.46      | 0.52      |
| CT    | 00140      | Aged/Disabled                | 4.43      | 5.34      | 7.19      | 9.99      | 11.34     | 10.97     | 14.35     | 16.59     | 17.07     | 17.94     |
| CT    | 00153      | MR/DD                        | 30.91     | 34.94     | 48.60     | 53.57     | 60.76     | 67.70     | 88.31     | 188.59    | 95.44     | 99.53     |
| CT    | 00301      | Disabled/Physically Disabled |           |           |           |           |           | 0.09      | 0.48      | 0.81      | 1.16      | 1.55      |
| CT    | 302        | TBI/Head Injury              |           |           |           |           |           |           |           |           | 0.92      | 1.67      |
| CT    | 40110      | MR/DD                        | 0.00      | 0.00      | 0.00      | 0.00      | 0.01      | 0.01      | 0.00      | 0.00      | 0.00      | 0.00      |
| DC    | 00307      | MR/DD                        |           |           |           |           |           |           |           | 0.10      | 0.43      | 1.34      |
| DC    | 00334      | Aged/Disabled                |           |           |           |           |           |           |           |           | 0.23      | 1.61      |
| DE    | 00009      | MR/DD                        | 10.40     | 12.97     | 16.17     | 18.17 *   | 18.53     | 23.63     | 27.33     | 34.76     | 40.05     | 45.50     |
| DE    | 00136      | Aged/Disabled                | 2.63      | 3.03      | 3.41      | 3.50      | 3.65      | 3.85      | 4.35      | 8.66      | 8.72      | 10.66     |
| DE    | 332        | Aged                         |           |           |           |           |           |           |           |           |           | 0.64      |
| DE    | 40159      | AIDS/ARC                     | 0.30 *    | 0.30      | 0.43      | 0.45      | 0.61      | 0.92      | 1.09      | 2.24      | 2.34      | 2.58      |
| FL    | 00116      | Aged/Disabled                | 0.37      | 0.40      | 0.42      | 0.51      | 0.56      | 0.58      | 0.61      | 0.65      | 0.65      | 0.62      |
| FL    | 00194      | AIDS/ARC                     | 0.45 *    | 0.79      | 0.97      | 1.04      | 0.94      | 1.21      | 1.31      | 1.24      | 1.53      | 1.53      |

\* Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| FL    | 00280      | Aged/Disabled                |           |           |           | 0.10      | 0.14      | 0.27      | 0.51      | 0.65      | 1.15      | 1.33      |
| FL    | 00294      | MR/DD                        |           |           |           |           | 0.03      | 0.02      | 0.03      | 0.03      | 0.02      | 0.00      |
| FL    | 00315      | MR/DD                        |           |           |           |           |           |           |           | 0.05      | 0.63      | 1.34      |
| FL    | 01090      | Aged/Disabled                | 0.86      | 0.58      | 1.41      | 1.82      | 2.06      | 2.75      | 3.32      | 3.94      | 3.82      | 4.47      |
| FL    | 01091      | MR/DD                        | 1.23      | 1.79      | 4.76      | 5.79      | 8.25      | 9.20      | 8.97      | 12.44     | 13.49     | 24.79     |
| FL    | 01879      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 0.03      | 0.08      |
| FL    | 40166      | Children (Special Care)      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      |
| GA    | 00112      | Aged/Disabled                | 4.22      | 4.91      | 4.68      | 5.14      | 5.30      | 5.67      | 6.28      | 7.65      | 8.32      | 9.59      |
| GA    | 00175      | MR/DD                        | 1.18      | 2.04      | 2.34      | 2.66      | 4.04      | 6.52      | 5.42      | 10.95     | 8.97      | 8.29      |
| GA    | 323        | MR/DD                        |           |           |           |           |           |           |           |           | 3.45      | 5.18      |
| GA    | 40116      | Children (Special Care)      | 0.35      | 0.46      | 0.41      | 0.43      | 0.52      | 0.28      | 0.50      | 0.43      | 0.40      | 0.64      |
| GA    | 40170      | TBI/Head Injury              |           | 0.05      | 0.23      | 0.29      | 0.50 *    | 0.91      | 1.83      | 2.13      | 1.92      | 1.89 *    |
| HI    | 00013      | MR/DD                        | 5.96 *    | 7.65      | 10.53     | 11.29     | 24.19 *   | 11.76     | 14.32     | 16.64     | 18.93     | 22.94     |
| HI    | 00014      | Aged/Disabled                | 0.55      | 0.61      | 0.55      | 0.50      | 0.55      | 0.65      | 1.01      | 2.65      | 4.97      | 8.04      |
| HI    | 00057      | Aged/Disabled                | 3.59      | 4.10      | 4.40      | 4.65      | 4.49      | 4.35      | 6.43      | 9.08      | 9.81      | 11.14     |
| HI    | 00182      | AIDS/ARC                     | 0.25      | 0.21      | 0.41      | 0.60 *    | 0.89      | 0.97      | 0.82      | 0.46      | 0.42      | 0.41      |
| HI    | 40195      | Children (Special Care)      |           |           |           |           |           |           |           |           |           | 0.02      |
| IA    | 00213      | AIDS/ARC                     |           | 0.00      | 0.00 *    | 0.01 *    | 0.00 *    | 0.01      | 0.02      | 0.01      | 0.03      | 0.04      |
| IA    | 00242      | MR/DD                        |           | 0.11 *    | 0.29      | 4.39 *    | 10.62 *   | 16.86     | 20.66     | 25.13     | 29.12     | 34.89     |
| IA    | 00299      | TBI/Head Injury              |           |           |           |           |           | 0.03      | 0.25      | 0.32      | 0.40      | 0.74      |
| IA    | 00345      | Disabled/Physically Disabled |           |           |           |           |           |           |           |           | 0.02      | 0.10      |
| IA    | 40111      | MR/DD                        | 0.64      | 0.76 *    | 0.67      | 0.21 *    | 0.16 *    | 0.07      | 1.03      | 1.45      | 2.05      | 2.49      |
| IA    | 40155      | Aged                         | 0.01 *    | 0.04      | 0.18 *    | 0.49 *    | 0.90 *    | 1.94      | 2.66      | 3.59      | 4.38      | 5.46      |
| ID    | 07690      | Aged/Disabled                | 3.17      | 4.99      | 3.83      | 3.41      | 4.12      | 3.90      | 3.91      | 5.03      | 11.77     | 24.22     |
| ID    | 07691      | MR/DD                        |           |           |           | 1.70      | 6.81      | 7.36      | 7.74      | 8.43      | 12.10     | 16.63     |
| ID    | 40187      | MR/DD                        |           |           |           |           |           | 0.25      | 0.49      | 0.79      | 1.10      | 1.56      |
| ID    | 40189      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 0.20      | 0.36      |
| IL    | 00142      | Disabled/Physically Disabled | 3.69 *    | 3.85      | 4.12      | 4.16      | 5.34      | 5.27      | 5.50      | 5.67      | 6.26      | 8.63      |
| IL    | 00143      | Aged                         | 2.36 *    | 2.35      | 2.82 *    | 2.74      | 2.73      | 2.99      | 3.51      | 3.89      | 4.55      | 5.49      |
| IL    | 00202      | AIDS/ARC                     | 0.14      | 0.31      | 0.51      | 0.48 *    | 0.22      | 0.59      | 0.62      | 0.58      | 0.64      | 0.84      |
| IL    | 00204      | MR/DD                        | 0.51      | 0.89      | 0.94      |           | 0.64      | 1.81      | 1.82      | 3.61      | 1.98      | 0.84      |
| IL    | 00278      | Children (Special Care)      |           |           |           | 0.35      |           |           | 0.02      | 0.00      |           | 2.21      |
| IL    | 00318      | MR/DD                        |           |           |           |           |           |           |           |           |           | 0.06      |
| IL    | 00326      | Aged/Disabled                |           |           |           |           |           |           |           |           | 0.01      | 0.06      |
| IL    | 00329      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 0.06      | 0.36      |
| IL    | 00350      | MR/DD                        | 2.98      | 2.56      | 3.07      | 5.00      | 7.01      | 10.10     | 11.04     | 12.43     | 13.19     | 16.09     |
| IL    | 40147      | Children (Special Care)      | 1.05      | 1.35      | 1.74      | 1.67      | 1.55      | 1.26 *    |           |           |           | 16.09     |
| IN    | 00210      | Aged/Disabled                | 0.49      | 0.96 *    | 1.19      | 1.11      | 1.42      | 1.80      | 2.07      | 2.60      | 2.75      | 2.83      |
| IN    | 00249      | MR/DD                        |           | 0.07      | 0.79      | 2.00      | 3.11      | 4.39      | 6.07      | 10.71     | 13.16     | 17.49     |
| IN    | 40171      | Children (Special Care)      |           | 0.02      | 0.02      | 0.04      | 0.06      | 0.12      | 0.18      | 0.20      | 0.18      | 0.20      |
| IN    | 40197      | TBI/Head Injury              |           |           |           |           |           |           |           |           | 0.10      | 0.32      |
| IN    | 4151       | MR/DD                        | 0.05      | 0.12      | 0.13      | 0.17      | 0.24      | 0.26      | 0.41      | 0.72      | 0.89      | 1.03      |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| KS    | 00018      | Aged/Disabled                | 2.77      | 4.18      | 5.27      | 7.46      | 8.53      | 5.14      |           |           |           | 1.03      |
| KS    | 00224      | MR/DD                        | 4.86      | 8.27      | 14.70     | 18.15     | 23.84     | 36.20     | 47.34     | 59.13     | 61.82     | 66.10     |
| KS    | 00303      | Aged                         |           |           |           |           |           | 8.94      | 12.49     | 15.34     | 17.33     | 19.95     |
| KS    | 00304      | Disabled/Physically Disabled |           |           |           |           |           | 7.88      | 14.35     | 14.22     | 20.60     | 22.18     |
| KS    | 00320      | Mental Health                |           |           |           |           |           |           | 0.02      | 0.05      | 0.07      | 0.20      |
| KS    | 40164      | TBI/Head Injury              | 0.04      | 0.31      | 1.01      | 0.86 *    | 1.20      | 1.25      | 1.63      | 1.73      | 1.70      | 1.30      |
| KS    | 40165      | Children (Special Care)      | 0.01      | 0.03      | 0.04      | 0.03      | 0.03      | 0.04      | 0.05      | 0.05      | 0.05      | 0.05      |
| KY    | 00019      | MR/DD                        | 6.47      | 6.23      | 5.74      | 6.70 *    | 8.47      |           |           |           |           | 0.05      |
| KY    | 00144      | Aged/Disabled                | 1.36 *    | 3.51      | 3.89      | 3.09      | 5.89      | 7.14      | 8.82      | 11.23     | 13.06     | 13.00 *   |
| KY    | 00314      | MR/DD                        |           |           |           |           |           |           | 10.65     | 11.94     | 15.65     | 19.79     |
| KY    | 00333      | TBI/Head Injury              |           |           |           |           |           |           |           | 0.01      | 0.12      | *         |
| KY    | 40145      | Children (Special Care)      | 0.10      | 0.11      | 0.11      | 0.11      | 0.10      | 0.10 *    |           |           |           | 0.12      |
| KY    | 40146      | Disabled/Physically Disabled | 0.08 *    | 0.34      | 0.65      | 0.64      | 0.69      | 1.02      | 1.24      | 1.40      | 1.31      | 1.31 *    |
| LA    | 00121      | Aged/Disabled                | 0.31      | 0.29      | 0.32      | 0.32      | 0.38      | 0.38      | 0.62 *    | 0.97      | 0.49      | 0.63      |
| LA    | 00200      | MR/DD                        | 0.23      | 2.11      | 5.48      | 8.10      | 9.05      | 11.14     | 12.90     | 15.91     | 17.24     | 27.13     |
| LA    | 00251      | Disabled/Physically Disabled |           | 0.02      | 0.06      | 0.15      | 0.36      | 0.37      | 0.36      | 0.39      | 0.35      | 0.34      |
| LA    | 00257      | Aged/Disabled                |           |           | 0.08      | 0.39      | 0.59      | 0.58      | 0.43      | 0.56      | 0.63      | 0.93      |
| MA    | 00059      | Aged                         | 1.04      | 1.10      | 0.90      | 0.85      | 0.79      | 0.74      | 0.92      | 1.60      | 2.07      | 2.18      |
| MA    | 00064      | MR/DD                        | 5.46 *    | 16.89     | 34.37     | 32.14     | 40.85     | 46.18     | 57.03     | 67.67     | 71.75     | 77.29     |
| MD    | 00023      | MR/DD                        | 11.22     | 15.75     | 20.60     | 23.63 *   | 24.66 *   | 27.97     | 26.95     | 29.43     | 33.09     | 36.50     |
| MD    | 00265      | Aged                         |           |           | 0.01      | 0.03      | 0.05      | 0.02      | 0.08      | 0.13      | 0.22      | *         |
| MD    | 40118      | Children (Special Care)      | 2.76      | 2.59      | 0.90      | 0.61      | 0.81      | 0.58      | 0.57      | 0.63      | 0.45      | 0.38      |
| ME    | 00088      | Aged                         | 4.06      | 3.98      | 4.54 *    | 4.41      | 4.70      | 5.61      | 8.31      | 11.77     | 10.62     | 9.28      |
| ME    | 00127      | Disabled/Physically Disabled | 0.96      | 1.07      | 1.26      | 1.48      | 2.46      | 3.64      | 4.46      | 4.94      | 4.85      | 5.48      |
| ME    | 00159      | MR/DD                        | 13.18     | 17.07 *   | 23.80     | 31.85     | 38.46     | 45.28     | 61.23     | 74.60     | 85.87     | 98.38     |
| ME    | 00276      | Disabled/Physically Disabled |           |           |           | 0.87      | 1.53      | 2.23      | 2.79      | 4.04      | 4.37      | 4.31      |
| MI    | 00167      | MR/DD                        | 6.38      | 7.72      | 9.72      | 11.00     | 16.26     | 19.57     | 26.15     | 29.59     | 45.25     | 53.17     |
| MI    | 00233      | Aged/Disabled                |           | 0.25      | 0.53      | 0.69      | 0.69      | 0.82      | 1.11      | 1.73      | 3.33      | 5.32      |
| MI    | 40119      | MR/DD                        | 0.15      | 0.19      | 0.98      | 0.28      | 0.27      | 0.98      | 0.80      | 1.28      | 1.46      | 0.89      |
| MN    | 00025      | Aged                         | 1.86      | 2.61      | 3.42 *    | 4.14      | 4.86      | 5.48      | 6.41      | 7.28      | 9.20      | 12.38     |
| MN    | 00061      | MR/DD                        | 16.85     | 23.04     | 28.49     | 37.04     | 46.04     | 53.80     | 65.84     | 74.67     | 83.36     | 102.11    |
| MN    | 00166      | Disabled/Physically Disabled | 0.97      | 1.25      | 1.47      | 1.77      | 2.33      | 2.72      | 3.52      | 4.34      | 5.10      | 6.43      |
| MN    | 00212      | MR/DD                        | 0.19      | 0.15      | 0.79      | 1.06      | 1.31      | 1.35      |           |           |           | 6.43      |
| MN    | 40128      | Disabled/Physically Disabled | 1.67      | 2.48      | 2.36      | 1.94      | 2.08      | 1.99      | 1.74      | 1.43      | 1.15      | 1.00      |
| MN    | 40169      | TBI/Head Injury              |           | 0.02      | 0.15      | 0.48      | 1.08      | 1.47      | 1.90      | 2.34      | 2.67      | 3.32      |
| MO    | 00026      | Aged                         | 1.47      | 1.80      | 2.69      | 3.76      | 4.60      | 5.83      | 7.31      | 8.47      | 10.78     | 12.52     |
| MO    | 00178      | MR/DD                        | 9.48      | 13.36     | 17.94     | 21.60     | 25.17     | 28.44     | 30.86     | 33.54     | 35.71     | 38.61     |
| MO    | 00197      | AIDS/ARC                     | 0.10      | 0.14      | 0.17      | 0.35      | 0.78      | 0.29      | 0.16      | 0.15      | 0.16      | 0.17      |
| MO    | 00239      | MR/DD                        | 0.05      | 0.07      | 0.10      | 0.02      |           |           |           |           |           | 0.17      |
| MO    | 00346      | Disabled/Physically Disabled |           |           |           |           |           |           |           |           | 0.20      | 0.46      |
| MO    | 40185      | MR/DD                        |           |           |           |           | 0.01      | 0.10      | 0.15      | 0.21      | 0.26      | 0.25      |
| MO    | 40190      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 0.05      | 0.22      | 0.27      |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| MS    | 00130      | Aged/Disabled                | 0.33      | 0.36      | 0.43      |           |           |           |           |           |           | 0.27      |
| MS    | 00255      | Disabled/Physically Disabled |           |           | 0.01      | 0.23      | 0.43      | 0.38      | 0.42      | 0.46      | 0.54      | 0.96      |
| MS    | 00272      | Aged/Disabled                |           |           |           | 0.74      | 3.19      | 3.36 *    | 3.62      | 4.21      | 7.09      | 10.25     |
| MS    | 00282      | MR/DD                        |           |           |           |           | 0.01      | 0.19      | 0.33      | 0.70      | 1.28      | 3.32      |
| MT    | 00148      | Aged/Disabled                | 6.67      | 5.16      | 5.61      | 7.76      | 11.36     | 12.58     | 14.43     | 16.37     | 18.89     | 21.40     |
| MT    | 00208      | MR/DD                        | 11.87     | 14.42     | 16.15     | 19.62     | 23.54     | 28.69     | 29.82     | 30.90     | 36.88     | 40.65     |
| MT    | 00232      | MR/DD                        |           | 0.50      | 2.04      |           |           |           |           |           |           | 40.65     |
| NC    | 00132      | Aged/Disabled                | 5.51      | 6.91      | 8.48      | 10.59 *   | 12.91     | 15.23     | 18.42     | 20.10     | 21.57     | 24.46     |
| NC    | 00151      | MR/DD                        | 1.81      | 2.25      | 2.95 *    | 7.04      | 12.12     | 17.09     | 16.09     | 20.25     | 24.16     | 21.92     |
| NC    | 00289      | AIDS/ARC                     |           |           |           |           | 0.00      | 0.01      | 0.02      | 0.02      | 0.03      | 0.04      |
| NC    | 40141      | Children (Special Care)      | 0.21      | 0.26      | 0.23      | 0.30      | 0.60      | 0.85      | 1.11      | 1.38      | 1.46      | 2.10      |
| ND    | 00037      | MR/DD                        | 29.82     | 32.53     | 36.56     | 41.57     | 45.01     | 47.40     | 52.69     | 59.09     | 65.79     | 70.77     |
| ND    | 00054      | Aged/Disabled                | 2.32      | 2.43 *    | 2.53      | 2.81      | 3.18      | 3.77      | 4.49      | 5.25      | 5.90      | 7.16      |
| ND    | 00273      | TBI/Head Injury              |           |           |           | 0.30      | 0.43      | 0.45      | 0.47      | 0.51      | 0.54      | 0.68      |
| NE    | 00172      | MR/DD                        | 12.39     | 13.71     | 17.95     | 18.65     | 22.39     | 32.77     | 31.79     | 40.90     | 40.49     | 47.72     |
| NE    | 00187      | Aged/Disabled                | 1.42      | 2.02      | 2.36      | 2.65      | 2.98      | 3.31      | 4.14      | 8.29      | 13.52     | 18.58     |
| NE    | 00246      | Children (Special Care)      |           | 0.02      | 0.02      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      |
| NE    | 40154      | MR/DD                        | 0.06      | 1.28      | 1.76      | 2.26      | 2.22      | 2.90      | 3.47      | 3.81      | 3.82      | 4.51      |
| NE    | 40199      | TBI/Head Injury              |           |           |           |           |           |           |           |           |           | 0.05      |
| NH    | 00053      | MR/DD                        | 42.07 *   | 47.55     | 53.80     | 63.79     | 70.86     | 76.40     | 79.60     | 81.91     | 79.31 *   | 78.13 *   |
| NH    | 0006.      | Aged                         | 6.29      | 6.82 *    | 8.13      | 7.98      | 9.94      | 8.52      | 9.27      | 10.01     | 10.90     | 10.74 *   |
| NH    | 40177      | TBI/Head Injury              |           |           | 1.30      | 3.69      | 4.56      | 4.88      | 5.05      | 5.88 *    | 4.69      | 4.62 *    |
| NJ    | 00031      | MR/DD                        | 13.16     | 15.38     | 17.88     | 19.77     | 20.81     | 22.86     | 24.12     | 25.56     | 27.35     | 28.90     |
| NJ    | 00032      | Aged/Disabled                | 2.48      | 2.79      | 3.27      | 3.61 *    | 5.17      | 3.95      | 4.09      | 4.24      | 4.09      | 4.42      |
| NJ    | 00160      | AIDS/ARC                     | 3.12 *    | 0.69      | 0.77      | 0.88      | 0.81      | 0.88      | 0.86      | 0.77      | 0.68      | 0.56      |
| NJ    | 00244      | Children (Special Care)      |           | 0.17      | 0.23      | 0.18      | 0.23      | 0.16      | 0.16      | 0.10      | 0.13      | 0.05      |
| NJ    | 00285      | Aged/Disabled                |           |           |           |           | 0.02      | 0.11      | 0.37      | 0.79      | 1.42      | 2.69      |
| NJ    | 40104      | Disabled/Physically Disabled | 0.01      | 0.01      | 0.01      | 0.01      | 0.01      | 0.00      | 0.01      | 0.01      | 0.00      | 0.01      |
| NJ    | 40123      | Disabled/Physically Disabled | 0.04      | 0.04      | 0.01      | 0.01      | 0.01      | 0.01      | 0.00      | 0.00      | 0.00      | 0.01      |
| NJ    | 40133      | Disabled/Physically Disabled | 1.33      | 1.60      | 1.82      | 1.75      | 1.59      | 1.64      | 1.73      | 1.90      | 1.95      | 2.05      |
| NJ    | 40174      | TBI/Head Injury              |           |           | 0.19      | 0.51      | 0.89      | 1.09      | 1.10      | 1.23      | 1.33      | 1.53      |
| NM    | 00161      | AIDS/ARC                     | 0.44      | 0.42      | 0.60      | 0.50      | 0.51 *    | 0.37      | 0.32      | 0.29      | 0.30      | 0.32      |
| NM    | 00169      | Aged/Disabled                | 7.09      | 7.22      | 7.80      | 7.98      | 6.92      | 6.92      | 9.89      | 11.42     | 10.41     | 11.55     |
| NM    | 00173      | MR/DD                        | 2.27      | 4.15      | 7.14      | 18.75     | 37.80 *   | 48.79     | 52.21     | 54.99     | 60.22     | 71.38     |
| NM    | 00223      | Children (Special Care)      | 1.14      | 1.45      | 1.85 *    | 1.76 *    | 1.36 *    | 1.09      | 1.72      | 1.81      | 1.87      | 1.95      |
| NV    | 00125      | MR/DD                        | 1.70      | 1.79      | 1.73      | 2.40      | 3.04      | 3.32      | 4.37      | 5.39      | 7.39      | 9.90      |
| NV    | 00152      | Aged                         | 1.53      | 1.34      | 1.77      | 4.12      | 2.07      | 2.19      | 2.46      | 2.72      | 2.74      | 3.15      |
| NV    | 00267      | Aged                         |           |           |           | 0.00      | 0.03      | 0.09      | 0.13      | 0.14      | 0.17      | 0.23      |
| NV    | 40150      | Disabled/Physically Disabled | 0.04      |           |           |           |           |           |           |           |           | 0.23      |
| NV    | 4150.      | Disabled/Physically Disabled |           | 0.06      | 0.05      | 0.05      | 0.04      | 0.05      | 0.05      | 0.08      | 0.10      | 0.31      |
| NY    | 00034      | Aged/Disabled                | 0.85 *    | 0.93      | 1.04      | 1.14      | 1.21      | 1.28      | 1.33      | 1.31      | 1.29      | 1.38      |
| NY    | 00238      | MR/DD                        | 3.50 *    | 9.82      | 24.50     | 39.34     | 59.77     | 73.81     | 79.31     | 95.51     | 93.47     | 88.32     |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| NY    | 00269      | TBI/Head Injury              |           |           |           |           | 0.04      | 0.31      | 0.59      | 0.90      | 1.26      | 1.71      |
| NY    | 00296      | Children (Special Care)      |           |           |           |           | 0.03      | 0.16      | 0.21      | 0.26      | 0.30      | 0.30 *    |
| NY    | 40125      | Children (Special Care)      | 0.01      | 0.01      | 0.02      | 0.02      | 0.02      | 0.02      | 0.03      | 0.08      | 0.11      | 0.11      |
| NY    | 40148      | Children (Special Care)      | 0.01      | 0.01 *    | 0.01      | 0.04      | 0.03      | 0.03      | 0.03      |           |           | 0.11      |
| NY    | 40163      | MR/DD                        | 0.02      | 0.02      | 0.03      | 0.05      | 0.06      | 0.06      | 0.06      | 0.05      | 0.05      | 0.06      |
| NY    | 40176      | MR/DD                        |           |           |           | 0.03      | 0.06      | 0.06      | 0.05      | 0.05      | 0.04      | 0.05      |
| NY    | 40178      | Children (Special Care)      |           |           |           | 0.00      | 0.01 *    | 0.02      | 0.02      |           |           | 0.05      |
| NY    | 40200      | MR/DD                        |           |           |           |           |           |           |           |           |           | 0.03      |
| OH    | 00180      | AIDS/ARC                     | 0.02      |           |           |           |           |           |           |           |           | 0.03      |
| OH    | 00196      | Disabled/Physically Disabled | 0.17 *    | 0.03      | 0.17 *    | 0.19      | 0.25      | 0.34      |           |           |           | 0.03      |
| OH    | 00198      | Aged/Disabled                | 2.64      | 4.16      | 4.49      | 6.50      | 8.35      | 9.20      | 10.61 *   | 10.89     | 11.12     | 13.35     |
| OH    | 00207      | Disabled/Physically Disabled | 0.35      | 0.64      | 1.03      | 1.85 *    | 2.53      | 3.26      |           |           |           | 13.35     |
| OH    | 00218      | MR/DD                        | 0.73 *    | 0.05      | 0.19      | 0.78      | 0.61      | 1.05      | 1.26 *    | 1.50 *    |           | 13.35     |
| OH    | 00231      | MR/DD                        | 0.21      | 1.71      | 4.58      | 7.28      | 4.46      | 2.09      | 2.43      | 8.61      | 8.62      | 9.27      |
| OH    | 00291      | MR/DD                        |           |           |           |           |           |           | 1.83      | 6.12      | 7.27      | 7.82      |
| OH    | 00337      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 7.53      | 10.44     | 12.41     |
| OK    | 00179      | MR/DD                        | 10.88 *   | 12.26 *   | 16.91 *   | 21.38     | 26.19     | 27.25     | 33.89     | 41.45     | 44.55     | 53.50     |
| OK    | 00234      | MR/DD                        | 0.01 *    | 0.19 *    | 1.44 *    | 1.32      | 1.65      | 1.78      | 2.43      | 2.83 *    |           | 53.50     |
| OK    | 00256      | Aged/Disabled                |           |           | 0.01 *    | 0.09      | 0.33      | 0.84      | 3.17      | 8.31      | 11.83     | 15.75     |
| OK    | 00343      | MR/DD                        |           |           |           |           |           |           |           |           | 0.21      | 1.25      |
| OK    | 00351      | MR/DD                        |           |           |           |           |           |           |           |           | 0.03      | 0.32      |
| OR    | 00117      | MR/DD                        | 17.47     | 22.98     | 27.32     | 30.23     | 31.05     | 32.28     | 41.05     | 53.38     | 66.16     | 74.06     |
| OR    | 00185      | Aged/Disabled                | 21.53     | 25.13     | 28.30     | 30.96     | 30.37 *   | 36.84     | 34.95     | 50.70     | 57.32     | 63.74     |
| PA    | 00147      | MR/DD                        | 10.67     | 13.55     | 17.28 *   | 20.74     | 24.06     | 30.07     | 38.69     | 45.11     | 50.00     | 50.00 *   |
| PA    | 00192      | AIDS/ARC                     | 0.01      | 0.02      | 0.01      | 0.01      | 0.01      | 0.01      | 0.01      | 0.00      | 0.01 *    | 0.01 *    |
| PA    | 00235      | MR/DD                        | 0.01      | 0.10      | 0.10      | 0.15      | 0.24      | 0.26      | 0.32 *    | 0.37 *    | 0.52      | 0.70      |
| PA    | 00277      | Disabled/Physically Disabled |           |           |           |           | 0.17      | 0.38      | 0.75      | 1.39      | 2.23      | 2.69      |
| PA    | 00279      | Aged                         |           |           |           |           | 0.04      | 0.46 *    | 0.99      | 1.77      | 2.65      | 2.65 *    |
| PA    | 00313      | Disabled/Physically Disabled |           |           |           |           |           | 0.07      | 0.07      | 0.08      | 0.09      | 0.09 *    |
| PA    | 00319      | Aged                         |           |           |           |           |           |           | 0.03      | 0.09      | 0.09      | 0.09 *    |
| PA    | 00324      | MR/DD                        |           |           |           |           |           |           |           | 0.14      | 0.53      | 0.53 *    |
| PA    | 00354      | MR/DD                        |           |           |           |           |           |           |           |           | 0.75      | 0.75 *    |
| PA    | 40144      | Children (Special Care)      | 0.39      | 0.54      | 0.41      | 0.39      | 0.32      | 0.28      | 0.35      | 0.30      | 0.34      | 0.38      |
| RI    | 00040      | Aged/Disabled                | 2.46 *    | 4.69      | 4.82      | 5.47      | 6.85      | 7.12      | 7.30      | 9.14      | 9.68 *    | 9.60 *    |
| RI    | 00162      | MR/DD                        | 40.62     | 41.51 *   | 64.68     | 67.09     | 75.61     | 94.84     | 96.17     | 98.30     | 145.77 *  | 144.63 *  |
| RI    | 00176      | Aged                         | 0.13      | 0.14      | 0.74      | 0.91      | 1.11 *    | 2.09      | 2.14      | 2.62      | 2.45 *    | 2.43 *    |
| RI    | 00335      | MR/DD                        |           |           |           |           |           |           |           |           | 0.28 *    | 2.43      |
| RI    | 40126      | Disabled/Physically Disabled | 0.25      | 0.38      | 0.39      | 0.45      | 0.47      | 0.48      | 0.44      | 0.64      | 0.93 *    | 0.93 *    |
| RI    | 40153      | Children (Special Care)      | 0.75 *    | 2.09 *    | 2.72      |           |           |           |           |           |           | 0.93      |
| SC    | 00104      | Aged/Disabled                | 6.58      | 6.68      | 7.47      | 8.73      | 9.08      | 10.46     | 12.57     | 16.38     | 19.02     | 19.13     |
| SC    | 00186      | AIDS/ARC                     | 0.15      | 0.21      | 0.26      | 0.28      | 0.21      | 0.25      | 0.71      | 0.67      | 0.67      | 0.66 *    |
| SC    | 00237      | MR/DD                        | 1.71      | 4.37      | 5.60      | 7.53      | 9.57      | 14.06     | 18.45     | 20.65     | 24.17     | 28.99     |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category         | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| SC    | 00284      | TBI/Head Injury              |           |           |           |           | 0.30      | 0.56      | 0.80      | 1.20      | 1.64      | 1.98      |
| SC    | 40140      | Children (Special Care)      | 0.01      | 0.01      | 0.00      |           |           |           |           |           |           | 1.98      |
| SC    | 40181      | Disabled/Physically Disabled |           |           |           | 0.06      | 0.13      | 0.14      | 0.13      | 0.17      | 0.14      | 0.17      |
| SD    | 00044      | MR/DD                        | 22.58     | 27.22     | 31.24     | 38.16     | 44.90     | 50.94     | 54.84     | 62.98     | 65.64     | 70.64     |
| SD    | 00189      | Aged                         | 0.55      | 0.73      | 0.82      | 0.95      | 0.88      | 1.09      | 1.49      | 1.88      | 2.60      | 3.11      |
| SD    | 00264      | Disabled/Physically Disabled |           |           |           | 0.09      | 0.39      | 0.56      | 0.89      | 1.12      | 1.18      | 1.55      |
| SD    | 00338      | Aged                         |           |           |           |           |           |           |           | 0.54      | 0.63      | 0.77      |
| TN    | 00062      | Aged/Disabled                | 0.38      | 0.35 *    | 0.33      | 0.53      | 0.69      | 0.61      | 0.71      | 0.70      | 0.68      | 0.68 *    |
| TN    | 00128      | MR/DD                        | 1.91      | 2.04      | 2.54 *    | 3.34      | 7.41      | 12.69     | 20.96     | 22.65     | 29.79     | 31.83     |
| TN    | 00248      | Aged/Disabled                |           |           |           |           | 0.00      | 0.01      | 0.07      | 0.13      | 0.16      | 0.16 *    |
| TN    | 00357      | MR/DD                        |           |           |           |           |           |           |           |           |           | 1.76      |
| TN    | 40132      | MR/DD                        | 0.16      | 0.34      | 0.46      | 0.57      | 0.49      |           |           |           |           | 1.76      |
| TX    | 00110      | MR/DD                        | 1.06      | 1.13      | 2.24 *    | 3.54      | 4.39      | 6.78      | 9.61      | 10.10     | 8.97      | 7.56      |
| TX    | 00181      | Children (Special Care)      | 0.10      | 0.25      | 0.41      | 0.53      | 0.64      | 0.59      | 0.47      | 0.57      | 0.75      | 0.82      |
| TX    | 00221      | MR/DD                        | 0.32      | 0.71      | 0.49      | 1.00      | 1.15      | 1.27      | 1.32      | 1.38      | 1.58      | 1.87      |
| TX    | 00240      | MR/DD                        |           | 0.02      | 0.12      | 0.25      | 0.32      | 0.34      | 0.36      | 0.31      | 0.25      | 0.20      |
| TX    | 00266      | Aged/Disabled                |           |           | 0.08      | 0.08      | 1.47      | 7.39      | 8.99      | 13.29     | 14.24     | 16.45     |
| TX    | 00281      | Disabled/Physically Disabled |           |           |           |           | 0.09      | 0.13      | 0.17      | 0.19      | 0.18      | 0.18      |
| TX    | 00330      | MR/DD                        |           |           |           |           |           |           |           | 1.56      | 1.49 *    | 2.26      |
| UT    | 00158      | MR/DD                        | 14.48     | 15.90     | 17.23     | 18.99     | 21.95     | 25.53     | 28.93     | 29.71     | 34.03     | 36.59     |
| UT    | 00247      | Aged                         |           | 0.04      | 0.17      | 0.29 *    | 0.55      | 0.63      | 0.85      | 0.79      | 1.00      | 0.98      |
| UT    | 00292      | TBI/Head Injury              |           |           |           |           |           | 0.11 *    | 0.16      | 0.26      | 0.47      | 0.62      |
| UT    | 00331      | Disabled/Physically Disabled |           |           |           |           |           |           |           | 0.02      | 0.24      | 0.45      |
| UT    | 40183      | Children (Special Care)      |           |           |           | 0.06      | 0.15      | 0.12      | 0.12      | 0.23      | 0.36      | 0.49      |
| VA    | 00048      | Aged/Disabled                | 6.33      | 7.57      | 8.14      | 9.32      | 11.34     | 11.24     | 12.58     | 11.70     | 11.77     | 12.26     |
| VA    | 00225      | MR/DD                        | 0.01      | 0.01 *    | 0.01 *    |           |           |           |           |           |           | 12.26     |
| VA    | 00227      | MR/DD                        | 1.20      | 2.15      | 0.41      | 5.06      | 7.60      | 7.44      | 9.93      | 16.64     | 19.69     | 23.80     |
| VA    | 00306      | Aged/Disabled                |           |           |           |           |           |           | 0.63      | 0.01      | 0.02      | 23.80     |
| VA    | 00321      | Aged                         |           |           |           |           |           |           |           | 0.04      | 0.08      | 0.05      |
| VA    | 00358      | MR/DD                        |           |           |           |           |           |           |           |           |           | 0.00      |
| VA    | 40149      | Disabled/Physically Disabled | 0.71      | 0.93      | 1.18      | 1.52      | 1.73      | 1.71      | 2.80      | 2.17      | 2.25      | 2.42      |
| VA    | 40160      | AIDS/ARC                     | 0.08      | 0.13      | 0.03      | 0.22      | 0.27      | 0.27      | 0.24      | 0.16      | 0.14      | 0.12      |
| VT    | 00163      | Aged/Disabled                | 4.15      | 4.55      | 5.32      | 5.02      | 4.99      | 8.46      | 10.70     | 13.77     | 17.70     | 21.42     |
| VT    | 04790      | MR/DD                        | 23.81     | 38.18     | 54.08     | 63.90     | 73.96     | 82.62     | 109.05    | 92.22     | 98.70     | 112.22    |
| VT    | 04791      | Mental Health                | 4.30      | 4.59 *    | 2.98 *    | 4.54 *    | 4.75      | 4.60      | 5.81      | 4.33      | 7.04      | 7.55      |
| VT    | 40182      | TBI/Head Injury              |           |           |           | 1.39      | 1.72      | 2.12      | 2.30      | 2.46      | 3.28      | 3.62      |
| VT    | 40186      | Aged/Disabled                |           |           |           |           |           | 0.23      | 1.02      | 1.37      | 1.64      | 2.04      |
| WA    | 00049      | Aged/Disabled                | 7.71      | 8.58      | 8.27 *    | 7.54 *    | 10.35     | 17.23     | 25.27     | 33.72     | 40.78     | 45.88     |
| WA    | 00050      | MR/DD                        | 12.00     | 14.02     | 15.53     | 17.10     | 18.85     | 19.87     | 23.06     | 27.53     | 33.01     | 37.03     |
| WA    | 00203      | MR/DD                        | 0.69      | 0.67      | 0.76      | 0.78      | 0.77 *    | 0.65      | 0.41      | 0.10      | 0.07      | 37.03     |
| WA    | 00206      | AIDS/ARC                     | 0.02      | 0.11      | 0.07      | 0.05      | 0.06      | 0.10      | 0.09      | 0.06      | 0.01      | 37.03     |
| WA    | 40143      | MR/DD                        | 0.00      | 0.00      | 0.00      | 0.00      | 0.00 *    | 0.00 *    | 0.00      | 0.00      |           | 37.03     |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6A : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by Waiver, 1992-2001

| State | Waiver No. | Participant Category | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) |
|-------|------------|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| WI    | 00154      | Aged/Disabled        | 7.82      | 10.16     | 11.76     | 13.29     | 15.23     | 17.42     | 19.32     | 21.88     | 22.39     | 21.73     |
| WI    | 00229      | MR/DD                | 7.64      | 9.56      | 13.30     | 19.32     | 29.41     | 36.00     | 43.76     | 49.81     | 52.02     | 55.13     |
| WI    | 00230      | MR/DD                | 1.35      | 1.51      | 1.69      | 1.90      |           |           |           |           |           | 55.13     |
| WI    | 00275      | TBI/Head Injury      |           |           |           | 0.18      | 0.64      | 1.14      | 1.65      | 1.98      | 2.16      | 2.54      |
| WI    | 00297      | MR/DD                |           |           |           |           | 0.04      | 0.12      | 0.14      | 0.19      | 0.22      | 0.25      |
| WV    | 00133      | Aged                 | 5.86      | 7.23      | 10.93     | 16.33     | 19.86     | 25.14     | 32.48     | 39.50     | 45.24     | 54.33     |
| WV    | 00134      | Aged/Disabled        | 8.46      | 11.56     | 16.05     | 19.35     | 21.59     | 21.83     | 22.06     | 21.53     | 21.17     | 23.49     |
| WY    | 00226      | MR/DD                | 12.83     | 22.57     | 37.78     | 47.98     | 50.96     | 59.15     | 67.37     | 71.57     | 76.12     | 78.45     |
| WY    | 00236      | Aged/Disabled        |           |           | 0.93      | 3.69      | 6.04      | 7.31      | 9.19      | 9.22      | 9.45      | 11.69     |
| WY    | 00253      | MR/DD                |           | 2.17      | 4.69      | 7.64      | 9.32      | 10.35     | 11.77     | 13.88     | 13.04     | 15.45     |
| US    |            |                      | 8.48      | 11.40     | 15.61     | 19.50     | 24.45     | 29.22     | 33.93     | 41.61     | 44.49     | 49.92     |

\*Estimate for missing data.

Empty cell denotes inactive program e.g., not started or ended.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

Table 6B : Medicaid 1915(c) HCBS Waivers Annual Expenditures per Capita by State, 1992-2001

| STATE | 1992 (\$) | 1993 (\$) | 1994 (\$) | 1995 (\$) | 1996 (\$) | 1997 (\$) | 1998 (\$) | 1999 (\$) | 2000 (\$) | 2001 (\$) | % chnge<br>92-01 | % chnge<br>00-01 |
|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------------|------------------|
| AK    | 0.00      | 0.00      | 0.61      | 7.39      | 12.97     | 37.76     | 48.06     | 61.80     | 83.31     | 115.44    |                  | 39               |
| AL    | 10.40     | 11.03 *   | 11.94 *   | 14.94     | 19.43     | 24.29     | 27.38     | 29.55     | 30.45     | 48.75     | 369              | 60               |
| AR    | 2.23      | 5.05      | 7.65      | 10.46     | 13.65     | 13.76     | 16.97     | 22.03     | 24.14     | 32.27     | 1347             | 34               |
| CA    | 3.23 *    | 7.17      | 9.10      | 11.56     | 13.31     | 14.04     | 15.75     | 16.60     | 17.09     | 22.19 *   | 586              | 30               |
| CO    | 20.24     | 22.58     | 30.82     | 32.67     | 37.57     | 44.10     | 51.51     | 51.67     | 64.12     | 68.90     | 240              | 7                |
| CT    | 35.34     | 40.28     | 55.80     | 63.56     | 72.10     | 78.77     | 103.14    | 205.99    | 114.59    | 120.69    | 241              | 5                |
| DC    | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.00      | 0.10      | 0.65      | 2.95      |                  | 352              |
| DE    | 13.33 *   | 16.30     | 20.01     | 22.13     | 22.79     | 28.40     | 32.77     | 45.66     | 51.11     | 59.38     | 345              | 16               |
| FL    | 2.92      | 3.57      | 7.57      | 9.27      | 12.00     | 14.02     | 14.75     | 19.00     | 21.32     | 34.16     | 1069             | 60               |
| GA    | 5.74      | 7.46      | 7.66      | 8.51      | 10.36 *   | 13.38     | 14.03     | 21.16     | 23.06     | 25.59 *   | 345              | 11               |
| HI    | 10.35     | 12.57     | 15.88     | 17.04 *   | 30.11     | 17.72     | 22.58     | 28.83     | 34.14     | 42.56     | 311              | 25               |
| IA    | 0.65 *    | 0.92      | 1.14 *    | 5.09 *    | 11.68 *   | 18.92     | 24.62     | 30.51     | 36.00     | 43.72     | 6659             | 21               |
| ID    | 3.17      | 4.99      | 3.83      | 5.11      | 10.94     | 11.52     | 12.14     | 14.26     | 25.17     | 42.77     | 1251             | 70               |
| IL    | 10.73     | 11.30     | 13.20     | 14.40     | 17.49     | 22.01 *   | 22.51     | 26.18     | 26.68     | 33.68     | 214              | 26               |
| IN    | 0.55      | 1.17      | 2.13      | 3.31      | 4.82      | 6.56      | 8.74      | 14.23     | 17.08     | 21.87     | 3911             | 28               |
| KS    | 7.68      | 12.79     | 21.01     | 26.50     | 33.60     | 59.46     | 75.89     | 90.53     | 101.57    | 109.79    | 1329             | 8                |
| KY    | 8.01 *    | 10.18     | 10.40     | 10.54     | 15.14     | 8.27      | 20.71     | 24.57     | 30.13     | 34.22 *   | 327              | 14               |
| LA    | 0.54      | 2.43      | 5.95      | 8.97      | 10.38     | 12.47     | 14.31     | 17.83     | 18.71     | 29.03     | 5245             | 55               |
| MA    | 6.50 *    | 17.99     | 35.27     | 32.99     | 41.64     | 46.92     | 57.95     | 69.27     | 73.82     | 79.47     | 1123             | 8                |
| MD    | 13.98     | 18.34     | 21.51     | 24.28     | 25.52     | 28.57     | 27.60     | 30.19     | 33.76     | 37.09     | 165              | 10               |
| ME    | 18.20     | 22.12 *   | 29.60     | 38.61     | 47.15     | 56.75     | 76.80     | 95.36     | 105.71    | 117.45    | 545              | 11               |
| MI    | 6.53      | 8.16      | 11.23     | 11.98     | 17.22     | 21.37     | 28.05     | 32.60     | 50.05     | 59.38     | 809              | 19               |
| MN    | 21.53     | 29.53     | 36.69     | 46.43     | 57.70     | 66.80     | 79.42     | 90.06     | 101.48    | 125.24    | 482              | 23               |
| MO    | 11.11     | 15.38     | 20.89     | 25.73     | 30.56     | 34.66     | 38.48     | 42.42     | 47.33     | 52.29     | 371              | 10               |
| MS    | 0.33      | 0.36      | 0.44      | 0.97      | 3.63      | 3.94      | 4.37      | 5.37      | 8.92      | 14.53     | 4275             | 63               |
| MT    | 18.55     | 20.08     | 23.79     | 27.38     | 34.90     | 41.27     | 44.24     | 47.27     | 55.77     | 62.06     | 235              | 11               |
| NC    | 7.53      | 9.42      | 11.66     | 17.92     | 25.63     | 33.18     | 35.65     | 41.74     | 47.22     | 48.51     | 545              | 3                |
| ND    | 32.14     | 34.96 *   | 39.09     | 44.68     | 48.62     | 51.62     | 57.65     | 64.85     | 72.23     | 78.62     | 145              | 9                |
| NE    | 13.86     | 17.02     | 22.08     | 23.56     | 27.60     | 38.98     | 39.40     | 53.01     | 57.82     | 70.86     | 411              | 23               |
| NH    | 48.36     | 54.37 *   | 63.23     | 75.47     | 85.36     | 89.81     | 93.92     | 97.80 *   | 94.90     | 93.49 *   | 93               | -1               |
| NJ    | 20.14     | 20.67     | 24.18     | 26.71     | 29.52     | 30.69     | 32.45     | 34.60     | 36.96     | 40.20     | 100              | 9                |
| NM    | 10.95     | 13.24     | 17.39 *   | 28.99 *   | 46.59 *   | 57.16     | 64.13     | 68.50     | 72.79     | 85.20     | 678              | 17               |
| NV    | 3.26      | 3.19      | 3.55      | 6.57      | 5.19      | 5.65      | 7.02      | 8.33      | 10.40     | 13.59     | 316              | 31               |
| NY    | 4.39      | 10.79     | 25.62     | 40.63     | 61.24 *   | 75.74     | 81.63     | 98.17     | 96.51     | 91.95     | 1994             | -5               |
| OH    | 4.12      | 6.60      | 10.47     | 16.59     | 16.20     | 15.94     | 16.14     | 34.65     | 37.45     | 42.85     | 940              | 14               |
| OK    | 10.89 *   | 12.45 *   | 18.36 *   | 22.79     | 28.17     | 29.87     | 39.49     | 52.59     | 56.62     | 70.83     | 550              | 25               |
| OR    | 39.00     | 48.11     | 55.62     | 61.19     | 61.42 *   | 69.12     | 76.00     | 104.08    | 123.48    | 137.81    | 253              | 12               |
| PA    | 11.07     | 14.20     | 17.80     | 21.29     | 24.85     | 31.53     | 41.20     | 49.24     | 57.21     | 57.88     | 423              | 1                |
| RI    | 44.22 *   | 48.80 *   | 73.35     | 73.92     | 84.05     | 104.53    | 106.05    | 110.69    | 159.10 *  | 157.59 *  | 256              | -1               |
| SC    | 8.45      | 11.27     | 13.33     | 16.60     | 19.29     | 25.46     | 32.66     | 39.07     | 45.64     | 50.94     | 503              | 12               |
| SD    | 23.13     | 27.95     | 32.07     | 39.20     | 46.17     | 52.59     | 57.21     | 66.52     | 70.05     | 76.07     | 229              | 9                |
| TN    | 2.45      | 2.72      | 3.33      | 4.45      | 8.59      | 13.32     | 21.74     | 23.48     | 30.63     | 34.42     | 1304             | 12               |
| TX    | 1.48      | 2.10      | 3.35      | 5.41      | 8.06      | 16.48     | 20.93     | 27.40     | 27.46 *   | 29.35     | 1884             | 7                |
| UT    | 14.48     | 15.93     | 17.40     | 19.34     | 22.65     | 26.39     | 30.05     | 31.00     | 36.10     | 39.14     | 170              | 8                |
| VA    | 8.34      | 10.79     | 9.78      | 16.12     | 20.93     | 20.66     | 26.17     | 30.73     | 33.94     | 38.67     | 364              | 14               |
| VT    | 32.26     | 47.32 *   | 62.38 *   | 74.85     | 85.41     | 98.03     | 128.88    | 114.15    | 128.35    | 146.86    | 355              | 14               |
| WA    | 20.42     | 23.39     | 24.63     | 25.46     | 30.03 *   | 37.86 *   | 48.83     | 61.42     | 73.87     | 82.91     | 306              | 12               |
| WI    | 16.81     | 21.23     | 26.75     | 34.70     | 45.32     | 54.69     | 64.87     | 73.87     | 76.79     | 79.65     | 374              | 4                |
| WV    | 14.32     | 18.78     | 26.98     | 35.69     | 41.45     | 46.97     | 54.54     | 61.03     | 66.41     | 77.83     | 443              | 17               |
| WY    | 12.83     | 24.74     | 43.40     | 59.31     | 66.32     | 76.82     | 88.33     | 94.67     | 98.60     | 105.59    | 723              | 7                |
| US    | 8.48      | 11.40     | 15.61     | 19.50     | 24.45     | 29.22     | 33.93     | 41.61     | 44.49     | 49.92     | 489              | 12               |

\*Estimate for missing data  
Empty cell denotes no waiver reported  
Source: CMS From 372. University of California, San Francisco, 2003.

**Table 7A : Medicaid 1915(c) HCBS Waivers Total Expenditures per Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/ Foster Care (\$) | Respite/ HH/PC (\$) | Habilitation/ Day Care (\$) | Nursing/ Therapy (\$) | Other (\$)  |
|-------|-------------|------------------------------|----------------------|-------------------------------|---------------------|-----------------------------|-----------------------|-------------|
| AK    | 00260.00    | MR/DD                        | 1,824,316            |                               | 1,794,455           | 35,390,850                  | 3,530                 | 3,985,881   |
| AK    | 00261.00    | Aged                         | 1,972,786            | 6,043,292                     | 3,145,315           | 1,112,084                   | 135,094               | 2,840,793   |
| AK    | 00262.00    | Disabled/Physically Disabled | 1,238,159            | 2,168,167                     | 1,642,461           | 1,242,520                   | 74,295                | 2,413,738   |
| AK    | 00263.00    | MR/DD                        | 318,350              |                               | 740,006             | 4,294,590                   |                       | 909,889     |
| AL    | 00001.90.R2 | MR/DD                        |                      | 71,913,452                    | 735,666             | 33,677,843                  | 303,454               | 1,915,735   |
| AL    | 00068.91.R1 | Aged/Disabled                | 9,124,016            |                               | 97,001,612          | 567,666                     |                       | 104,822     |
| AL    | 00241.90.02 | Disabled/Physically Disabled | 525,060              |                               | 1,141,129           |                             |                       | 628,026     |
| AR    | 00188.00    | MR/DD                        | 2,612,534            | 41,991,359                    |                     |                             | 42,952                | 1,267,865   |
| AR    | 00195.00    | Aged                         |                      |                               | 22,917,820          | 1,918,018                   |                       | 7,462,240   |
| AR    | 00312.00    | Disabled/Physically Disabled |                      |                               | 8,418,729           |                             |                       | 242,931     |
| CA    | 00141.90    | Aged/Disabled                | 24,713,605           | 1,651,018                     | 3,545,535           | 274,842                     | 910,969               | 1,830,411   |
| CA    | 00183.00    | AIDS/ARC                     | 3,806,851            |                               | 3,624,165           |                             | 89,949                | 757,735     |
| CA    | 348         | MR/DD                        |                      | 360,928,303                   | 19,695,055          | 218,544,289                 | 12,298,920            | 67,385,834  |
| CO    | 00006.90.R2 | Aged/Disabled                |                      | 16,954,013                    | 46,198,641          | 3,543,182                   |                       | 5,560,973   |
| CO    | 00007.91.R2 | MR/DD                        |                      |                               |                     | 172,671,423                 |                       |             |
| CO    | 00211.90.02 | AIDS/ARC                     |                      |                               | 119,014             |                             |                       | 3,150       |
| CO    | 00268.90    | Mental Health                |                      | 6,635,540                     | 2,334,580           | 88,721                      |                       | 359,794     |
| CO    | 00288.90    | TBI/Head Injury              |                      | 3,036,213                     | 601,965             | 908,433                     |                       | 436,396     |
| CO    | 00293.00    | MR/DD                        |                      | 34,389,423                    |                     |                             |                       |             |
| CO    | 00305.01    | MR/DD                        |                      |                               |                     | 7,945,270                   |                       |             |
| CO    | 40157.90    | Children (Special Care)      | 263,407              |                               |                     |                             |                       |             |
| CO    | 40180.00    | MR/DD                        |                      | 279,613                       | 1,486,088           |                             |                       | 551,164     |
| CT    | 00140.90    | Aged/Disabled                | 11,041,602           | 34,580                        | 27,105,742          | 9,400,680                   |                       | 13,857,253  |
| CT    | 00153.90    | MR/DD                        |                      |                               | 785,414             | 304,243,354                 |                       | 35,877,469  |
| CT    | 00301.00    | Disabled/Physically Disabled |                      |                               | 5,309,832           |                             |                       |             |
| CT    | 302         | TBI/Head Injury              | 27,992               | 2,547,709                     | 366,497             |                             |                       | 2,779,629   |
| CT    | 40110.91.R1 | MR/DD                        | 7,313                |                               |                     |                             |                       |             |
| DC    | 00307.01    | MR/DD                        | 176,475              |                               | 400,036             | 134,814                     | 14,885                | 38,534      |
| DC    | 00334.02    | Aged/Disabled                | 77,245               |                               | 832,440             |                             |                       | 9,721       |
| DE    | 00009.00    | MR/DD                        | 2,071,621            | 12,406,382                    | 19,320              | 14,547,331                  |                       | 7,178,239   |
| DE    | 00136.00    | Aged/Disabled                |                      |                               | 7,177,764           | 913,735                     |                       | 398,424     |
| DE    | 332         | Aged                         |                      |                               | 12,096              |                             | 495,661               |             |
| DE    | 40159.90    | AIDS/ARC                     | 1,807,531            |                               | 158,592             |                             |                       | 90,439      |
| FL    | 00116.90    | Aged/Disabled                | 2,450,542            |                               | 4,958,929           | 1,774,900                   | 1,080                 | 941,056     |
| FL    | 00194.90    | AIDS/ARC                     | 6,985,304            |                               | 5,721,038           | 176,739                     | 1,192,647             | 11,050,444  |
| FL    | 00280.00    | Aged/Disabled                | 2,357,510            | 18,330,269                    |                     |                             |                       | 1,137,475   |
| FL    | 00294.01    | MR/DD                        |                      | 52,780                        | 4,034               |                             |                       | 10,056      |
| FL    | 00315.04    | MR/DD                        |                      | 11,161,582                    |                     |                             |                       | 10,782,113  |
| FL    | 01090.R2    | Aged/Disabled                | 6,744,337            |                               | 42,836,141          | 6,617,443                   | 305,311               | 16,795,117  |
| FL    | 01091.R2    | MR/DD                        | 34,023,454           | 18,173,598                    | 55,290,210          | 161,391,510                 | 8,877,491             | 128,693,238 |
| FL    | 01879       | TBI/Head Injury              |                      | 23,280                        | 651,622             |                             |                       | 614,916     |
| FL    | 40166.00    | Children (Special Care)      |                      |                               | 59,172              |                             |                       |             |
| GA    | 00112.91    | Aged/Disabled                |                      | 19,890,411                    | 55,063,705          | 1,460,496                   | 56,324                | 3,909,741   |
| GA    | 00175.00    | MR/DD                        | 3,661,666            | 12,117,451                    | 31,112,811          | 20,377,415                  |                       | 2,236,652   |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 7A : Medicaid 1915(c) HCBS Waivers Total Expenditures per Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Foster Care (\$) | Residential/HH/PC (\$) | Habilitation/Day Care (\$) | Nursing/Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|------------------|------------------------|----------------------------|----------------------|------------|
| GA    | 323         | MR/DD                        | 1,449,521            |                  |                        | 41,835,106                 |                      | 91,249     |
| GA    | 40116.90    | Children (Special Care)      |                      |                  |                        | 1,428,595                  | 3,734,986            | 181,673    |
| HI    | 00013.90.R2 | MR/DD                        |                      |                  |                        | 16,943,751                 | 786,291              | 596,913    |
| HI    | 00014.90.R2 | Aged/Disabled                | 2,606,564            | 5,599,646        | 154,088                | 1,480,844                  | 8,578                |            |
| HI    | 00057.90.R1 | Aged/Disabled                | 1,710,634            |                  | 10,374,330             | 336,483                    | 1,052,168            | 166,926    |
| HI    | 00182.00    | AIDS/ARC                     | 138,384              |                  | 320,528                |                            | 13,744               | 32,397     |
| HI    | 40195       | Children (Special Care)      | 14,674               |                  | 3,773                  |                            |                      |            |
| IA    | 00213.01    | AIDS/ARC                     |                      |                  | 109,828                |                            | 1,120                | 8,023      |
| IA    | 00242.00    | MR/DD                        |                      | 92,625,606       | 6,757,555              |                            | 1,118,033            | 1,492,321  |
| IA    | 00299.00    | TBI/Head Injury              | 237,534              | 1,137,210        | 626,535                | 57,575                     | 11,877               | 99,747     |
| IA    | 00345.00    | Disabled/Physically Disabled |                      |                  | 258,830                |                            |                      | 48,082     |
| IA    | 40111.00    | MR/DD                        | 563                  |                  | 6,894,070              | 126,080                    | 135,337              | 108,242    |
| IA    | 40155.90    | Aged                         |                      | 245,528          | 10,155,687             | 966,152                    | 76,346               | 4,510,348  |
| ID    | 07690.R1.A  | Aged/Disabled                |                      | 12,075,798       | 19,576,862             | 18,534                     | 214,315              | 114,846    |
| ID    | 07691.R2.B  | MR/DD                        |                      |                  | 70,917                 | 21,203,559                 | 40,420               | 652,524    |
| ID    | 40187.00    | MR/DD                        |                      |                  |                        | 1,955,932                  | 10,473               | 91,729     |
| ID    | 40189.00    | TBI/Head Injury              |                      | 6,241            | 21,959                 | 21,490                     | 367                  | 426,365    |
| IL    | 00142.90    | Disabled/Physically Disabled |                      |                  | 104,518,219            | 345,092                    |                      | 2,886,691  |
| IL    | 00143.90    | Aged                         |                      |                  | 65,051,044             | 3,509,932                  |                      |            |
| IL    | 00202.90.R1 | AIDS/ARC                     |                      |                  | 10,360,659             |                            |                      | 68,543     |
| IL    | 00278.90    | Children (Special Care)      |                      |                  | 1,254,416              |                            | 26,044,576           | 274,778    |
| IL    | 00326.00    | Aged/Disabled                |                      | 810,760          |                        |                            |                      |            |
| IL    | 00329.00    | TBI/Head Injury              |                      |                  |                        |                            |                      |            |
| IL    | 00350.90    | MR/DD                        | 257,786              |                  | 4,255,317              | 33,145                     | 49,118               | 97,472     |
| IN    | 00210.90    | Aged/Disabled                | 1,266,843            |                  | 369,792                | 199,570,238                | 64,647               | 629,475    |
| IN    | 00249.90    | MR/DD                        | 5,921,696            | 47,931,637       | 14,907,070             | 187,554                    |                      | 943,861    |
| IN    | 40171.9     | Children (Special Care)      | 89,012               |                  | 35,376,038             | 12,980,211                 | 99,209               | 4,626,459  |
| IN    | 40197.03    | TBI/Head Injury              | 130,092              | 915,433          | 1,063,756              |                            | 60,139               | 130,678    |
| IN    | 4151        | MR/DD                        | 460,199              | 576,225          | 745,158                | 1,644,234                  | 71,532               | 743,989    |
| KS    | 00224.00    | MR/DD                        |                      |                  | 2,776,815              | 148,275,466                |                      | 867,775    |
| KS    | 00303.00    | Aged                         |                      |                  | 28,971,023             | 525,896                    | 6,678                | 1,308,331  |
| KS    | 00304.01    | Disabled/Physically Disabled |                      | 1,926,505        | 57,853,660             |                            |                      |            |
| KS    | 00320.00    | Mental Health                | 271,629              | 1,704            | 112,472                |                            |                      | 153,891    |
| KS    | 40164.00    | TBI/Head Injury              |                      | 701,751          | 2,520,952              |                            | 160,495              | 114,467    |
| KS    | 40165.00    | Children (Special Care)      | 145,535              |                  | 816                    |                            |                      |            |
| KY    | 00314.00    | MR/DD                        | 6,279,592            | 48,604,721       | 3,155,200              | 19,749,842                 | 1,046,372            | 1,636,582  |
| LA    | 00121.90.R2 | Aged/Disabled                |                      |                  |                        | 2,820,304                  |                      |            |
| LA    | 00200.00    | MR/DD                        |                      |                  | 76,098,450             | 39,663,374                 |                      | 5,383,603  |
| LA    | 00251.00    | Disabled/Physically Disabled |                      |                  | 1,527,140              |                            |                      |            |
| LA    | 00257.00    | Aged/Disabled                | 451,108              |                  | 2,055,648              |                            |                      | 1,630,974  |
| MA    | 00059.92.R1 | Aged                         |                      |                  | 11,298,173             | 191,073                    |                      | 2,413,613  |
| MA    | 00064.92.R2 | MR/DD                        |                      | 396,820,094      | 8,988,251              | 24,888,503                 |                      | 62,334,964 |
| MD    | 00023.91.R2 | MR/DD                        | 6,918,880            |                  | 5,194,404              | 176,498,898                |                      | 7,556,213  |
| MD    | 40118.90.R2 | Children (Special Care)      | 1,019,000            |                  | 245,179                |                            | 771,624              | 688        |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 7A : Medicaid 1915(c) HCBS Waivers Total Expenditures per Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/ Foster Care (\$) | Respite/ HH/PC (\$) | Habilitation/ Day Care (\$) | Nursing/ Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|-------------------------------|---------------------|-----------------------------|-----------------------|------------|
| ME    | 00088.90.R1 | Aged                         | 787,462              |                               | 10,855,772          | 218,774                     |                       | 80,897     |
| ME    | 00127.90    | Disabled/Physically Disabled | 267,931              |                               | 6,779,453           |                             |                       | 7,975      |
| ME    | 00159.90.R1 | MR/DD                        |                      | 63,821,102                    | 45,778,507          | 13,482,908                  | 109,181               | 3,389,469  |
| ME    | 00276.00    | Disabled/Physically Disabled |                      |                               | 5,121,837           | 39,989                      |                       | 34,750     |
| MI    | 00167.90.R1 | MR/DD                        | 348,835              | 444,333,915                   | 1,497,427           | 188,496                     | 6,741,663             | 46,335,356 |
| MI    | 00233.90    | Aged/Disabled                | 32,146,301           |                               | 33,458,065          | 2,284,759                   | 3,576,885             | 13,798,642 |
| MI    | 40119.90.R1 | MR/DD                        |                      |                               | 1,569,043           | 837,284                     | 4,336,228             | 2,112,333  |
| MN    | 00025.91.R2 | Aged                         | 9,625,419            | 31,630,676                    | 9,563,074           | 3,227,054                   |                       | 7,500,138  |
| MN    | 00061.90.R2 | MR/DD                        | 15,479,140           | 6,779,647                     | 17,847,741          | 461,275,228                 |                       | 6,348,452  |
| MN    | 00166.90.R1 | Disabled/Physically Disabled | 5,876,150            | 14,000,261                    | 7,234,442           | 1,632,318                   | 178,912               | 3,036,085  |
| MN    | 40128.90.R1 | Disabled/Physically Disabled | 497,928              | 231,712                       | 692,731             |                             | 3,504,287             | 68,466     |
| MN    | 40169.90.02 | TBI/Head Injury              | 958,921              | 13,065,309                    | 466,617             | 375,780                     |                       | 1,621,806  |
| MO    | 00026.90.R2 | Aged                         |                      |                               | 65,096,094          |                             |                       | 5,394,245  |
| MO    | 00178.00    | MR/DD                        |                      | 102,213,776                   | 5,579,808           | 99,736,668                  | 617,313               | 9,210,918  |
| MO    | 00197.00    | AIDS/ARC                     |                      |                               | 809,263             |                             | 146,537               | 1,721      |
| MO    | 00346.00    | Disabled/Physically Disabled | 45,300               |                               | 2,564,462           |                             |                       | 7,777      |
| MO    | 40185.00    | MR/DD                        |                      |                               | 1,200,111           | 16,115                      |                       | 200,198    |
| MO    | 40190.      | Disabled/Physically Disabled |                      |                               |                     |                             | 1,496,631             | 14,237     |
| MS    | 00255.01    | Disabled/Physically Disabled | 196,636              |                               | 2,543,265           |                             |                       |            |
| MS    | 00272.00    | Aged/Disabled                | 6,878,257            |                               | 19,446,522          | 265,278                     | 812,763               | 1,886,573  |
| MS    | 00282.00    | MR/DD                        |                      |                               | 6,303,718           | 1,771,161                   | 2,990                 | 1,411,960  |
| MT    | 00148.9     | Aged/Disabled                | 3,481,451            | 5,676,229                     | 5,178,692           | 2,033,014                   | 1,161,613             | 1,825,809  |
| MT    | 00208.90.02 | MR/DD                        |                      | 5,110,633                     | 124,278             | 26,750,472                  | 51,784                | 4,732,525  |
| NC    | 00132.90.R1 | Aged/Disabled                | 14,301,055           |                               | 180,807,054         | 1,332,262                   |                       | 3,786,968  |
| NC    | 00151.90.R1 | MR/DD                        | 26,729,118           | 80,481,204                    | 25,714,884          | 44,287,677                  | 425,817               | 1,775,061  |
| NC    | 00289.00    | AIDS/ARC                     | 46,274               |                               | 264,871             |                             |                       | 8,546      |
| NC    | 40141.90.R1 | Children (Special Care)      | 501,631              |                               | 1,972,315           |                             | 14,653,711            | 39,611     |
| ND    | 00037.90.R2 | MR/DD                        | 1,522,763            | 423,925                       | 9,038,244           | 33,576,201                  |                       | 341,462    |
| ND    | 00054.90.01 | Aged/Disabled                | 128,819              | 470,263                       | 3,883,488           | 2,939                       |                       | 56,759     |
| ND    | 00273.00    | TBI/Head Injury              | 7,202                | 426,087                       |                     |                             |                       | 380        |
| NE    | 00172.00    | MR/DD                        |                      |                               | 138,916             | 81,538,023                  |                       | 82,822     |
| NE    | 00187.00    | Aged/Disabled                |                      | 9,353,281                     | 4,022,528           | 2,721,228                   |                       | 15,730,100 |
| NE    | 00246.00    | Children (Special Care)      |                      |                               | 1,590               |                             |                       |            |
| NE    | 40154.00    | MR/DD                        |                      |                               | 339,576             | 7,370,905                   |                       | 23,067     |
| NE    | 40199       | TBI/Head Injury              |                      | 82,991                        |                     |                             |                       |            |
| NJ    | 00031.00    | MR/DD                        | 6,951,803            |                               | 179,619,995         | 58,621,898                  |                       |            |
| NJ    | 00032.00    | Aged/Disabled                | 3,277,355            |                               | 33,923,615          | 264,342                     |                       |            |
| NJ    | 00160.00    | AIDS/ARC                     | 728,865              |                               | 3,120,817           | 128,062                     | 255,140               | 476,203    |
| NJ    | 00244.00    | Children (Special Care)      | 167,765              | 71,586                        | 154,838             |                             |                       |            |
| NJ    | 00285.00    | Aged/Disabled                | 1,139,340            | 20,934,869                    | 680,106             | 11,313                      |                       | 66,539     |
| NJ    | 40104.00    | Disabled/Physically Disabled | 50,925               |                               |                     |                             |                       |            |
| NJ    | 40123.00    | Disabled/Physically Disabled | 42,460               |                               |                     |                             |                       |            |
| NJ    | 40133.90    | Disabled/Physically Disabled | 121,621              |                               |                     |                             | 17,255,278            |            |
| NJ    | 40174.00    | TBI/Head Injury              | 315,775              | 5,803,099                     | 236,119             | 2,163,844                   | 4,161,020             | 319,745    |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 7A : Medicaid 1915(c) HCBS Waivers Total Expenditures per Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Foster Care (\$) | Respite/ HH/PC (\$) | Habilitation/ Day Care (\$) | Nursing/ Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|------------------|---------------------|-----------------------------|-----------------------|------------|
| NM    | 00161.00    | AIDS/ARC                     | 56,961               |                  | 484,423             |                             | 35,139                |            |
| NM    | 00169.00    | Aged/Disabled                | 29,370               | 1,994,543        | 17,393,871          | 443,066                     | 631,410               | 628,025    |
| NM    | 00173.90    | MR/DD                        | 6,781,008            | 84,171,604       | 9,396,226           | 16,270,007                  | 6,554,102             | 7,400,529  |
| NM    | 00223.00    | Children (Special Care)      | 603,487              |                  | 946,019             |                             | 2,015,703             | 4,575      |
| NV    | 00125.90    | MR/DD                        |                      | 15,045,593       | 130,890             | 5,529,803                   |                       | 147,714    |
| NV    | 00152.90    | Aged                         | 1,611,368            |                  | 4,532,249           | 183,601                     |                       | 308,966    |
| NV    | 00267.90    | Aged                         | 147,229              |                  | 327,087             |                             |                       |            |
| NV    | 4150.9      | Disabled/Physically Disabled | 107,870              | 123,907          | 388,188             |                             |                       | 34,295     |
| NY    | 00034.90.R2 | Aged/Disabled                |                      |                  | 300,681             | 4,768,817                   | 475,305               | 20,678,086 |
| NY    | 00238.90.01 | MR/DD                        |                      |                  | 6,442,664           | 1,624,983,188               |                       | 47,722,374 |
| NY    | 00269.01    | TBI/Head Injury              | 2,456,914            | 6,001,127        | 43,758              | 3,021,690                   |                       | 21,065,057 |
| NY    | 40125.90.R2 | Children (Special Care)      | 1,641,345            |                  |                     |                             |                       | 443,740    |
| NY    | 40163.00    | MR/DD                        | 617,210              |                  | 170,473             |                             |                       | 286,476    |
| NY    | 40176.00    | MR/DD                        | 590,814              |                  | 159,530             |                             |                       | 191,879    |
| NY    | 40200.00    | MR/DD                        | 403,733              |                  | 33,988              |                             |                       | 39,203     |
| OH    | 00198.01    | Aged/Disabled                |                      | 65,680           | 114,530,552         | 9,567,410                   |                       | 27,626,923 |
| OH    | 00231.01    | MR/DD                        |                      |                  | 104,002,611         |                             |                       | 1,460,582  |
| OH    | 00291.00    | MR/DD                        |                      |                  | 88,908,582          |                             |                       | 2,377      |
| OH    | 00337.00    | Disabled/Physically Disabled |                      |                  | 114,055,652         | 1,257,393                   | 15,194,708            | 10,692,922 |
| OK    | 00179.00    | MR/DD                        |                      | 32,634,265       | 2,581,404           | 114,654,774                 | 4,621,925             | 30,634,942 |
| OK    | 00256.00    | Aged/Disabled                | 4,450,063            |                  | 1,579,815           | 379,999                     | 1,072,799             | 47,015,305 |
| OK    | 00343.00    | MR/DD                        |                      |                  | 16,849              | 2,800,903                   | 8,610                 | 1,513,088  |
| OK    | 00351.00    | MR/DD                        |                      |                  | 32,166              | 885,438                     | 287                   | 195,444    |
| OR    | 00117.90.R1 | MR/DD                        |                      |                  | 884,482             | 245,572,130                 | 82,595                | 10,668,415 |
| OR    | 00185.90.R1 | Aged/Disabled                |                      | 115,630,973      | 102,381,850         | 1,258,530                   |                       | 2,101,834  |
| PA    | 00235.00    | MR/DD                        | 1,427,016            | 6,666,485        | 86,707              | 58                          | 194,165               | 172,764    |
| PA    | 00277.03    | Disabled/Physically Disabled |                      |                  | 33,030,186          |                             |                       |            |
| PA    | 00313.00    | Disabled/Physically Disabled |                      |                  |                     |                             |                       |            |
| PA    | 40144.90.R1 | Children (Special Care)      | 29,423               |                  | 872,488             |                             | 86,215                | 190,821    |
| SC    | 00104.00    | Aged/Disabled                | 8,639,180            |                  | 58,301              |                             | 4,598,538             | 21,912     |
| SC    | 00237.00    | MR/DD                        |                      |                  | 47,798,090          | 12,540,884                  |                       | 8,759,095  |
| SC    | 00284.01    | TBI/Head Injury              |                      |                  | 5,241,566           | 93,103,624                  | 4,165,495             | 15,293,534 |
| SC    | 40181.00    | Disabled/Physically Disabled |                      |                  | 6,344,643           | 135,857                     | 313,984               | 1,249,370  |
| SD    | 00044.90.R2 | MR/DD                        | 4,254,167            | 28,498,065       | 139,780             |                             | 500,500               | 52,498     |
| SD    | 00189.90    | Aged                         |                      | 1,808,797        | 294,696             | 7,387                       | 3,679,918             | 4,867,219  |
| SD    | 00264.00    | Disabled/Physically Disabled | 51,712               |                  | 1,080,176           |                             | 150,156               | 89,162     |
| SD    | 00338.00    | Aged                         | 143,691              |                  | 121,794             |                             | 10,956                | 30,323     |
| TN    | 00128.90    | MR/DD                        | 10,973,453           | 98,270,072       | 12,758,791          | 40,089,174                  | 12,774,056            | 7,850,231  |
| TN    | 00357.00    | MR/DD                        | 297,988              | 8,203,175        | 136,024             | 155,844                     | 1,019,632             | 272,029    |
| TX    | 00110.90    | MR/DD                        | 53,451,158           | 89,615,306       | 530,981             | 12,616,785                  | 3,427,141             | 1,603,573  |
| TX    | 00181.90    | Children (Special Care)      |                      |                  | 17,299,105          |                             | 82,911                | 169,350    |
| TX    | 00221.00    | MR/DD                        | 2,827,876            |                  | 1,448,372           | 30,349,247                  | 3,525,464             | 1,794,705  |
| TX    | 00240.00    | MR/DD                        | 73,914               | 3,656,668        | 3,917               |                             | 79,803                | 456,739    |
| TX    | 00266.00    | Aged/Disabled                |                      | 25,409,955       | 262,773,065         |                             | 18,568,402            | 44,032,049 |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 7A : Medicaid 1915(c) HCBS Waivers Total Expenditures per Service Category by Waiver, 2001**

| State     | Waiver No.  | Participant Category         | Case Management (\$) | Foster Care (\$)     | Respite/ HH/PC (\$)  | Habilitation/ Day Care (\$) | Nursing/ Therapy (\$) | Other (\$)            |
|-----------|-------------|------------------------------|----------------------|----------------------|----------------------|-----------------------------|-----------------------|-----------------------|
| TX        | 00281.00    | Disabled/Physically Disabled | 193,519              | 2,996,627            | 33,675               | 482,086                     | 99,800                | 133,868               |
| TX        | 00330.00    | MR/DD                        |                      | 39,866,733           | 280,060              | 5,046,998                   | 2,262,822             | 635,615               |
| UT        | 00158.90.R1 | MR/DD                        | 7,175,353            | 65,272,932           | 2,359,335            |                             |                       | 8,254,441             |
| UT        | 00247.90.02 | Aged                         | 613,226              |                      | 951,106              | 200,143                     |                       | 467,779               |
| UT        | 00292.03    | TBI/Head Injury              | 132,075              | 853,211              | 3,844                | 115,363                     |                       | 313,022               |
| UT        | 00331.01    | Disabled/Physically Disabled |                      |                      | 1,015,662            |                             |                       | 9,835                 |
| UT        | 40183.90    | Children (Special Care)      |                      |                      | 1,098,774            |                             |                       | 10,040                |
| VA        | 00048.90.R1 | Aged/Disabled                |                      |                      | 85,633,109           | 2,523,390                   |                       |                       |
| VA        | 00227.00    | MR/DD                        |                      | 119,070,946          | 3,683,301            | 43,342,608                  | 1,526,162             | 3,457,268             |
| VA        | 00321.00    | Aged                         |                      |                      | 359,853              |                             |                       |                       |
| VA        | 00358.00    | MR/DD                        |                      | 14,364               | 5,940                |                             | 350                   | 110                   |
| VA        | 40149.00    | Disabled/Physically Disabled |                      |                      | 409,988              |                             | 16,996,050            |                       |
| VA        | 40160.90    | AIDS/ARC                     | 193,285              |                      | 578,911              |                             | 124,717               |                       |
| VT        | 00163.90    | Aged/Disabled                | 691,721              |                      | 11,561,730           | 756,404                     |                       | 120,059               |
| VT        | 04790.R3    | MR/DD                        | 8,621,144            |                      |                      | 56,357,372                  |                       | 3,825,504             |
| VT        | 04791.00    | Mental Health                | 3,244,606            |                      |                      | 1,386,238                   |                       |                       |
| VT        | 40182.90    | TBI/Head Injury              | 325,019              |                      | 7,298                | 1,838,672                   |                       | 48,682                |
| VT        | 40186.00    | Aged/Disabled                | 47,656               | 1,203,804            |                      |                             |                       |                       |
| WA        | 00049.91.R2 | Aged/Disabled                |                      | 94,338,369           | 174,652,503          | 330,072                     | 56,336                | 5,379,609             |
| WA        | 00050.90.R1 | MR/DD                        |                      |                      | 10,170,078           | 188,931,654                 | 1,328,009             | 21,290,891            |
| WI        | 00154.90    | Aged/Disabled                | 14,527,136           | 29,713,592           | 55,763,233           | 6,761,060                   | 114,524               | 10,492,446            |
| WI        | 00229.90.02 | MR/DD                        | 15,913,723           | 11,396,905           | 66,038,498           | 196,725,518                 |                       | 7,749,670             |
| WI        | 00275.00    | TBI/Head Injury              | 549,182              | 6,802,411            | 4,635,113            | 897,539                     |                       | 835,944               |
| WI        | 00297.00    | MR/DD                        | 304,793              | 333,793              | 361,909              |                             |                       | 345,598               |
| WV        | 00133.90    | MR/DD                        | 4,881,352            |                      | 8,624,679            | 77,438,971                  |                       | 6,960,418             |
| WV        | 00134.90    | Aged/Disabled                | 9,445,897            |                      | 32,607,619           |                             | 113,556               | 161,825               |
| WY        | 00226.00    | MR/DD                        | 1,749,641            |                      | 1,032,995            | 32,088,417                  | 3,761,317             | 154,777               |
| WY        | 00236.90.03 | Aged/Disabled                | 2,343,383            |                      | 2,089,531            | 116,647                     | 69,058                | 1,161,219             |
| WY        | 00253.00    | MR/DD                        | 1,094,863            |                      | 3,683,123            | 2,077,133                   | 32,836                | 749,678               |
| <b>US</b> |             |                              | <b>453,537,948</b>   | <b>2,945,277,781</b> | <b>3,129,830,949</b> | <b>5,416,770,864</b>        | <b>230,224,216</b>    | <b>959,913,093</b>    |
|           |             |                              |                      |                      |                      | <b>Grand Total</b>          |                       | <b>13,135,554,851</b> |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 7B : Medicaid 1915(c) HCBS Waivers Expenditures per Service Category by State, 2001**

| <b>State</b> | <b>Case Management</b> | <b>Residential/<br/>Foster Care</b> | <b>Respite/<br/>HH/PC</b> | <b>Habilitation/<br/>Day Care</b> | <b>Nursing/<br/>Therapy</b> | <b>Other</b>            |
|--------------|------------------------|-------------------------------------|---------------------------|-----------------------------------|-----------------------------|-------------------------|
| AK           | \$5,353,611            | \$8,211,459                         | \$7,322,237               | \$42,040,044                      | \$212,919                   | \$10,150,301            |
| AL           | \$9,649,076            | \$71,913,452                        | \$98,878,407              | \$34,245,509                      | \$303,454                   | \$2,648,583             |
| AR           | \$2,612,534            | \$41,991,359                        | \$31,336,549              | \$1,918,018                       | \$42,952                    | \$8,973,036             |
| CA           | \$28,520,456           | \$362,579,321                       | \$26,864,755              | \$218,819,131                     | \$13,299,838                | \$69,973,980            |
| CO           | \$263,407              | \$61,294,802                        | \$50,740,288              | \$185,157,029                     | \$0                         | \$6,911,477             |
| CT           | \$11,076,907           | \$2,582,289                         | \$33,567,485              | \$313,644,034                     | \$0                         | \$52,514,351            |
| DC           | \$253,720              | \$0                                 | \$1,232,476               | \$134,814                         | \$14,885                    | \$48,255                |
| DE           | \$3,879,152            | \$12,406,382                        | \$7,367,772               | \$15,461,066                      | \$495,661                   | \$7,667,102             |
| FL           | \$52,561,147           | \$47,741,509                        | \$109,521,146             | \$169,960,592                     | \$10,376,529                | \$170,024,415           |
| GA           | \$5,111,187            | \$32,007,862                        | \$86,263,437              | \$65,101,612                      | \$3,791,310                 | \$6,419,315             |
| HI           | \$4,470,256            | \$5,599,646                         | \$20,619,421              | \$18,761,078                      | \$1,860,781                 | \$796,236               |
| IA           | \$238,097              | \$94,008,344                        | \$24,802,505              | \$1,149,807                       | \$1,342,713                 | \$6,266,763             |
| ID           | \$0                    | \$12,082,039                        | \$19,669,738              | \$23,199,515                      | \$265,575                   | \$1,285,464             |
| IL           | \$257,786              | \$810,760                           | \$185,809,447             | \$203,458,407                     | \$26,158,341                | \$3,956,959             |
| IN           | \$7,867,842            | \$49,423,295                        | \$54,868,837              | \$14,811,999                      | \$230,880                   | \$6,503,914             |
| KS           | \$417,164              | \$2,629,960                         | \$141,389,567             | \$148,801,362                     | \$167,173                   | \$2,444,464             |
| KY           | \$6,279,592            | \$48,604,721                        | \$3,155,200               | \$19,749,842                      | \$1,046,372                 | \$1,636,582             |
| LA           | \$451,108              | \$0                                 | \$79,681,238              | \$42,483,678                      | \$0                         | \$7,014,577             |
| MA           | \$0                    | \$396,820,094                       | \$20,286,424              | \$25,079,576                      | \$0                         | \$64,748,577            |
| MD           | \$7,937,880            | \$0                                 | \$5,439,583               | \$176,498,898                     | \$771,624                   | \$7,556,901             |
| ME           | \$1,404,228            | \$63,821,102                        | \$68,535,569              | \$13,741,671                      | \$109,181                   | \$3,513,091             |
| MI           | \$32,146,301           | \$444,333,915                       | \$36,524,535              | \$3,310,539                       | \$14,654,776                | \$62,246,331            |
| MN           | \$32,437,558           | \$65,707,605                        | \$35,804,605              | \$466,510,380                     | \$3,683,199                 | \$18,574,947            |
| MO           | \$45,300               | \$102,213,776                       | \$75,249,738              | \$99,752,783                      | \$2,260,481                 | \$14,829,096            |
| MS           | \$7,074,893            | \$0                                 | \$28,293,505              | \$2,036,439                       | \$815,753                   | \$3,298,533             |
| MT           | \$3,481,451            | \$10,786,862                        | \$5,302,970               | \$28,783,486                      | \$1,213,397                 | \$6,558,334             |
| NC           | \$41,578,078           | \$80,481,204                        | \$208,759,124             | \$45,619,939                      | \$15,079,528                | \$5,610,186             |
| ND           | \$1,658,784            | \$1,320,275                         | \$12,921,732              | \$33,579,140                      | \$0                         | \$398,601               |
| NH           | \$0                    | \$9,436,272                         | \$4,502,610               | \$91,630,156                      | \$0                         | \$15,835,989            |
| NJ           | \$12,795,909           | \$26,809,554                        | \$217,735,490             | \$61,189,459                      | \$21,671,438                | \$862,487               |
| NM           | \$7,470,826            | \$86,166,147                        | \$28,220,539              | \$16,713,073                      | \$9,236,354                 | \$8,033,129             |
| NV           | \$1,866,467            | \$15,169,500                        | \$5,378,414               | \$5,713,404                       | \$0                         | \$490,975               |
| NY           | \$5,710,016            | \$6,001,127                         | \$7,151,094               | \$1,632,773,695                   | \$475,305                   | \$90,426,815            |
| OH           | \$0                    | \$65,680                            | \$421,497,397             | \$10,824,803                      | \$15,194,708                | \$39,782,804            |
| OK           | \$4,450,063            | \$32,634,265                        | \$4,210,234               | \$118,721,114                     | \$5,703,621                 | \$79,358,779            |
| OR           | \$0                    | \$115,630,973                       | \$103,266,332             | \$246,830,660                     | \$82,595                    | \$12,770,249            |
| PA           | \$1,456,439            | \$6,666,485                         | \$34,047,682              | \$58                              | \$4,878,918                 | \$385,497               |
| SC           | \$8,639,180            | \$0                                 | \$59,524,079              | \$105,780,365                     | \$4,979,979                 | \$25,354,497            |
| SD           | \$4,449,570            | \$30,306,862                        | \$1,496,666               | \$12,156,354                      | \$3,841,030                 | \$5,304,527             |
| TN           | \$11,271,441           | \$106,473,247                       | \$12,894,815              | \$40,245,018                      | \$13,793,688                | \$8,122,260             |
| TX           | \$56,546,467           | \$161,545,309                       | \$282,369,175             | \$48,495,116                      | \$28,046,343                | \$48,825,899            |
| UT           | \$7,920,654            | \$66,126,143                        | \$5,428,721               | \$315,506                         | \$0                         | \$9,055,117             |
| VA           | \$193,285              | \$119,085,310                       | \$90,671,102              | \$45,865,998                      | \$18,647,279                | \$3,457,378             |
| VT           | \$12,930,146           | \$1,203,804                         | \$11,569,028              | \$60,338,686                      | \$0                         | \$3,994,245             |
| WA           | \$0                    | \$94,338,369                        | \$184,822,581             | \$189,261,726                     | \$1,384,345                 | \$26,670,500            |
| WI           | \$31,294,834           | \$48,246,701                        | \$126,798,753             | \$204,384,117                     | \$114,524                   | \$19,423,658            |
| WV           | \$14,327,249           | \$0                                 | \$41,232,298              | \$77,438,971                      | \$113,556                   | \$7,122,243             |
| WY           | \$5,187,887            | \$0                                 | \$6,805,649               | \$34,282,197                      | \$3,863,211                 | \$2,065,674             |
| <b>US</b>    | <b>\$453,537,948</b>   | <b>\$2,945,277,781</b>              | <b>\$3,129,830,949</b>    | <b>\$5,416,770,864</b>            | <b>\$230,224,216</b>        | <b>\$959,913,093</b>    |
|              |                        |                                     |                           | <b>Grand Total</b>                |                             | <b>\$13,135,554,851</b> |

Service level data were not estimated for 24 missing waiver reports.

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|------------|
| AK    | 00260.00    | MR/DD                        | 2,244                |                                  | 4,943                  | 34,834                         | 1,765                    | 7,621      |
| AK    | 00261.00    | Aged                         | 1,780                | 16,694                           | 8,860                  | 5,588                          | 1,501                    | 1,366      |
| AK    | 00262.00    | Disabled/Physically Disabled | 1,893                | 15,162                           | 8,975                  | 15,728                         | 1,728                    | 1,940      |
| AK    | 00263.00    | MR/DD                        | 2,166                |                                  | 5,692                  | 29,215                         |                          | 5,290      |
| AL    | 00001.90.R2 | MR/DD                        |                      | 31,990                           | 7,663                  | 9,199                          | 982                      | 2,752      |
| AL    | 00068.91.R1 | Aged/Disabled                | 1,330                |                                  | 8,860                  | 2,175                          |                          | 1,081      |
| AL    | 00241.90.02 | Disabled/Physically Disabled | 1,364                |                                  | 3,533                  |                                |                          | 1,196      |
| AR    | 00188.00    | MR/DD                        | 1,144                | 18,515                           |                        |                                | 622                      | 4,449      |
| AR    | 00195.00    | Aged                         |                      |                                  | 2,262                  | 4,369                          |                          | 649        |
| AR    | 00312.00    | Disabled/Physically Disabled |                      |                                  | 11,791                 |                                |                          | 2,927      |
| CA    | 00141.90    | Aged/Disabled                | 2,051                | 304                              | 725                    | 1,439                          | 192                      | 310        |
| CA    | 00183.00    | AIDS/ARC                     | 1,555                |                                  | 3,239                  |                                | 1,097                    | 338        |
| CA    | 348         | MR/DD                        |                      | 20,033                           | 3,190                  | 9,649                          | 4,774                    | 2,149      |
| CO    | 00006.90.R2 | Aged/Disabled                |                      | 5,868                            | 4,360                  | 4,254                          |                          | 650        |
| CO    | 00007.91.R2 | MR/DD                        |                      |                                  |                        | 47,333                         |                          |            |
| CO    | 00211.90.02 | AIDS/ARC                     |                      |                                  | 1,546                  |                                |                          | 83         |
| CO    | 00268.90    | Mental Health                |                      | 7,489                            | 2,647                  | 2,609                          |                          | 655        |
| CO    | 00288.90    | TBI/Head Injury              |                      | 16,501                           | 5,059                  | 6,680                          |                          | 1,484      |
| CO    | 00293.00    | MR/DD                        |                      | 11,559                           |                        |                                |                          |            |
| CO    | 00305.01    | MR/DD                        |                      |                                  |                        | 27,397                         |                          |            |
| CO    | 40157.90    | Children (Special Care)      | 408                  |                                  |                        |                                |                          |            |
| CO    | 40180.00    | MR/DD                        |                      | 1,781                            | 7,905                  |                                |                          | 2,064      |
| CT    | 00140.90    | Aged/Disabled                | 1,146                | 1,281                            | 3,617                  | 5,329                          |                          | 1,041      |
| CT    | 00153.90    | MR/DD                        |                      |                                  | 4,940                  | 49,851                         |                          | 11,979     |
| CT    | 00301.00    | Disabled/Physically Disabled |                      |                                  | 15,617                 |                                |                          |            |
| CT    | 302         | TBI/Head Injury              | 1,473                | 19,301                           | 7,798                  |                                |                          | 8,714      |
| CT    | 40110.91.R1 | MR/DD                        | 54                   |                                  |                        |                                |                          |            |
| DC    | 00307.01    | MR/DD                        | 1,089                |                                  | 4,546                  | 10,370                         | 2,977                    | 714        |
| DC    | 00334.02    | Aged/Disabled                | 633                  |                                  | 10,406                 |                                |                          | 183        |
| DE    | 00009.00    | MR/DD                        | 3,673                | 38,649                           | 265                    | 45,603                         |                          | 7,819      |
| DE    | 00136.00    | Aged/Disabled                |                      |                                  | 8,494                  | 6,258                          |                          | 356        |
| DE    | 332         | Aged                         |                      |                                  | 1,512                  |                                | 4,506                    |            |
| DE    | 40159.90    | AIDS/ARC                     | 3,644                |                                  | 3,965                  |                                |                          | 558        |
| FL    | 00116.90    | Aged/Disabled                | 1,659                |                                  | 3,141                  | 4,972                          | 216                      | 266        |
| FL    | 00194.90    | AIDS/ARC                     | 1,069                |                                  | 2,780                  | 4,017                          | 1,249                    | 1,105      |
| FL    | 00280.00    | Aged/Disabled                | 775                  | 5,924                            |                        |                                |                          | 640        |
| FL    | 00294.01    | MR/DD                        |                      | 2,932                            | 2,017                  |                                |                          | 1,676      |
| FL    | 00315.04    | MR/DD                        |                      | 22,015                           |                        |                                |                          | 19,427     |
| FL    | 01090.R2    | Aged/Disabled                | 545                  |                                  | 1,629                  | 6,545                          | 3,180                    | 746        |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|------------|
| FL    | 01091.R2    | MR/DD                        | 1,395                | 6,134                            | 5,451                  | 23,917                         | 2,693                    | 2,506      |
| FL    | 01879       | TBI/Head Injury              |                      | 582                              | 7,757                  |                                |                          | 5,394      |
| FL    | 40166.00    | Children (Special Care)      |                      |                                  | 11,834                 |                                |                          |            |
| GA    | 00112.91    | Aged/Disabled                |                      | 6,873                            | 3,639                  | 5,617                          | 880                      | 306        |
| GA    | 00175.00    | MR/DD                        | 1,305                | 18,642                           | 23,535                 | 9,313                          |                          | 3,353      |
| GA    | 323         | MR/DD                        | 1,193                |                                  | 737                    | 35,757                         |                          | 2,535      |
| GA    | 40116.90    | Children (Special Care)      |                      |                                  |                        | 26,455                         | 22,365                   | 3,865      |
| HI    | 00013.90.R2 | MR/DD                        |                      |                                  | 14,621                 | 11,775                         | 17,473                   | 10,659     |
| HI    | 00014.90.R2 | Aged/Disabled                | 3,850                | 10,565                           | 2,233                  | 7,877                          | 572                      |            |
| HI    | 00057.90.R1 | Aged/Disabled                | 2,056                |                                  | 148,205                | 6,867                          | 4,963                    | 461        |
| HI    | 00182.00    | AIDS/ARC                     | 1,555                |                                  | 5,342                  |                                | 1,145                    | 421        |
| HI    | 40195       | Children (Special Care)      | 1,467                |                                  | 1,887                  |                                |                          |            |
| IA    | 00213.01    | AIDS/ARC                     |                      |                                  | 4,224                  |                                | 1,120                    | 669        |
| IA    | 00242.00    | MR/DD                        |                      | 17,881                           | 2,026                  |                                | 7,986                    | 1,482      |
| IA    | 00299.00    | TBI/Head Injury              | 1,532                | 8,550                            | 6,203                  | 2,303                          | 1,980                    | 1,008      |
| IA    | 00345.00    | Disabled/Physically Disabled |                      |                                  | 2,614                  |                                |                          | 528        |
| IA    | 40111.00    | MR/DD                        | 563                  |                                  | 3,699                  | 2,683                          | 2,219                    | 601        |
| IA    | 40155.90    | Aged                         |                      | 4,814                            | 1,801                  | 2,221                          | 664                      | 449        |
| ID    | 07690.R1.A  | Aged/Disabled                |                      | 6,686                            | 4,021                  | 1,426                          | 164                      | 506        |
| ID    | 07691.R2.B  | MR/DD                        |                      |                                  | 3,377                  | 15,919                         | 439                      | 3,955      |
| ID    | 40187.00    | MR/DD                        |                      |                                  |                        | 32,599                         | 327                      | 6,552      |
| ID    | 40189.00    | TBI/Head Injury              |                      | 6,241                            | 4,392                  | 4,298                          | 184                      | 32,797     |
| IL    | 00142.90    | Disabled/Physically Disabled |                      |                                  | 6,205                  | 2,925                          |                          | 780        |
| IL    | 00143.90    | Aged                         |                      |                                  | 3,415                  | 3,499                          |                          |            |
| IL    | 00202.90.R1 | AIDS/ARC                     |                      |                                  | 7,185                  |                                |                          | 357        |
| IL    | 00278.90    | Children (Special Care)      |                      |                                  | 4,612                  |                                | 76,602                   | 2,432      |
| IL    | 00326.00    | Aged/Disabled                |                      | 5,515                            |                        |                                |                          |            |
| IL    | 00329.00    | TBI/Head Injury              |                      |                                  | 5,797                  | 3,013                          | 733                      | 806        |
| IL    | 00350.90    | MR/DD                        | 941                  |                                  | 2,241                  | 15,554                         | 924                      | 584        |
| IN    | 00210.90    | Aged/Disabled                | 560                  |                                  | 4,454                  | 4,362                          |                          | 674        |
| IN    | 00249.90    | MR/DD                        | 2,469                | 58,099                           | 16,317                 | 5,468                          | 1,481                    | 3,293      |
| IN    | 40171.9     | Children (Special Care)      | 622                  |                                  | 8,579                  |                                |                          | 5,357      |
| IN    | 40197.03    | TBI/Head Injury              | 1,275                | 9,064                            | 10,645                 |                                | 2,506                    | 2,722      |
| IN    | 4151        | MR/DD                        | 2,212                | 52,384                           | 11,197                 | 8,021                          | 3,110                    | 5,027      |
| KS    | 00224.00    | MR/DD                        |                      |                                  | 8,964                  | 19,187                         |                          | 444        |
| KS    | 00303.00    | Aged                         |                      |                                  | 6,002                  | 3,094                          | 37                       | 198        |
| KS    | 00304.01    | Disabled/Physically Disabled |                      | 426                              | 11,973                 |                                |                          |            |
| KS    | 00320.00    | Mental Health                | 277                  | 213                              | 1,004                  |                                |                          | 279        |
| KS    | 40164.00    | TBI/Head Injury              |                      | 6,748                            | 26,260                 |                                | 2,199                    | 1,908      |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State | Waiver No.   | Participant Category         | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$) |
|-------|--------------|------------------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|------------|
| KS    | 40165.00     | Children (Special Care)      | 3,164                |                                  | 408                    |                                |                          |            |
| KY    | 00314.00     | MR/DD                        | 3,903                | 31,338                           | 5,726                  | 13,036                         | 2,052                    | 2,221      |
| LA    | 00121.90.R2  | Aged/Disabled                |                      |                                  |                        | 6,091                          |                          |            |
| LA    | 00200.00     | MR/DD                        |                      |                                  | 12,187                 | 23,980                         |                          | 4,003      |
| LA    | 00251.00     | Disabled/Physically Disabled |                      |                                  | 14,972                 |                                |                          |            |
| LA    | 00257.00     | Aged/Disabled                | 888                  |                                  | 2,357                  |                                |                          | 1,866      |
| MA    | 00059.92.R1  | Aged                         |                      |                                  | 1,913                  | 1,529                          |                          | 510        |
| MA    | 00064.92.R2  | MR/DD                        |                      | 54,568                           | 5,157                  | 13,367                         |                          | 7,232      |
| MD    | 00023.91.R2  | MR/DD                        | 1,293                |                                  | 11,416                 | 25,236                         |                          | 7,602      |
| MD    | 40118.90.R2  | Children (Special Care)      | 5,020                |                                  | 22,289                 |                                | 85,736                   | 57         |
| ME    | 00088.90.R1  | Aged                         | 718                  |                                  | 5,916                  | 4,128                          |                          | 185        |
| ME    | 00127.90     | Disabled/Physically Disabled | 492                  |                                  | 23,704                 |                                |                          | 85         |
| ME    | 00159.90.R1  | MR/DD                        |                      | 57,548                           | 50,196                 | 12,708                         | 1,200                    | 5,895      |
| ME    | 00276.00     | Disabled/Physically Disabled | 883                  |                                  | 6,370                  | 3,635                          |                          | 194        |
| MI    | 00167.90.R1  | MR/DD                        | 1,706                | 49,892                           | 4,137                  | 4,597                          | 812                      | 3,120      |
| MI    | 00233.90     | Aged/Disabled                |                      |                                  | 2,378                  | 3,451                          | 1,431                    | 499        |
| MI    | 40119.90.R1  | MR/DD                        |                      |                                  | 5,196                  | 2,641                          | 24,090                   | 5,152      |
| MN    | 00025.91.R2  | Aged                         | 911                  | 8,714                            | 1,390                  | 3,489                          |                          | 736        |
| MN    | 00061.90.R2  | MR/DD                        | 1,190                | 4,731                            | 4,970                  | 26,875                         |                          | 2,275      |
| MN    | 00166.90.R1  | Disabled/Physically Disabled | 1,221                | 7,744                            | 2,526                  | 4,050                          | 2,385                    | 754        |
| MN    | 40128.90.R1  | Disabled/Physically Disabled | 4,081                | 46,342                           | 10,040                 |                                | 53,912                   | 1,592      |
| MN    | 40169.90.02  | TBI/Head Injury              | 2,240                | 25,029                           | 5,017                  | 5,965                          |                          | 3,834      |
| MO    | 00026.90.R2  | Aged                         |                      |                                  | 2,369                  |                                |                          | 858        |
| MO    | 00178.00     | MR/DD                        |                      | 40,706                           | 4,155                  | 11,400                         | 1,083                    | 2,452      |
| MO    | 00197.00     | AIDS/ARC                     |                      |                                  | 11,728                 |                                | 24,423                   | 172        |
| MO    | 00346.00     | Disabled/Physically Disabled | 101                  |                                  | 12,759                 |                                |                          | 972        |
| MO    | 40185.00     | MR/DD                        |                      |                                  | 7,743                  | 1,465                          |                          | 2,412      |
| MO    | 40190.       | Disabled/Physically Disabled |                      |                                  |                        |                                | 71,268                   | 1,095      |
| MS    | 00255.01     | Disabled/Physically Disabled | 600                  |                                  | 8,563                  |                                |                          |            |
| MS    | 00272.00     | Aged/Disabled                | 1,019                |                                  | 2,007                  | 3,121                          | 2,852                    | 409        |
| MS    | 00282.00     | MR/DD                        |                      |                                  | 9,044                  | 8,640                          | 374                      | 4,989      |
| MT    | 00148.9      | Aged/Disabled                | 2,037                | 12,000                           | 3,372                  | 7,010                          | 2,646                    | 739        |
| MT    | 00208.90.02  | MR/DD                        |                      | 17,266                           | 2,644                  | 17,576                         | 3,452                    | 4,173      |
| NC    | 00132.90.R1  | Aged/Disabled                | 1,182                |                                  | 17,702                 | 3,732                          |                          | 291        |
| NC    | 00151.90.R1  | MR/DD                        | 5,445                | 25,309                           | 7,631                  | 16,650                         | 7,470                    | 2,255      |
| NC    | 00289.00     | AIDS/ARC                     | 1,361                |                                  | 7,159                  |                                |                          | 295        |
| NC    | 40141.90.R1  | Children (Special Care)      | 1,374                |                                  | 8,322                  |                                | 77,533                   | 671        |
| ND    | 00037.90.R2. | MR/DD                        | 784                  | 9,421                            | 12,501                 | 25,341                         |                          | 2,175      |
| ND    | 00054.90.01  | Aged/Disabled                | 309                  | 9,221                            | 8,424                  | 1,470                          |                          | 369        |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|------------|
| ND    | 00273.00    | TBI/Head Injury              | 360                  | 19,368                           |                        |                                |                          | 127        |
| NE    | 00172.00    | MR/DD                        |                      |                                  | 1,462                  | 20,273                         |                          | 3,765      |
| NE    | 00187.00    | Aged/Disabled                |                      | 9,099                            | 4,634                  | 5,669                          |                          | 3,289      |
| NE    | 00246.00    | Children (Special Care)      |                      |                                  | 398                    |                                |                          |            |
| NE    | 40154.00    | MR/DD                        |                      |                                  | 1,929                  | 26,803                         |                          | 2,563      |
| NE    | 40199       | TBI/Head Injury              |                      | 13,832                           |                        |                                |                          |            |
| NJ    | 00031.00    | MR/DD                        | 946                  |                                  | 24,495                 | 12,020                         |                          |            |
| NJ    | 00032.00    | Aged/Disabled                | 767                  |                                  | 9,022                  | 2,339                          |                          |            |
| NJ    | 00160.00    | AIDS/ARC                     | 1,083                |                                  | 12,533                 | 6,403                          | 8,230                    | 17,637     |
| NJ    | 00244.00    | Children (Special Care)      | 856                  | 23,862                           | 3,601                  |                                |                          |            |
| NJ    | 00285.00    | Aged/Disabled                | 446                  | 11,183                           | 1,780                  | 1,131                          |                          | 282        |
| NJ    | 40104.00    | Disabled/Physically Disabled | 1,157                |                                  |                        |                                |                          |            |
| NJ    | 40123.00    | Disabled/Physically Disabled | 987                  |                                  |                        |                                |                          |            |
| NJ    | 40133.90    | Disabled/Physically Disabled | 981                  |                                  |                        |                                | 81,011                   |            |
| NJ    | 40174.00    | TBI/Head Injury              | 1,248                | 34,958                           | 10,733                 | 8,044                          | 18,330                   | 2,104      |
| NM    | 00161.00    | AIDS/ARC                     | 1,212                |                                  | 15,627                 |                                | 2,067                    |            |
| NM    | 00169.00    | Aged/Disabled                | 21                   | 49,864                           | 8,662                  | 55,383                         | 1,030                    | 895        |
| NM    | 00173.90    | MR/DD                        | 2,796                | 40,920                           | 7,091                  | 9,498                          | 2,310                    | 3,539      |
| NM    | 00223.00    | Children (Special Care)      | 3,748                |                                  | 11,537                 |                                | 7,384                    | 654        |
| NV    | 00125.90    | MR/DD                        |                      | 18,878                           | 700                    | 5,677                          |                          | 608        |
| NV    | 00152.90    | Aged                         | 1,315                |                                  | 1,954                  | 2,295                          |                          | 430        |
| NV    | 00267.90    | Aged                         | 909                  |                                  | 2,019                  |                                |                          |            |
| NV    | 4150.9      | Disabled/Physically Disabled | 430                  | 17,701                           | 3,561                  |                                |                          | 423        |
| NY    | 00034.90.R2 | Aged/Disabled                |                      |                                  | 1,361                  | 2,275                          | 731                      | 572        |
| NY    | 00238.90.01 | MR/DD                        |                      |                                  | 2,759                  | 34,286                         |                          | 5,976      |
| NY    | 00269.01    | TBI/Head Injury              | 3,207                | 9,204                            | 3,978                  | 8,512                          |                          | 14,814     |
| NY    | 40125.90.R2 | Children (Special Care)      | 3,713                |                                  |                        |                                |                          | 8,372      |
| NY    | 40163.00    | MR/DD                        | 2,939                |                                  | 6,314                  |                                |                          | 7,162      |
| NY    | 40176.00    | MR/DD                        | 2,840                |                                  | 6,381                  |                                |                          | 8,722      |
| NY    | 40200.00    | MR/DD                        | 3,845                |                                  | 11,329                 |                                |                          | 6,534      |
| OH    | 00198.01    | Aged/Disabled                |                      | 133                              | 4,540                  | 4,569                          |                          | 614        |
| OH    | 00231.01    | MR/DD                        |                      |                                  | 26,410                 |                                |                          | 957        |
| OH    | 00291.00    | MR/DD                        |                      |                                  | 30,637                 |                                |                          | 297        |
| OH    | 00337.00    | Disabled/Physically Disabled |                      |                                  | 17,400                 | 6,688                          | 7,277                    | 1,593      |
| OK    | 00179.00    | MR/DD                        |                      | 17,931                           | 4,267                  | 41,830                         | 20,008                   | 3,140      |
| OK    | 00256.00    | Aged/Disabled                | 600                  |                                  | 1,449                  | 2,836                          | 158                      | 1,608      |
| OK    | 00343.00    | MR/DD                        |                      |                                  | 842                    | 6,142                          | 2,870                    | 1,747      |
| OK    | 00351.00    | MR/DD                        |                      |                                  | 2,298                  | 4,564                          | 287                      | 1,386      |
| OR    | 00117.90.R1 | MR/DD                        |                      |                                  | 1,820                  | 29,484                         | 1,233                    | 3,196      |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State | Waiver No.  | Participant Category         | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$) |
|-------|-------------|------------------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|------------|
| OR    | 00185.90.R1 | Aged/Disabled                |                      | 7,460                            | 5,394                  | 2,975                          |                          | 670        |
| PA    | 00235.00    | MR/DD                        | 3,775                | 17,777                           | 2,016                  | 58                             | 5,711                    | 949        |
| PA    | 00277.03    | Disabled/Physically Disabled |                      |                                  | 19,418                 |                                |                          |            |
| PA    | 00313.00    | Disabled/Physically Disabled |                      |                                  |                        |                                |                          |            |
| PA    | 40144.90.R1 | Children (Special Care)      | 949                  |                                  | 5,830                  |                                | 97,841                   | 7,304      |
| SC    | 00104.00    | Aged/Disabled                | 599                  |                                  | 3,565                  | 4,722                          |                          | 412        |
| SC    | 00237.00    | MR/DD                        |                      |                                  | 5,523                  | 21,860                         | 15,838                   | 2,428      |
| SC    | 00284.01    | TBI/Head Injury              |                      |                                  | 14,894                 | 22,643                         | 5,924                    | 1,659      |
| SC    | 40181.00    | Disabled/Physically Disabled |                      |                                  | 6,077                  |                                | 23,833                   | 739        |
| SD    | 00044.90.R2 | MR/DD                        | 2,188                | 15,797                           |                        | 7,527                          | 1,919                    | 1,163      |
| SD    | 00189.90    | Aged                         |                      | 3,967                            | 1,364                  | 1,055                          | 689                      | 375        |
| SD    | 00264.00    | Disabled/Physically Disabled | 631                  |                                  | 14,213                 |                                | 685                      | 337        |
| SD    | 00338.00    | Aged                         | 453                  |                                  | 530                    |                                |                          | 952        |
| TN    | 00128.90    | MR/DD                        | 2,552                | 40,641                           | 15,135                 | 10,372                         | 5,494                    | 1,540      |
| TN    | 00357.00    | MR/DD                        | 2,365                | 37,287                           | 8,502                  | 6,776                          | 3,167                    | 1,340      |
| TX    | 00110.90    | MR/DD                        | 10,707               | 16,574                           | 814                    | 3,327                          | 655                      | 304        |
| TX    | 00181.90    | Children (Special Care)      |                      |                                  | 10,309                 |                                | 4,364                    | 3,763      |
| TX    | 00221.00    | MR/DD                        | 1,881                |                                  | 2,029                  | 20,382                         | 2,313                    | 1,964      |
| TX    | 00240.00    | MR/DD                        | 632                  | 32,076                           | 356                    |                                | 591                      | 2,802      |
| TX    | 00266.00    | Aged/Disabled                |                      | 9,153                            | 9,309                  |                                | 615                      | 588        |
| TX    | 00281.00    | Disabled/Physically Disabled | 1,843                | 40,495                           | 2,806                  | 11,758                         | 1,134                    | 1,263      |
| TX    | 00330.00    | MR/DD                        |                      | 18,031                           | 1,061                  | 3,495                          | 1,051                    | 364        |
| UT    | 00158.90.R1 | MR/DD                        | 2,124                | 20,834                           | 2,381                  |                                |                          | 2,843      |
| UT    | 00247.90.02 | Aged                         | 773                  |                                  | 1,413                  | 2,859                          |                          | 461        |
| UT    | 00292.03    | TBI/Head Injury              | 1,914                | 14,969                           | 961                    | 9,614                          |                          | 4,288      |
| UT    | 00331.01    | Disabled/Physically Disabled |                      |                                  | 12,539                 |                                |                          | 281        |
| UT    | 40183.90    | Children (Special Care)      |                      |                                  | 9,391                  |                                |                          | 335        |
| VA    | 00048.90.R1 | Aged/Disabled                |                      |                                  | 8,341                  | 5,301                          |                          |            |
| VA    | 00227.00    | MR/DD                        |                      | 36,547                           | 6,613                  | 11,765                         | 4,103                    | 8,888      |
| VA    | 00321.00    | Aged                         |                      |                                  | 2,833                  |                                |                          |            |
| VA    | 00358.00    | MR/DD                        |                      | 2,394                            | 990                    |                                | 117                      | 110        |
| VA    | 40149.00    | Disabled/Physically Disabled |                      |                                  | 2,680                  |                                | 60,700                   |            |
| VA    | 40160.90    | AIDS/ARC                     | 489                  |                                  | 5,620                  |                                | 4,157                    |            |
| VT    | 00163.90    | Aged/Disabled                | 670                  |                                  | 8,390                  | 3,637                          |                          | 250        |
| VT    | 04790.R3    | MR/DD                        | 4,790                |                                  |                        | 31,310                         |                          | 9,218      |
| VT    | 04791.00    | Mental Health                | 12,674               |                                  |                        | 5,352                          |                          |            |
| VT    | 40182.90    | TBI/Head Injury              | 6,633                |                                  | 912                    | 23,573                         |                          | 2,318      |
| VT    | 40186.00    | Aged/Disabled                | 314                  | 6,918                            |                        |                                |                          |            |
| WA    | 00049.91.R2 | Aged/Disabled                |                      | 8,466                            | 8,596                  | 1,746                          | 841                      | 482        |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 8A : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant and Service Category by Waiver, 2001**

| State     | Waiver No.  | Participant Category | Case Management (\$) | Residential/<br>Foster Care (\$) | Respite/<br>HH/PC (\$) | Habilitation/<br>Day Care (\$) | Nursing/<br>Therapy (\$) | Other (\$)   |
|-----------|-------------|----------------------|----------------------|----------------------------------|------------------------|--------------------------------|--------------------------|--------------|
| WA        | 00050.90.R1 | MR/DD                |                      |                                  | 3,221                  | 31,044                         | 3,379                    | 3,780        |
| WI        | 00154.90    | Aged/Disabled        | 1,193                | 12,607                           | 5,832                  | 5,754                          | 337                      | 647          |
| WI        | 00229.90.02 | MR/DD                | 1,764                | 42,846                           | 15,153                 | 23,639                         |                          | 2,357        |
| WI        | 00275.00    | TBI/Head Injury      | 2,260                | 31,493                           | 31,318                 | 11,361                         |                          | 3,384        |
| WI        | 00297.00    | MR/DD                | 1,418                | 4,909                            | 2,827                  |                                |                          | 1,772        |
| WV        | 00133.90    | MR/DD                | 2,137                |                                  | 7,052                  | 17,500                         |                          | 2,652        |
| WV        | 00134.90    | Aged/Disabled        | 2,153                |                                  | 7,953                  |                                | 34                       | 1,383        |
| WY        | 00226.00    | MR/DD                | 2,103                |                                  | 8,331                  | 38,942                         | 6,693                    | 2,276        |
| WY        | 00236.90.03 | Aged/Disabled        | 2,109                |                                  | 2,232                  | 1,823                          | 334                      | 717          |
| WY        | 00253.00    | MR/DD                | 2,138                |                                  | 6,350                  | 7,366                          | 1,368                    | 2,516        |
| <b>US</b> |             |                      | <b>1,606</b>         | <b>19,198</b>                    | <b>6,438</b>           | <b>21,256</b>                  | <b>2,475</b>             | <b>1,469</b> |
|           |             |                      |                      |                                  |                        |                                |                          | <b>6,830</b> |

**Table 8B : Medicaid 1915(c) HCBS Waivers Expenditures per Duplicated Participant by Service Category and State, 2001**

| <b>State</b> | <b>Case Management</b> | <b>Residential/<br/>Foster Care</b> | <b>Respite/<br/>HH/PC</b> | <b>Habilitation/<br/>Day Care</b> | <b>Nursing/<br/>Therapy</b> | <b>Other</b>   |
|--------------|------------------------|-------------------------------------|---------------------------|-----------------------------------|-----------------------------|----------------|
| AK           | \$1,967                | \$16,260                            | \$7,102                   | \$29,174                          | \$1,577                     | \$2,526        |
| AL           | \$1,332                | \$31,990                            | \$8,699                   | \$8,732                           | \$982                       | \$2,010        |
| AR           | \$1,144                | \$18,515                            | \$2,889                   | \$4,369                           | \$622                       | \$757          |
| CA           | \$1,967                | \$15,460                            | \$2,205                   | \$9,581                           | \$1,796                     | \$1,771        |
| CO           | \$408                  | \$8,644                             | \$4,278                   | \$37,474                          |                             | \$712          |
| CT           | \$1,131                | \$16,241                            | \$4,176                   | \$39,868                          |                             | \$3,159        |
| DC           | \$893                  |                                     | \$7,336                   | \$10,370                          | \$2,977                     | \$451          |
| DE           | \$3,660                | \$38,649                            | \$7,627                   | \$33,250                          | \$4,506                     | \$3,488        |
| FL           | \$1,099                | \$7,210                             | \$2,727                   | \$20,829                          | \$2,384                     | \$1,892        |
| GA           | \$1,271                | \$9,032                             | \$5,205                   | \$17,729                          | \$16,413                    | \$475          |
| HI           | \$2,780                | \$10,565                            | \$23,728                  | \$11,194                          | \$6,552                     | \$1,609        |
| IA           | \$1,526                | \$17,526                            | \$2,242                   | \$2,268                           | \$4,157                     | \$548          |
| ID           |                        | \$6,686                             | \$4,018                   | \$16,454                          | \$185                       | \$3,068        |
| IL           | \$941                  | \$5,515                             | \$4,826                   | \$14,571                          | \$54,839                    | \$760          |
| IN           | \$1,539                | \$52,746                            | \$9,211                   | \$5,649                           | \$2,025                     | \$2,159        |
| KS           | \$406                  | \$568                               | \$8,353                   | \$18,840                          | \$663                       | \$266          |
| KY           | \$3,903                | \$31,338                            | \$5,726                   | \$13,036                          | \$2,052                     | \$2,221        |
| LA           | \$888                  |                                     | \$11,039                  | \$20,068                          |                             | \$3,161        |
| MA           |                        | \$54,568                            | \$2,652                   | \$12,622                          |                             | \$4,848        |
| MD           | \$1,429                |                                     | \$11,673                  | \$25,236                          | \$85,736                    | \$7,512        |
| ME           | \$690                  | \$57,548                            | \$17,862                  | \$12,215                          | \$1,200                     | \$2,734        |
| MI           | \$1,706                | \$49,892                            | \$2,479                   | \$3,246                           | \$1,334                     | \$1,451        |
| MN           | \$1,121                | \$8,882                             | \$2,652                   | \$25,142                          | \$26,309                    | \$1,063        |
| MO           | \$101                  | \$40,706                            | \$2,573                   | \$11,387                          | \$3,786                     | \$1,460        |
| MS           | \$999                  |                                     | \$2,648                   | \$7,022                           | \$2,784                     | \$674          |
| MT           | \$2,037                | \$14,027                            | \$3,350                   | \$15,885                          | \$2,673                     | \$1,819        |
| NC           | \$2,388                | \$25,309                            | \$15,064                  | \$15,121                          | \$61,299                    | \$404          |
| ND           | \$697                  | \$11,189                            | \$10,914                  | \$25,305                          |                             | \$1,269        |
| NH           |                        | \$9,126                             | \$3,939                   | \$19,182                          |                             | \$3,290        |
| NJ           | \$825                  | \$13,135                            | \$18,469                  | \$11,569                          | \$46,012                    | \$2,078        |
| NM           | \$1,842                | \$41,090                            | \$8,189                   | \$9,711                           | \$2,470                     | \$2,869        |
| NV           | \$1,139                | \$18,868                            | \$1,936                   | \$5,421                           |                             | \$471          |
| NY           | \$3,299                | \$9,204                             | \$2,727                   | \$32,756                          | \$731                       | \$1,980        |
| OH           |                        | \$133                               | \$10,914                  | \$4,744                           | \$7,277                     | \$747          |
| OK           | \$600                  | \$17,931                            | \$2,435                   | \$33,680                          | \$813                       | \$1,984        |
| OR           |                        | \$7,460                             | \$5,304                   | \$28,203                          | \$1,233                     | \$1,973        |
| PA           | \$3,561                | \$17,777                            | \$19,411                  | \$58                              | \$60,234                    | \$2,084        |
| SC           | \$599                  |                                     | \$4,021                   | \$15,284                          | \$14,777                    | \$893          |
| SD           | \$1,899                | \$13,410                            | \$2,867                   | \$7,499                           | \$1,785                     | \$1,094        |
| TN           | \$2,547                | \$40,361                            | \$15,011                  | \$10,351                          | \$5,211                     | \$1,533        |
| TX           | \$8,418                | \$15,266                            | \$8,947                   | \$7,167                           | \$713                       | \$587          |
| UT           | \$1,868                | \$20,729                            | \$2,909                   | \$3,848                           |                             | \$2,233        |
| VA           | \$489                  | \$36,484                            | \$8,087                   | \$11,025                          | \$27,222                    | \$8,865        |
| VT           | \$3,930                | \$6,918                             | \$8,347                   | \$25,731                          |                             | \$4,361        |
| WA           |                        | \$8,466                             | \$7,874                   | \$30,161                          | \$3,009                     | \$1,588        |
| WI           | \$1,445                | \$16,597                            | \$8,932                   | \$21,343                          | \$337                       | \$974          |
| WV           | \$2,147                |                                     | \$7,746                   | \$17,500                          | \$34                        | \$2,597        |
| WY           | \$2,113                |                                     | \$4,150                   | \$29,301                          | \$4,872                     | \$1,040        |
| <b>US</b>    | <b>\$1,606</b>         | <b>\$19,198</b>                     | <b>\$6,438</b>            | <b>\$21,256</b>                   | <b>\$2,475</b>              | <b>\$1,469</b> |
|              |                        |                                     |                           |                                   |                             | <b>\$6,830</b> |

Service level data were not estimated for 24 missing waiver reports.

Empty cells denote service not provided

Source: State Reports on CMS Form 372. University of California, San Francisco, 2003.

**Table 9A : Medicaid 1915 (c) HCBS Waivers Programs, Expenditures by Recipient Type and State, 2001**

| State | No. of Waivers | MR/DD            | Aged/Elderly  | Aged/Disbld     | Disabled & Physically disabled | Children (Special Care) | AIDS/ARC     | Mental Health | TBI/ Head Injury | Total Expenditures |
|-------|----------------|------------------|---------------|-----------------|--------------------------------|-------------------------|--------------|---------------|------------------|--------------------|
| AK    | 4              | \$49,261,867     | \$15,249,364  |                 | \$8,779,340                    |                         |              |               |                  | \$73,290,571       |
| AL    | 3              | \$108,546,153    |               | \$36,798,117    | \$2,294,216                    |                         |              |               |                  | \$147,638,486      |
| AR    | 3              | \$46,782,450     | \$32,298,078  |                 | \$8,661,660                    |                         |              |               |                  | \$87,742,188       |
| CA    | 5              | \$678,852,401    |               | \$34,621,477    | \$43,871,715                   |                         | \$8,278,705  |               |                  | \$765,624,298      |
| CO    | 9              | \$217,322,981    |               | \$72,256,809    |                                | \$263,407               | \$122,165    | \$9,418,636   | \$4,983,010      | \$304,367,008      |
| CT    | 5              | \$340,913,553    |               | \$61,439,858    | \$5,309,832                    |                         |              |               | \$5,721,837      | \$413,385,080      |
| DC    | 2              | \$764,745        |               | \$919,405       |                                |                         |              |               |                  | \$1,684,150        |
| DE    | 4              | \$36,222,893     | \$507,757     | \$8,489,923     |                                |                         | \$2,056,562  |               |                  | \$47,277,135       |
| FL    | 9              | \$428,460,063    |               | \$105,250,108   |                                | \$59,172                | \$25,126,169 |               | \$1,289,817      | \$560,185,329      |
| GA    | 5              | \$112,968,792    |               | \$80,380,677    |                                | \$5,345,254             |              |               | \$15,830,815     | \$214,525,538      |
| HI    | 5              | \$28,093,658     |               | \$23,490,260    |                                | \$18,447                | \$505,052    |               |                  | \$52,107,417       |
| IA    | 6              | \$109,257,807    | \$15,954,061  |                 | \$306,912                      |                         | \$118,971    |               | \$2,170,478      | \$127,808,229      |
| ID    | 4              | \$24,025,552     |               | \$32,000,354    |                                |                         |              |               | \$476,422        | \$56,502,328       |
| IL    | 7              | \$200,891,938    | \$68,560,977  | \$810,760       | \$107,750,004                  | \$27,573,770            | \$10,429,203 |               | \$4,435,052      | \$420,451,704      |
| IN    | 5              | \$113,208,243    |               | \$17,305,327    |                                | \$1,211,696             |              |               | \$1,981,500      | \$133,706,766      |
| KS    | 6              | \$178,114,262    | \$53,771,549  |                 | \$59,780,165                   | \$146,351               |              | \$539,696     | \$3,497,665      | \$295,849,688      |
| KY    | 4              | \$80,472,308     |               | \$52,869,737    | \$5,312,387                    |                         |              |               | \$476,743        | \$139,131,175      |
| LA    | 4              | \$121,145,427    |               | \$6,958,034     | \$1,527,140                    |                         |              |               |                  | \$129,630,601      |
| MA    | 2              | \$493,031,811    | \$13,902,861  |                 |                                |                         |              |               |                  | \$506,934,672      |
| MD    | 3              | \$196,168,397    | \$1,172,221   |                 |                                | \$2,036,491             |              |               |                  | \$199,377,109      |
| ME    | 4              | \$126,581,167    | \$11,942,906  |                 | \$12,600,769                   |                         |              |               |                  | \$151,124,842      |
| MI    | 3              | \$539,315,672    |               | \$53,118,352    |                                |                         |              |               |                  | \$592,434,024      |
| MN    | 5              | \$507,730,208    | \$61,546,361  |                 | \$36,953,292                   |                         |              |               | \$16,488,433     | \$622,718,294      |
| MO    | 6              | \$218,774,907    | \$70,490,339  |                 | \$4,128,407                    |                         | \$957,521    |               |                  | \$294,351,174      |
| MS    | 3              | \$9,489,829      |               | \$29,289,394    | \$2,739,901                    |                         |              |               |                  | \$41,519,124       |
| MT    | 2              | \$36,769,692     |               | \$19,356,808    |                                |                         |              |               |                  | \$56,126,500       |
| NC    | 4              | \$179,413,761    |               | \$200,227,350   |                                | \$17,167,275            | \$319,693    |               |                  | \$397,128,079      |
| ND    | 3              | \$44,902,598     |               | \$4,542,268     |                                |                         |              |               | \$433,669        | \$49,878,535       |
| NE    | 5              | \$89,493,309     |               | \$31,827,139    |                                | \$1,590                 |              |               | \$82,991         | \$121,405,029      |
| NH    | 3              | \$98,379,936     | \$13,524,996  |                 |                                |                         |              |               | \$5,820,454      | \$117,725,386      |
| NJ    | 9              | \$245,193,696    |               | \$60,297,479    | \$17,470,284                   | \$394,189               | \$4,709,087  |               | \$12,999,602     | \$341,064,337      |
| NM    | 4              | \$130,573,478    |               | \$21,120,286    |                                | \$3,569,783             | \$576,523    |               |                  | \$155,840,070      |
| NV    | 4              | \$20,854,000     | \$7,110,500   |                 | \$654,260                      |                         |              |               |                  | \$28,618,760       |
| NY    | 8              | \$1,681,641,531  |               | \$26,222,888    |                                | \$7,699,413             |              |               | \$32,588,546     | \$1,748,152,378    |
| OH    | 4              | \$194,374,152    |               | \$151,790,567   | \$141,200,674                  |                         |              |               |                  | \$487,365,393      |
| OK    | 4              | \$190,580,095    |               | \$54,497,981    |                                |                         |              |               |                  | \$245,078,076      |
| OR    | 2              | \$257,207,622    |               | \$221,373,186   |                                |                         |              |               |                  | \$478,580,808      |
| PA    | 10             | \$638,603,386    | \$33,569,214  |                 | \$34,179,710                   | \$4,708,173             | \$146,373    |               |                  | \$711,206,856      |
| RI    | 4              | \$153,156,128    | \$2,569,891   | \$10,167,650    | \$980,786                      |                         |              |               |                  | \$166,874,455      |
| SC    | 5              | \$117,804,219    |               | \$77,737,249    | \$692,778                      |                         | \$2,681,085  |               | \$8,043,854      | \$206,959,185      |
| SD    | 4              | \$53,448,336     | \$2,933,506   |                 | \$1,173,166                    |                         |              |               |                  | \$57,555,008       |
| TN    | 4              | \$192,800,466    |               | \$4,786,203     |                                |                         |              |               |                  | \$197,586,669      |
| TX    | 7              | \$253,553,910    |               | \$350,783,472   | \$3,939,577                    | \$17,551,367            |              |               |                  | \$625,828,326      |
| UT    | 5              | \$83,062,060     | \$2,232,253   |                 | \$1,025,497                    | \$1,108,814             |              |               | \$1,417,515      | \$88,846,139       |
| VA    | 6              | \$171,101,050    | \$359,853     | \$88,156,499    | \$17,406,038                   |                         | \$896,913    |               |                  | \$277,920,353      |
| VT    | 5              | \$68,804,020     |               | \$14,381,375    |                                |                         |              | \$4,630,844   | \$2,219,672      | \$90,035,911       |
| WA    | 2              | \$221,720,642    |               | \$274,756,889   |                                |                         |              |               |                  | \$496,477,531      |
| WI    | 4              | \$299,170,407    |               | \$117,371,993   |                                |                         |              |               | \$13,720,189     | \$430,262,589      |
| WV    | 2              | \$97,905,420     |               | \$42,328,897    |                                |                         |              |               |                  | \$140,234,317      |
| WY    | 3              | \$46,424,780     |               | \$5,779,838     |                                |                         |              |               |                  | \$52,204,618       |
| U.S.  | 229            | \$10,533,335,778 | \$407,696,687 | \$2,393,504,609 | \$518,738,510                  | \$88,855,192            | \$56,924,022 | \$14,589,176  | \$134,678,264    | \$14,148,322,238   |

**Table 9B : Medicaid 1915(c) HCBS Waivers Programs, Expenditures per Participant by Recipient Type and State, 2001**

| State | Number of<br>Waivers | MR/DD    | Aged/Elderly | Aged/Disbl | Disabled &<br>Physically<br>disabled | Children<br>(Special Care) | AIDS/ARC | Mental<br>Health | TBI/ Head<br>Injury | Expenditures per<br>Participant |
|-------|----------------------|----------|--------------|------------|--------------------------------------|----------------------------|----------|------------------|---------------------|---------------------------------|
| AK    | 4                    | \$52,074 | \$13,519     |            | \$13,222                             |                            |          |                  |                     | \$26,768                        |
| AL    | 3                    | \$25,290 |              | \$5,348    | \$5,868                              |                            |          |                  |                     | \$18,820                        |
| AR    | 3                    | \$20,323 | \$3,831      |            | \$12,114                             |                            |          |                  |                     | \$7,589                         |
| CA    | 5                    | \$19,192 |              | \$2,823    | \$97,710                             |                            | \$3,375  |                  |                     | \$15,150                        |
| CO    | 9                    | \$30,691 |              | \$5,131    |                                      | \$408                      | \$1,357  | \$5,486          | \$16,074            | \$12,722                        |
| CT    | 5                    | \$63,710 |              | \$6,190    | \$15,617                             |                            |          |                  | \$49,326            | \$26,277                        |
| DC    | 2                    | \$4,721  |              | \$7,536    |                                      |                            |          |                  |                     | \$5,930                         |
| DE    | 4                    | \$63,216 | \$4,454      | \$9,804    |                                      |                            | \$4,089  |                  |                     | \$22,995                        |
| FL    | 9                    | \$16,614 |              | \$5,841    |                                      | \$11,834                   | \$3,719  |                  | \$10,839            | \$11,051                        |
| GA    | 5                    | \$24,905 |              | \$5,081    |                                      | \$37,643                   |          |                  | \$48,861            | \$10,302                        |
| HI    | 5                    | \$21,511 |              | \$15,567   |                                      | \$1,845                    | \$5,675  |                  |                     | \$17,882                        |
| IA    | 6                    | \$16,293 | \$3,027      |            | \$2,601                              |                            | \$3,966  |                  | \$10,692            | \$10,367                        |
| ID    | 4                    | \$22,022 |              | \$7,129    |                                      |                            |          |                  | \$39,702            | \$10,104                        |
| IL    | 7                    | \$25,111 | \$3,503      | \$5,515    | \$6,673                              | \$78,782                   | \$7,865  |                  | \$6,908             | \$9,103                         |
| IN    | 5                    | \$43,242 |              | \$7,437    |                                      | \$8,299                    |          |                  | \$16,936            | \$25,673                        |
| KS    | 6                    | \$29,607 | \$6,955      |            | \$12,837                             | \$3,114                    |          | \$484            | \$32,386            | \$15,037                        |
| KY    | 4                    | \$49,644 |              | \$3,686    | \$94,864                             |                            |          |                  | \$10,364            | \$8,660                         |
| LA    | 4                    | \$30,226 |              | \$7,014    | \$14,972                             |                            |          |                  |                     | \$25,408                        |
| MA    | 2                    | \$41,341 | \$2,301      |            |                                      |                            |          |                  |                     | \$28,213                        |
| MD    | 3                    | \$35,162 | \$7,466      |            |                                      | \$9,934                    |          |                  |                     | \$33,560                        |
| ME    | 4                    | \$61,597 | \$10,857     |            | \$18,395                             |                            |          |                  |                     | \$39,355                        |
| MI    | 3                    | \$72,782 |              | \$3,698    |                                      |                            |          |                  |                     | \$27,244                        |
| MN    | 5                    | \$36,559 | \$5,431      |            | \$7,246                              |                            |          |                  | \$36,970            | \$20,240                        |
| MO    | 6                    | \$26,409 | \$2,900      |            | \$8,495                              |                            | \$12,435 |                  |                     | \$8,878                         |
| MS    | 3                    | \$10,808 |              | \$4,214    | \$8,303                              |                            |          |                  |                     | \$5,089                         |
| MT    | 2                    | \$27,379 |              | \$11,241   |                                      |                            |          |                  |                     | \$18,312                        |
| NC    | 4                    | \$36,355 |              | \$16,430   |                                      | \$44,360                   | \$8,880  |                  |                     | \$22,635                        |
| ND    | 3                    | \$22,655 |              | \$10,027   |                                      |                            |          |                  | \$20,651            | \$20,309                        |
| NE    | 5                    | \$34,728 |              | \$8,373    |                                      | \$199                      |          |                  | \$13,832            | \$18,993                        |
| NH    | 3                    | \$38,809 | \$8,303      |            |                                      |                            |          |                  | \$76,585            | \$27,765                        |
| NJ    | 9                    | \$33,369 |              | \$8,675    | \$56,538                             | \$2,011                    | \$6,680  |                  | \$50,979            | \$21,636                        |
| NM    | 4                    | \$53,646 |              | \$14,657   |                                      | \$22,036                   | \$12,266 |                  |                     | \$38,159                        |
| NV    | 4                    | \$19,185 | \$5,061      |            | \$2,607                              |                            |          |                  |                     | \$10,433                        |
| NY    | 8                    | \$38,959 |              | \$1,288    |                                      | \$8,208                    |          |                  | \$41,304            | \$26,788                        |
| OH    | 4                    | \$34,360 |              | \$6,199    | \$20,192                             |                            |          |                  |                     | \$13,123                        |
| OK    | 4                    | \$48,717 |              | \$3,512    |                                      |                            |          |                  |                     | \$12,613                        |
| OR    | 2                    | \$44,186 |              | \$6,992    |                                      |                            |          |                  |                     | \$12,768                        |
| PA    | 10                   | \$39,415 | \$7,225      |            | \$19,610                             | \$100,174                  | \$813    |                  |                     | \$31,169                        |
| RI    | 4                    | \$47,981 | \$4,690      | \$5,803    | \$16,910                             |                            |          |                  |                     | \$30,067                        |
| SC    | 5                    | \$25,438 |              | \$5,387    | \$19,794                             |                            | \$2,407  |                  | \$17,796            | \$10,016                        |
| SD    | 4                    | \$27,494 | \$2,919      |            | \$14,307                             |                            |          |                  |                     | \$18,989                        |
| TN    | 4                    | \$43,453 |              | \$8,946    |                                      |                            |          |                  |                     | \$39,740                        |
| TX    | 7                    | \$28,895 |              | \$11,354   | \$37,520                             | \$15,302                   |          |                  |                     | \$15,293                        |
| UT    | 5                    | \$24,524 | \$2,759      |            | \$12,660                             | \$9,318                    |          |                  | \$20,250            | \$19,894                        |
| VA    | 6                    | \$33,589 | \$2,833      | \$9,174    | \$62,164                             |                            | \$2,161  |                  |                     | \$17,901                        |
| VT    | 5                    | \$38,224 |              | \$10,961   |                                      |                            |          | \$17,608         | \$4,439             | \$23,235                        |
| WA    | 2                    | \$24,069 |              | \$9,003    |                                      |                            |          |                  |                     | \$12,496                        |
| WI    | 4                    | \$32,041 |              | \$9,382    |                                      |                            |          |                  | \$56,230            | \$19,477                        |
| WV    | 2                    | \$42,201 |              | \$9,577    |                                      |                            |          |                  |                     | \$20,806                        |
| WY    | 3                    | \$34,389 |              | \$5,193    |                                      |                            |          |                  |                     | \$21,196                        |
| U.S.  | 229                  | \$32,685 | \$4,276      | \$6,862    | \$12,911                             | \$19,511                   | \$4,118  | \$4,712          | \$27,734            | \$17,070                        |



**Table 11 : Medicaid HCBS Participants per 1,000 Population by Program and State, 1999-2001**

|    | HH    |       |       |            | PCS  |      |      |            | Waiver |       |       |            | HCBS  |       |       |            |
|----|-------|-------|-------|------------|------|------|------|------------|--------|-------|-------|------------|-------|-------|-------|------------|
|    | 1999  | 2000  | 2001  | 00-01 Chng | 1999 | 2000 | 2001 | 00-01 Chng | 1999   | 2000  | 2001  | 00-01 Chng | 1999  | 2000  | 2001  | 00-01 Chng |
| AK | 0.71  | 0.49  | 0.42  | -12.8%     | 1.88 | 2.13 | 3.03 | 42.1%      | 2.66   | 3.55  | 4.31  | 21.4%      | 5.24  | 6.17  | 7.77  | 25.9%      |
| AL | 1.73  | 1.70  | 1.77  | 4.5%       |      |      |      |            | 2.32   | 2.30  | 2.59  | 12.6%      | 4.05  | 4.00  | 4.37  | 9.1%       |
| AR | 3.93  | 3.34  | 3.06  | -8.5%      | 7.20 | 6.61 | 7.96 | 20.4%      | 3.93   | 3.89  | 4.25  | 9.4%       | 15.06 | 13.84 | 15.27 | 10.3%      |
| AZ | 6.89  | 6.90  | 7.10  | 3.0%       |      |      |      |            |        |       |       |            | 6.89  | 6.90  | 7.10  | 3.0%       |
| CA | 2.14  | 1.47  | 2.05  | 38.9%      | 5.33 | 6.22 | 5.89 | -5.3%      | 1.26   | 1.31  | 1.46  | 11.8%      | 8.73  | 9.01  | 9.41  | 4.4%       |
| CO | 1.76  | 1.56  | 1.94  | 24.2%      | 1.65 | 1.65 | 1.72 | 4.5%       | 4.91   | 5.13  | 5.42  | 5.6%       | 8.32  | 8.33  | 9.07  | 8.9%       |
| CT | 7.85  | 7.73  | 7.77  | 0.6%       |      |      |      |            | 5.51   | 4.34  | 4.59  | 5.8%       | 13.36 | 12.07 | 12.36 | 2.4%       |
| DC | 5.39  | 4.90  | 4.11  | -16.1%     | 3.13 | 2.84 | 2.88 | 1.5%       | 0.06   | 0.25  | 0.50  | 97.3%      | 8.58  | 7.99  | 7.49  | -6.3%      |
| DE | 1.49  | 1.25  | 1.35  | 7.5%       |      |      |      |            | 2.11   | 2.25  | 2.58  | 14.7%      | 3.60  | 3.50  | 3.93  | 12.2%      |
| FL | 0.98  | 0.88  | 0.87  | -1.2%      |      |      |      |            | 2.41   | 2.72  | 3.09  | 13.8%      | 3.39  | 3.60  | 3.97  | 10.1%      |
| GA | 1.20  | 0.94  | 0.87  | -6.8%      |      |      |      |            | 2.13   | 2.03  | 2.48  | 22.3%      | 3.33  | 2.97  | 3.36  | 13.1%      |
| HI | 0.51  | 0.46  | 0.49  | 7.1%       |      |      |      |            | 1.63   | 1.86  | 2.38  | 27.6%      | 2.15  | 2.32  | 2.87  | 23.6%      |
| IA | 6.14  | 5.85  | 5.88  | 0.5%       |      |      |      |            | 3.19   | 3.64  | 4.22  | 15.9%      | 9.33  | 9.49  | 10.09 | 6.4%       |
| ID | 2.01  | 2.30  | 2.26  | -1.6%      | 2.27 | 2.46 | 2.12 | -13.7%     | 1.24   | 2.92  | 4.23  | 45.1%      | 5.52  | 7.68  | 8.62  | 12.3%      |
| IL | 1.10  | 0.99  | 0.97  | -2.1%      |      |      |      |            | 3.25   | 3.42  | 3.70  | 8.3%       | 4.34  | 4.41  | 4.67  | 6.0%       |
| IN | 1.63  | 1.44  | 1.33  | -7.6%      |      |      |      |            | 0.73   | 0.81  | 0.85  | 5.1%       | 2.36  | 2.25  | 2.19  | -3.0%      |
| KS | 2.66  | 7.97  | 8.91  | 11.8%      |      |      |      |            | 6.31   | 6.86  | 7.30  | 6.5%       | 8.97  | 14.83 | 16.21 | 9.3%       |
| KY | 5.13  | 5.01  | 5.64  | 12.5%      |      |      |      |            | 3.65   | 3.89  | 3.95  | 1.6%       | 8.78  | 8.90  | 9.59  | 7.8%       |
| LA | 2.46  | 3.21  | 2.36  | -26.4%     |      |      |      |            | 0.86   | 1.02  | 1.14  | 12.1%      | 3.32  | 4.23  | 3.51  | -17.2%     |
| MA | 4.21  | 3.93  | 3.92  | -0.3%      | 0.60 | 0.89 | 1.09 | 22.1%      | 2.62   | 2.76  | 2.82  | 1.9%       | 7.44  | 7.58  | 7.82  | 3.2%       |
| MD | 1.29  | 1.41  | 1.42  | 1.1%       | 0.95 | 0.94 | 0.94 | -0.1%      | 2.05   | 0.97  | 1.11  | 14.3%      | 4.29  | 3.32  | 3.47  | 4.6%       |
| ME | 5.54  | 4.32  | 2.51  | -41.8%     | 0.87 | 1.01 | 1.08 | 6.9%       | 2.97   | 2.99  | 2.98  | -0.2%      | 9.38  | 8.32  | 6.58  | -20.9%     |
| MI | 0.53  | 0.42  | 0.48  | 14.7%      | 5.58 | 5.53 | 5.51 | -0.3%      | 1.54   | 1.96  | 2.18  | 11.1%      | 7.65  | 7.91  | 8.17  | 3.3%       |
| MN | 13.07 | 12.21 | 12.16 | -0.3%      | 1.49 | 1.48 | 1.56 | 5.4%       | 3.99   | 4.65  | 6.19  | 33.2%      | 18.55 | 18.33 | 19.91 | 8.6%       |
| MO | 1.10  | 1.11  | 1.07  | -3.6%      | 6.69 | 6.99 | 7.30 | 4.4%       | 5.25   | 5.69  | 5.89  | 3.5%       | 13.03 | 13.80 | 14.27 | 3.4%       |
| MS | 1.89  | 1.98  | 2.73  | 38.1%      |      |      |      |            | 1.09   | 1.63  | 2.85  | 75.3%      | 2.98  | 3.61  | 5.59  | 54.9%      |
| MT | 1.11  | 0.91  | 0.63  | -30.4%     | 3.13 | 3.09 | 3.23 | 4.6%       | 2.83   | 3.20  | 3.39  | 5.9%       | 7.07  | 7.20  | 7.25  | 0.8%       |
| NC | 1.39  | 1.43  | 1.52  | 6.0%       | 1.19 | 1.39 | 1.55 | 11.3%      | 2.15   | 2.15  | 2.14  | -0.4%      | 4.73  | 4.98  | 5.21  | 4.7%       |
| ND | 1.52  | 1.70  | 1.72  | 1.0%       |      |      |      |            | 3.48   | 3.62  | 3.87  | 7.0%       | 5.00  | 5.32  | 5.59  | 5.1%       |
| NE | 0.74  | 0.75  | 0.80  | 7.1%       | 0.37 | 0.37 | 0.39 | 4.2%       | 2.87   | 3.38  | 3.73  | 10.4%      | 3.98  | 4.50  | 4.93  | 9.3%       |
| NH | 8.06  | 7.58  | 7.47  | -1.5%      | 0.10 | 0.10 | 0.10 | -1.5%      | 3.32   | 3.42  | 3.37  | -1.5%      | 11.48 | 11.10 | 10.94 | -1.5%      |
| NJ | 1.77  | 1.53  | 0.54  | -65.0%     | 2.42 | 2.44 | 1.81 | -25.8%     | 1.57   | 1.70  | 1.86  | 9.4%       | 5.76  | 5.67  | 4.20  | -25.9%     |
| NM | 2.42  | 2.48  | 2.47  | -0.4%      | 0.00 | 0.45 | 1.68 | 273.3%     | 1.94   | 2.04  | 2.23  | 9.4%       | 4.35  | 4.97  | 6.39  | 28.4%      |
| NV | 0.19  | 0.20  | 0.19  | -4.1%      | 0.27 | 0.22 | 0.38 | 69.7%      | 1.13   | 1.21  | 1.30  | 7.4%       | 1.60  | 1.63  | 1.87  | 14.5%      |
| NY | 6.10  | 5.44  | 4.92  | -9.6%      | 4.92 | 4.67 | 4.65 | -0.5%      | 3.15   | 3.28  | 3.43  | 4.6%       | 14.17 | 13.40 | 13.00 | -3.0%      |
| OH | 2.57  | 1.61  | 2.61  | 62.1%      |      |      |      |            | 3.01   | 3.06  | 3.27  | 6.6%       | 5.58  | 4.67  | 5.87  | 25.7%      |
| OK | 0.95  | 0.99  | 1.05  | 5.9%       | 1.83 | 2.16 | 2.03 | -6.3%      | 3.48   | 5.44  | 5.62  | 3.2%       | 6.27  | 8.60  | 8.69  | 1.1%       |
| OR | 0.23  | 0.26  | 0.26  | -1.2%      | 0.38 | 0.60 | 0.77 | 27.8%      | 9.54   | 10.17 | 10.79 | 6.1%       | 10.15 | 11.03 | 11.82 | 7.1%       |
| PA | 0.97  | 0.70  | 0.70  | 0.0%       |      |      |      |            | 1.41   | 1.88  | 1.86  | -1.2%      | 2.38  | 2.58  | 2.56  | -0.9%      |
| RI | 3.26  | 1.72  | 2.69  | 56.5%      |      |      |      |            | 5.24   | 5.33  | 5.24  | -1.6%      | 8.50  | 7.05  | 7.93  | 12.5%      |
| SC | 2.64  | 2.30  | 2.17  | -5.7%      |      |      |      |            | 5.15   | 5.11  | 5.09  | -0.5%      | 7.79  | 7.41  | 7.26  | -2.1%      |
| SD | 7.48  | 6.90  | 6.25  | -9.4%      | 1.21 | 1.32 | 1.34 | 2.0%       | 3.56   | 3.34  | 4.01  | 19.8%      | 12.24 | 11.55 | 11.60 | 0.4%       |
| TN | 0.11  | 0.09  | 0.09  | -1.8%      |      |      |      |            | 0.89   | 0.86  | 0.87  | 0.6%       | 1.00  | 0.95  | 0.96  | 0.4%       |
| TX | 5.81  | 5.56  | 5.47  | -1.7%      | 1.85 | 1.93 | 2.01 | 4.0%       | 1.76   | 1.94  | 1.92  | -0.9%      | 9.43  | 9.43  | 9.39  | -0.4%      |
| UT | 0.53  | 0.61  | 0.65  | 6.5%       | 0.08 | 0.12 | 0.14 | 12.7%      | 2.56   | 1.86  | 1.97  | 5.8%       | 2.28  | 2.60  | 2.76  | 6.3%       |
| VA | 0.93  | 0.85  | 0.69  | -18.5%     |      |      |      |            | 1.66   | 2.21  | 2.16  | -2.1%      | 3.29  | 3.05  | 2.85  | -6.7%      |
| VT | 5.26  | 5.36  | 5.51  | 2.7%       |      |      |      |            | 2.36   | 5.03  | 6.32  | 25.7%      | 9.96  | 10.39 | 11.83 | 13.8%      |
| WA | 1.12  | 1.07  | 1.08  | 1.4%       | 1.32 | 1.10 | 1.20 | 9.2%       | 4.70   | 6.47  | 6.64  | 2.6%       | 8.27  | 8.64  | 8.92  | 3.3%       |
| WI | 1.43  | 1.43  | 1.25  | -12.2%     | 2.11 | 1.96 | 1.96 | 0.2%       | 5.82   | 4.38  | 4.09  | -6.5%      | 7.92  | 7.76  | 7.30  | -5.9%      |
| WV | 0.90  | 0.92  | 0.99  | 8.1%       | 3.15 | 3.24 | 2.95 | -8.9%      | 4.38   | 2.87  | 3.74  | 30.5%      | 6.97  | 7.02  | 7.68  | 9.4%       |
| WY | 0.88  | 0.87  | 0.96  | 10.6%      |      |      |      |            | 2.92   | 4.41  | 4.98  | 13.1%      | 5.25  | 5.28  | 5.94  | 12.7%      |
| US | 2.73  | 2.52  | 2.56  | 1.6%       | 1.86 | 1.97 | 1.96 | -0.7%      | 2.56   | 2.72  | 2.92  | 7.4%       | 7.15  | 7.21  | 7.44  | 3.2%       |

See table 10 for estimated data notes  
Empty cell denotes no program

Table 12 : Medicaid HCBS Expenditures by Program and State, 1999-2001

|    | HH            |               |            | PCS           |               |            | Waivers       |             |            | HCBS        |             |            | 00-01 Chng    |               |            |
|----|---------------|---------------|------------|---------------|---------------|------------|---------------|-------------|------------|-------------|-------------|------------|---------------|---------------|------------|
|    | 1999 (\$)     | 2000 (\$)     | 00-01 Chng | 1999 (\$)     | 2000 (\$)     | 00-01 Chng | 1999 (\$)     | 2000 (\$)   | 00-01 Chng | 1999 (\$)   | 2000 (\$)   | 00-01 Chng | 1999 (\$)     | 2000 (\$)     | 00-01 Chng |
| AK | 722,300       | 674,700       | 1.8%       | 4,725,600     | 6,650,100     | 21.0%      | 38,284,003    | 52,291,852  | 34.3%      | 43,731,993  | 59,618,652  | 37.0%      | 32,023,871    | 32,023,871    | 0.0%       |
| AL | 22,262,560    | 21,603,263    | -2.9%      | 60,787,103    | 57,862,615    | -4.7%      | 129,111,942   | 135,582,490 | 4.6%       | 151,379,502 | 157,186,413 | 3.8%       | 239,750,031   | 239,750,031   | 0.0%       |
| AR | 14,030,833    | 12,355,265    | -11.9%     | 61,880,874    | 61,880,874    | 0.0%       | 56,212,910    | 64,662,496  | 14.9%      | 86,874,448  | 104,880,376 | 20.4%      | 160,283,120   | 160,283,120   | 0.0%       |
| AZ | 279,373,001   | 337,986,311   | 15.8%      |               |               |            |               |             |            |             |             |            | 337,986,311   | 337,986,311   | 0.0%       |
| CA | 387,920,979   | 509,623,735   | 47.3%      | 1,198,264,831 | 1,486,198,331 | 24.1%      | 550,077,062   | 581,250,608 | 2.2%       | 765,624,295 | 765,624,295 | 0.0%       | 3,127,685,295 | 3,127,685,295 | 0.0%       |
| CO | 60,380,594    | 81,976,321    | 47.3%      | 67,531,024    | 149,649,737   | 7.8%       | 209,560,407   | 277,436,410 | 32.9%      | 304,367,003 | 304,367,003 | 0.0%       | 434,471,551   | 434,471,551   | 0.0%       |
| CT | 120,335,835   | 147,822,566   | 22.9%      | 9,422,005     | 9,918,300     | 5.3%       | 676,068,983   | 390,972,103 | -41.6%     | 413,385,067 | 413,385,067 | 0.0%       | 561,207,633   | 561,207,633   | 0.0%       |
| DC | 4,109,948     | 4,061,100     | -1.2%      |               |               |            |               |             |            | 1,684,150   | 1,684,150   | 0.0%       | 14,354,420    | 14,354,420    | 0.0%       |
| DE | 7,045,021     | 5,422,921     | -21.9%     | 9,422,005     | 9,918,300     | 5.3%       | 51,525        | 37,020      | -29.7%     | 45,623,136  | 45,623,136  | 0.0%       | 56,207,633    | 56,207,633    | 0.0%       |
| FL | 19,823,613    | 23,067,203    | 16.3%      |               |               |            | 38,407,574    | 40,200,215  | 4.7%       | 306,885,274 | 306,885,274 | 0.0%       | 387,834,363   | 387,834,363   | 0.0%       |
| GA | 14,797,553    | 11,584,279    | -21.6%     | 10,622,527    | 10,622,527    | 0.0%       | 28,062,661    | 34,251,837  | 22.1%      | 214,525,538 | 214,525,538 | 0.0%       | 201,434,029   | 201,434,029   | 0.0%       |
| HI | 1,600,000     | 2,070,000     | 29.4%      | 2,622,832     | 2,622,832     | 0.0%       | 34,181,923    | 41,396,896  | 21.1%      | 52,107,418  | 52,107,418  | 0.0%       | 54,730,250    | 54,730,250    | 0.0%       |
| IA | 44,408,628    | 47,273,183    | 6.3%       | 46,941,979    | 46,941,979    | 0.0%       | 87,523,224    | 105,445,355 | 25.1%      | 127,808,229 | 127,808,229 | 0.0%       | 174,750,208   | 174,750,208   | 0.0%       |
| IL | 5,693,600     | 7,100,000     | 24.8%      | 17,700,000    | 17,700,000    | 0.0%       | 17,843,156    | 32,718,529  | 83.5%      | 56,502,331  | 56,502,331  | 0.0%       | 76,002,331    | 76,002,331    | 0.0%       |
| ID | 10,727,598    | 9,956,236     | -7.3%      | 13,010,282    | 30,496        | -76.4%     | 317,526,299   | 331,943,970 | 4.4%       | 420,451,700 | 420,451,700 | 0.0%       | 433,910,206   | 433,910,206   | 0.0%       |
| IN | 47,424,210    | 49,217,392    | 3.8%       | 49,217,392    | 84,591,088    | 71.3%      | 84,591,088    | 104,045,614 | 23.7%      | 133,706,767 | 133,706,767 | 0.0%       | 152,777,006   | 152,777,006   | 0.0%       |
| KS | 26,914,000    | 24,090,824    | -10.8%     | 36,489,886    | 36,489,886    | 0.0%       | 273,496,388   | 273,496,388 | 0.0%       | 295,849,690 | 295,849,690 | 0.0%       | 332,339,576   | 332,339,576   | 0.0%       |
| KY | 62,506,928    | 75,034,667    | 20.7%      | 80,392,026    | 80,392,026    | 0.0%       | 97,333,773    | 122,006,749 | 27.7%      | 139,131,176 | 139,131,176 | 0.0%       | 197,041,416   | 197,041,416   | 0.0%       |
| LA | 18,587,304    | 20,777,201    | 11.8%      | 21,557,213    | 21,557,213    | 0.0%       | 77,970,290    | 83,648,777  | 7.3%       | 129,610,601 | 129,610,601 | 0.0%       | 151,167,914   | 151,167,914   | 0.0%       |
| MA | 81,400,000    | 67,955,390    | -16.5%     | 74,000,000    | 115,994,100   | 58.1%      | 427,737,937   | 469,647,944 | 9.5%       | 506,934,671 | 506,934,671 | 0.0%       | 717,587,578   | 717,587,578   | 0.0%       |
| MD | 49,100,000    | 52,100,000    | 6.1%       | 19,152,165    | 20,318,270    | 6.1%       | 156,128,448   | 179,364,397 | 14.3%      | 199,377,107 | 199,377,107 | 0.0%       | 279,248,983   | 279,248,983   | 0.0%       |
| ME | 15,705,936    | 16,831,316    | 7.1%       | 4,212,295     | 4,212,295     | 0.0%       | 119,480,293   | 135,025,229 | 13.0%      | 151,124,842 | 151,124,842 | 0.0%       | 163,050,532   | 163,050,532   | 0.0%       |
| MN | 11,610,281    | 10,727,598    | -7.6%      | 166,445,124   | 189,980,909   | 13.6%      | 321,580,363   | 498,265,889 | 53.8%      | 593,216,397 | 593,216,397 | 0.0%       | 696,095,881   | 696,095,881   | 0.0%       |
| MO | 92,641,065    | 99,147,176    | 7.1%       | 117,182,849   | 129,754,500   | 10.7%      | 430,001,364   | 500,721,567 | 16.3%      | 622,718,294 | 622,718,294 | 0.0%       | 857,304,507   | 857,304,507   | 0.0%       |
| MS | 8,098,906     | 8,228,555     | 1.5%       | 105,092,223   | 125,674,924   | 19.6%      | 231,958,445   | 265,932,776 | 14.6%      | 294,351,174 | 294,351,174 | 0.0%       | 399,206,255   | 399,206,255   | 0.0%       |
| MT | 1,340,000     | 760,000       | -43.3%     | 17,627,260    | 18,970,000    | 7.3%       | 14,852,218    | 25,413,612  | 71.4%      | 41,519,123  | 41,519,123  | 0.0%       | 52,434,889    | 52,434,889    | 0.0%       |
| NC | 70,287,895    | 83,440,895    | 18.6%      | 73,965,864    | 92,449,960    | 22.0%      | 41,729,279    | 56,126,500  | 34.0%      | 60,696,539  | 60,696,539  | 0.0%       | 77,256,861    | 77,256,861    | 0.0%       |
| ND | 2,693,488     | 3,224,290     | 19.3%      | 2,693,488     | 2,693,488     | 0.0%       | 319,357,261   | 381,675,331 | 23.4%      | 397,128,059 | 397,128,059 | 0.0%       | 558,075,212   | 558,075,212   | 0.0%       |
| NE | 16,232,129    | 16,638,231    | 2.5%       | 5,983,636     | 5,983,636     | 0.0%       | 41,093,808    | 46,307,058  | 12.6%      | 49,878,532  | 49,878,532  | 0.0%       | 52,568,119    | 52,568,119    | 0.0%       |
| NH | 5,200,000     | 4,300,000     | -17.3%     | 2,544,884     | 2,900,000     | 13.8%      | 88,315,332    | 99,049,852  | 12.3%      | 121,405,027 | 121,405,027 | 0.0%       | 146,307,317   | 146,307,317   | 0.0%       |
| NJ | 75,455,240    | 55,742,434    | -25.9%     | 19,781,007    | 190,780,706   | 894.3%     | 117,464,532   | 117,464,532 | 0.0%       | 125,219,416 | 125,219,416 | 0.0%       | 140,566,161   | 140,566,161   | 0.0%       |
| NM | 1,943,102     | 1,737,795     | -10.8%     | 49,068,815    | 49,068,815    | 0.0%       | 28,733,022    | 311,681,905 | 1000.0%    | 341,064,337 | 341,064,337 | 0.0%       | 589,374,770   | 589,374,770   | 0.0%       |
| NY | 2,500,000     | 3,000,000     | 20.0%      | 2,429,592     | 4,970,590     | 102.9%     | 119,179,803   | 132,616,405 | 11.3%      | 155,840,068 | 155,840,068 | 0.0%       | 308,295,609   | 308,295,609   | 0.0%       |
| OH | 62,284,845    | 60,613,363    | -2.7%      | 1,464,026,040 | 1,520,556,019 | 3.4%       | 1,786,391,942 | 20,992,479  | -99.9%     | 28,618,760  | 28,618,760  | 0.0%       | 36,446,091    | 36,446,091    | 0.0%       |
| OK | 1,265,559     | 925,562       | -26.8%     | 28,447,513    | 35,202,700    | 23.9%      | 390,013,067   | 425,554,622 | 8.9%       | 487,365,382 | 487,365,382 | 0.0%       | 549,650,237   | 549,650,237   | 0.0%       |
| OR | 459,711       | 674,525       | 47.8%      | 862,382       | 1,556,635     | 78.1%      | 401,063,361   | 176,597,497 | -55.5%     | 245,078,076 | 245,078,076 | 0.0%       | 286,103,374   | 286,103,374   | 0.0%       |
| PA | 34,274,108    | 32,602,401    | -4.9%      | 32,602,401    | 1,556,635     | 36.6%      | 34,159,040    | 423,665,131 | 1130.0%    | 478,580,809 | 478,580,809 | 0.0%       | 841,463,010   | 841,463,010   | 0.0%       |
| RI | 5,650,676     | 3,956,975     | -30.0%     |               |               |            | 590,559,268   | 702,865,014 | 19.1%      | 711,206,856 | 711,206,856 | 0.0%       | 743,809,257   | 743,809,257   | 0.0%       |
| SC | 14,346,335    | 13,006,344    | -9.3%      |               |               |            | 167,166,803   | 167,166,803 | 0.0%       | 166,874,455 | 166,874,455 | 0.0%       | 172,438,668   | 172,438,668   | 0.0%       |
| SD | 4,078,450     | 4,091,645     | 0.3%       | 855,128       | 845,252       | -0.1%      | 151,830,797   | 183,649,458 | 21.0%      | 206,959,185 | 206,959,185 | 0.0%       | 219,083,333   | 219,083,333   | 0.0%       |
| TN | 608,837       | 4,604,678     | 663.2%     |               |               |            | 48,766,613    | 52,946,797  | 8.6%       | 57,555,009  | 57,555,009  | 0.0%       | 62,643,277    | 62,643,277    | 0.0%       |
| TX | 66,908,859    | 86,774,153    | 29.7%      | 215,828,630   | 240,030,849   | 10.8%      | 128,786,883   | 174,700,326 | 38.0%      | 197,586,672 | 197,586,672 | 0.0%       | 202,398,377   | 202,398,377   | 0.0%       |
| UT | 3,741,527     | 4,024,093     | 7.5%       | 292,587       | 476,609       | 30.1%      | 549,195,136   | 575,434,478 | 4.7%       | 625,434,478 | 625,434,478 | 0.0%       | 899,842,186   | 899,842,186   | 0.0%       |
| VA | 7,051,662     | 6,707,635     | -4.9%      | 5,211,239     | 476,609       | -91.0%     | 211,170,841   | 66,032,954  | -68.8%     | 88,846,141  | 88,846,141  | 0.0%       | 94,302,633    | 94,302,633    | 0.0%       |
| VT | 4,351,705     | 6,932,113     | 59.5%      |               |               |            | 67,769,248    | 78,280,311  | 14.9%      | 90,035,909  | 90,035,909  | 0.0%       | 283,131,591   | 283,131,591   | 0.0%       |
| WA | 29,910,568    | 24,146,040    | -16.1%     | 53,300,000    | 39,500,000    | -26.1%     | 355,552,201   | 436,695,917 | 23.1%      | 496,477,521 | 496,477,521 | 0.0%       | 581,049,152   | 581,049,152   | 0.0%       |
| WY | 2,365,565     | 2,149,068     | -9.5%      | 29,326,679    | 74,533,007    | 153.1%     | 387,831,126   | 412,703,365 | 6.4%       | 482,343,312 | 482,343,312 | 0.0%       | 553,114,836   | 553,114,836   | 0.0%       |
| WV | 1,101,847     | 1,134,822     | 3.0%       | 2,902,922     | 28,353,074    | 900.0%     | 110,279,416   | 120,026,543 | 9.7%       | 140,234,317 | 140,234,317 | 0.0%       | 167,839,918   | 167,839,918   | 0.0%       |
| WY | 2,428,674,440 | 2,484,712,961 | 2.3%       | 3,951,574,972 | 4,456,893,823 | 12.7%      | 5,023,523,837 | 48,718,209  | -97.5%     | 46,503,408  | 46,503,408  | 0.0%       | 53,182,927    | 53,182,927    | 0.0%       |

**Table 13 : Medicaid HCBS Expenditures per Participant by Program and State, 1999-2001**

|    | HH        |           |           |            | PCS       |           |           |            | Waiver    |           |           |            | HCBS      |           |           |            |
|----|-----------|-----------|-----------|------------|-----------|-----------|-----------|------------|-----------|-----------|-----------|------------|-----------|-----------|-----------|------------|
|    | 1999 (\$) | 2000 (\$) | 2001 (\$) | 00-01 Chng | 1999 (\$) | 2000 (\$) | 2001 (\$) | 00-01 Chng | 1999 (\$) | 2000 (\$) | 2001 (\$) | 00-01 Chng | 1999 (\$) | 2000 (\$) | 2001 (\$) | 00-01 Chng |
| AK | 1,649     | 2,212     | 2,554     | 15.4%      | 4,060     | 4,966     | 4,180     | -15.8%     | 23,259    | 23,461    | 26,768    | 14.1%      | 13,464    | 15,393    | 16,631    | 8.0%       |
| AL | 2,944     | 2,856     | 2,790     | -2.3%      |           |           |           |            | 12,714    | 13,239    | 18,820    | 42.2%      | 8,543     | 8,828     | 12,302    | 39.4%      |
| AR | 1,398     | 1,380     | 1,401     | 1.5%       | 3,311     | 3,266     | 2,887     | -11.6%     | 5,610     | 6,213     | 7,589     | 22.1%      | 3,411     | 3,638     | 3,899     | 7.2%       |
| AZ | 8,483     | 8,189     | 8,967     | 9.5%       |           |           |           |            |           |           |           |            | 8,483     | 8,189     | 8,967     | 9.5%       |
| CA | 5,479     | 7,712     | 8,061     | 4.5%       | 6,777     | 7,023     | 8,815     | 25.5%      | 13,145    | 13,049    | 15,150    | 16.1%      | 7,380     | 8,012     | 9,637     | 20.3%      |
| CO | 8,480     | 9,991     | 9,587     | -4.0%      | 6,221     | 6,268     | 6,334     | 1.1%       | 10,516    | 12,503    | 12,722    | 1.8%       | 9,234     | 10,801    | 10,842    | 0.4%       |
| CT | 4,673     | 4,963     | 5,553     | 11.9%      |           |           |           |            | 37,354    | 26,383    | 26,277    | -0.4%      | 18,161    | 12,669    | 13,251    | 4.6%       |
| DC | 1,468     | 1,449     | 1,189     | -17.9%     | 5,802     | 6,104     | 6,657     | 9.1%       | 1,777     | 2,590     | 5,930     | 128.9%     | 3,051     | 3,140     | 3,609     | 14.9%      |
| DE | 6,290     | 5,506     | 5,064     | -8.0%      |           |           |           |            | 21,654    | 22,712    | 22,995    | 1.2%       | 15,302    | 16,560    | 16,850    | 1.7%       |
| FL | 1,340     | 1,625     | 1,930     | 18.8%      |           |           |           |            | 7,874     | 7,847     | 11,051    | 40.8%      | 5,988     | 6,320     | 9,042     | 43.1%      |
| GA | 1,586     | 1,503     | 1,453     | -3.3%      |           |           |           |            | 9,914     | 11,358    | 10,302    | -9.3%      | 6,920     | 8,249     | 8,003     | -3.0%      |
| HI | 2,627     | 3,730     | 4,371     | 17.2%      |           |           |           |            | 17,647    | 18,309    | 17,882    | -2.3%      | 14,054    | 15,436    | 15,575    | 0.9%       |
| IA | 2,521     | 2,759     | 2,732     | -1.0%      |           |           |           |            | 9,561     | 9,894     | 10,367    | 4.8%       | 4,928     | 5,495     | 5,922     | 7.8%       |
| ID | 2,261     | 2,373     | 2,340     | -1.4%      | 6,232     | 5,383     | 4,461     | -17.1%     | 11,504    | 8,628     | 10,104    | 17.1%      | 5,969     | 5,714     | 6,675     | 16.8%      |
| IL | 807       | 807       | 1,073     | 33.0%      |           |           |           |            | 8,061     | 7,810     | 9,103     | 16.6%      | 6,231     | 6,234     | 7,434     | 19.2%      |
| IN | 4,892     | 5,544     | 6,036     | 8.9%       |           |           |           |            | 19,509    | 21,070    | 25,673    | 21.8%      | 9,410     | 11,129    | 13,690    | 23.0%      |
| KS | 3,805     | 1,122     | 1,520     | 35.4%      |           |           |           |            | 14,351    | 14,811    | 15,037    | 1.5%       | 11,219    | 7,452     | 7,608     | 2.1%       |
| KY | 3,075     | 3,700     | 3,508     | -5.2%      |           |           |           |            | 6,732     | 7,749     | 8,660     | 11.8%      | 4,595     | 5,470     | 5,631     | 3.0%       |
| LA | 1,729     | 1,446     | 2,040     | 41.1%      |           |           |           |            | 20,753    | 18,356    | 25,408    | 38.4%      | 6,655     | 5,517     | 9,652     | 74.9%      |
| MA | 3,131     | 2,718     | 2,718     | 0.0%       | 19,903    | 20,472    | 20,568    | 0.5%       | 26,391    | 26,718    | 28,213    | 5.6%       | 12,697    | 13,548    | 14,379    | 6.1%       |
| MD | 7,333     | 6,975     | 7,606     | 9.0%       | 3,886     | 4,054     | 4,296     | 6.0%       | 14,757    | 34,916    | 33,560    | -3.9%      | 10,105    | 14,291    | 14,975    | 4.8%       |
| ME | 2,261     | 1,946     | 2,128     | 9.4%       | 3,861     | 3,812     | 3,633     | -4.7%      | 32,153    | 35,347    | 39,355    | 11.3%      | 11,859    | 14,182    | 19,269    | 35.9%      |
| MI | 2,211     | 1,894     | 1,665     | -12.1%     | 3,024     | 3,451     | 3,331     | -3.5%      | 21,211    | 25,509    | 27,244    | 6.8%       | 6,621     | 8,842     | 9,615     | 8.7%       |
| MN | 1,484     | 1,646     | 1,733     | 5.3%       | 14,953    | 16,015    | 16,693    | 4.2%       | 22,566    | 21,846    | 20,240    | -7.4%      | 7,102     | 7,926     | 8,658     | 9.2%       |
| MO | 1,350     | 1,318     | 1,293     | -1.9%      | 2,873     | 3,206     | 3,582     | 11.7%      | 8,085     | 8,320     | 8,878     | 6.7%       | 4,843     | 5,162     | 5,596     | 8.4%       |
| MS | 1,184     | 1,262     | 1,397     | 10.7%      |           |           |           |            | 4,927     | 5,477     | 5,089     | -7.1%      | 2,553     | 3,165     | 3,283     | 3.7%       |
| MT | 1,365     | 927       | 1,370     | 47.8%      | 6,375     | 6,790     | 6,957     | 2.5%       | 16,732    | 17,432    | 18,312    | 5.0%       | 9,725     | 10,779    | 11,775    | 9.2%       |
| NC | 6,591     | 7,200     | 6,594     | -8.4%      | 8,141     | 8,273     | 8,949     | 8.2%       | 19,427    | 21,954    | 22,635    | 3.1%       | 12,811    | 13,879    | 13,890    | 0.1%       |
| ND | 2,797     | 2,953     | 2,463     | -16.6%     |           |           |           |            | 18,628    | 19,960    | 20,309    | 1.7%       | 13,817    | 14,517    | 14,816    | 2.1%       |
| NE | 13,208    | 12,928    | 13,104    | 1.4%       | 9,247     | 9,335     | 9,170     | -1.8%      | 18,499    | 17,113    | 18,993    | 11.0%      | 16,650    | 15,769    | 17,254    | 9.4%       |
| NH | 537       | 457       | 557       | 21.9%      | 20,942    | 23,387    | 27,730    | 18.6%      | 29,469    | 27,765    | 27,765    | 0.0%       | 9,079     | 9,071     | 9,178     | 1.2%       |
| NJ | 5,228     | 4,318     | 10,806    | 150.3%     | 9,126     | 9,265     | 12,964    | 39.9%      | 22,062    | 21,758    | 21,636    | -0.6%      | 11,448    | 11,671    | 16,521    | 41.6%      |
| NM | 462       | 385       | 178       | -53.6%     |           | 6,062     | 16,780    | 176.8%     | 35,386    | 35,659    | 38,159    | 7.0%       | 15,992    | 15,383    | 17,834    | 15.9%      |
| NV | 7,143     | 7,500     | 7,500     | 0.0%       | 4,948     | 6,504     | 6,072     | -6.6%      | 7,340     | 8,575     | 10,433    | 21.7%      | 6,911     | 8,163     | 9,255     | 13.4%      |
| NY | 5,188     | 5,877     | 6,788     | 15.5%      | 16,344    | 17,126    | 17,785    | 3.8%       | 31,198    | 29,403    | 26,788    | -8.9%      | 14,840    | 15,564    | 16,001    | 2.8%       |
| OH | 2,151     | 2,222     | 2,100     | -5.5%      |           |           |           |            | 11,517    | 12,228    | 13,123    | 7.3%       | 7,200     | 8,782     | 8,229     | -6.3%      |
| OK | 397       | 270       | 278       | 3.0%       | 4,619     | 4,711     | 5,708     | 21.2%      | 15,100    | 10,403    | 12,613    | 21.2%      | 9,805     | 7,802     | 9,514     | 21.9%      |
| OR | 608       | 759       | 815       | 7.5%       | 682       | 752       | 806       | 7.1%       | 10,915    | 12,142    | 12,768    | 5.2%       | 10,298    | 11,252    | 11,729    | 4.2%       |
| PA | 2,960     | 3,791     | 3,791     | 0.0%       |           |           |           |            | 34,849    | 30,438    | 31,169    | 2.4%       | 21,905    | 23,206    | 23,674    | 2.0%       |
| RI | 1,751     | 2,192     | 1,954     | -10.8%     |           |           |           |            | 21,112    | 29,857    | 30,067    | 0.7%       | 13,693    | 23,112    | 20,536    | -11.1%     |
| SC | 1,399     | 1,404     | 1,374     | -2.1%      |           |           |           |            | 7,582     | 8,933     | 10,016    | 12.1%      | 5,488     | 6,594     | 7,430     | 12.7%      |
| SD | 744       | 785       | 823       | 4.9%       | 965       | 850       | 1,177     | 38.5%      | 18,706    | 20,952    | 18,989    | -9.4%      | 5,985     | 6,628     | 7,139     | 7.7%       |
| TN | 1,001     | 8,639     | 9,130     | 5.7%       |           |           |           |            | 26,515    | 35,581    | 39,740    | 11.7%      | 23,676    | 32,942    | 36,806    | 11.7%      |
| TX | 574       | 745       | 840       | 12.9%      | 5,806     | 5,929     | 6,208     | 4.7%       | 15,566    | 14,179    | 15,293    | 7.9%       | 4,402     | 4,566     | 4,941     | 8.2%       |
| UT | 3,296     | 2,937     | 3,303     | 12.5%      | 1,617     | 1,708     | 1,827     | 6.9%       | 18,653    | 19,409    | 19,894    | 2.5%       | 14,429    | 14,685    | 15,064    | 2.6%       |
| VA | 1,106     | 1,112     | 1,049     | -5.7%      |           |           |           |            | 13,001    | 15,385    | 17,901    | 16.4%      | 9,649     | 11,420    | 13,815    | 21.0%      |
| VT | 1,395     | 2,119     | 2,224     | 4.9%       |           |           |           |            | 24,273    | 25,523    | 23,235    | -9.0%      | 12,199    | 13,445    | 13,453    | 0.1%       |
| WA | 4,637     | 5,078     | 5,088     | 0.2%       | 6,990     | 6,064     | 7,159     | 18.1%      | 10,550    | 11,422    | 12,496    | 9.4%       | 9,178     | 9,955     | 10,877    | 9.3%       |
| WI | 3,352     | 3,151     | 3,275     | 3.9%       | 6,262     | 7,076     | 9,511     | 34.4%      | 16,870    | 17,550    | 19,477    | 11.0%      | 11,600    | 12,263    | 14,023    | 14.4%      |
| WV | 1,455     | 1,298     | 1,626     | 25.3%      | 5,050     | 4,848     | 5,034     | 3.9%       | 20,870    | 23,162    | 20,806    | -10.2%     | 11,223    | 11,865    | 12,277    | 3.5%       |
| WY | 2,599     | 2,639     | 2,055     | -22.1%     |           |           |           |            | 21,702    | 22,379    | 21,196    | -5.3%      | 18,483    | 19,123    | 18,096    | -5.4%      |
| US | 3,265     | 3,498     | 3,893     | 11.3%      | 7,773     | 8,019     | 9,018     | 12.5%      | 16,256    | 16,343    | 17,070    | 4.5%       | 9,090     | 9,585     | 10,423    | 8.7%       |

See Tables 10 and 12 for estimated data and notes  
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