

## Home and Community-Based Services: Medicaid Research and Demonstration Waivers.

Martin Kitchener, Micky Willmott & Charlene Harrington. UCSF National Center for Personal Assistance Services.

November 2004

State	Section <sup>1</sup>	Date submitted <sup>2</sup>	Date approved <sup>3</sup>	Date started <sup>4</sup>	Expiry date <sup>5</sup>	Name of project	Target group (eligibility) <sup>6</sup>	No. slots	Services covered	Area covered	Further details
AR	1115	May 1996	Oct 1998	Dec 1998	Nov 2006	Independent Choices	Aged/Physically disabled adults	3,500	PAS	Statewide	Independent Choices website: <a href="http://www.independentchoices.com/ICHome.htm">http://www.independentchoices.com/ICHome.htm</a> Waiver amended in 2002 to remove the experimental design & expand the program.
AZ	1115	May 1982	Jul 1982	Oct 1982	N/A	Arizona LTC System (ALTCS)	Aged, physically disabled, MR/DD adults.	34,000	HCBS (e.g. home health, homemaker, personal care, PAS, meals, transport).	Statewide	Factsheet: <a href="http://www.cms.hhs.gov/medicaid/1115/azfact.asp">http://www.cms.hhs.gov/medicaid/1115/azfact.asp</a> Acute care and institutional care are also covered under ALTCS.
CA	1115	May 2004	Jul 2004	Aug 2004	Jul 2009	California In-Home Supportive Services (IHSS) Plus Demonstration	Aged, blind & disabled adults and children.	52,000	Personal care, homemaker services, restaurant meal allowances (allows consumer direction).	Statewide	Factsheet: <a href="http://www.cms.hhs.gov/medicaid/1115/ihssfs.pdf">http://www.cms.hhs.gov/medicaid/1115/ihssfs.pdf</a> Up to 195 hrs assistance per month allowed (up to 283 for severely impaired people). 3 delivery types: (1) individual provider (2) contract & (3) homemaker.
CO <sup>7</sup> *	1115	Jul 1999	Aug 2001	Dec 2002	Dec 2007	Consumer Directed Attendant Support	Aged/Physically disabled adults.	200	Skilled nursing, personal care, home aide & homemaker services.	Statewide	Approved before IP was introduced.
FL <sup>8</sup> *	1115	May 1996	Oct 1998	Mar 2000	Feb 2008	Consumer Directed Care Plus <sup>9</sup>	Aged/Physically disabled adults <sup>10</sup> , MR/DD adults, children with DD	6,000	HCBS waiver services and any others approved by the consumer's state program office (e.g. yard work).	Statewide (children with DD). Selected counties (other groups).	A Report to the Florida Legislature: Consumer-Directed Care Project (Jan 2004). <a href="http://www.cdcplus.org/">http://www.cdcplus.org/</a> & <a href="http://www.fdhc.state.fl.us/Medicaid/deputy_secretary/recent_presentations/cdc_le_g_rpt_011504.pdf">http://www.fdhc.state.fl.us/Medicaid/deputy_secretary/recent_presentations/cdc_le_g_rpt_011504.pdf</a> . FL participated in RWJF 'Self-Determination' project. Approved under IP.
LA <sup>11</sup> *	1915c	Oct 2002	Apr 2003	Apr 2003	Apr 2006	New Opportunities Waiver (NOW)	MR/DD	4,776 <sup>12</sup>	18 services including respite, adaptations, skilled nursing & family support.	N/A	Factsheet: <a href="http://www.dhh.state.la.us/offices/publications.asp?ID=105&amp;Detail=134">http://www.dhh.state.la.us/offices/publications.asp?ID=105&amp;Detail=134</a> Approved under IP, this replaces a previous waiver & provides a self-direction option.
MD	1915c	N/A	N/A	Nov 2004	N/A	New Directions	N/A	100	Personal care, PAS, adaptations & equipment.	N/A	News Release (11.8.04) HHS Approves Maryland Plan To Allow Individuals With Disabilities To Direct Own Care <a href="http://www.hhs.gov/news/press/2004pres/20041108.html">http://www.hhs.gov/news/press/2004pres/20041108.html</a>
MN	1115	Jun 2000	Pending	N/A	N/A	Consumer-Directed Home Care	Adults & children	1,500	Personal care, duty nursing, home health.	Statewide	Minnesota received funding from the RWJF 'Self-Determination' project & the waiver builds on that project.

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NC	1915c	May 2003	Jan 2004	Not yet implemented <sup>19</sup>	N/A	CAP Choice	Aged/Disabled adults (not MR/DD).	600 <sup>20</sup>	In-home respite, PAS, home mobility aids, good & services.	Pilot in a limited no. of counties.	NC Community Alternatives Program website: <a href="http://www.dhhs.state.nc.us/dma/commltprog.htm">http://www.dhhs.state.nc.us/dma/commltprog.htm</a> Application under IP
ND	1915c	Oct 2003	Pending	N/A	N/A	North Dakota Self Directed Supports	MR/DD Adults & Children.	N/A	Any services not included in the state plan	Statewide	Application under IP
NH	1915c	Jul 2002	Dec 2002	Jan 2003	Jan 2006	Home Support Waiver for Children with DD	Children with MR/DD	200 <sup>21</sup>	Family support & care co-ordination, personal care, respite, modifications.	Statewide	New Hampshire received funding from the RWJF 'Self-Determination' project. Approved under IP.
NJ <sup>22</sup>	1115	May 1996	Oct 1998	May 2000	Apr 2005	Personal Preference	Aged/Physically disabled adults, MR/DD adults.	3,500	PAS	Statewide	<a href="http://www.state.nj.us/humanservices/dds/personal.html">http://www.state.nj.us/humanservices/dds/personal.html</a> . Individuals are ineligible for the program if they need less than 180 days support/year.
OR	1115	Jul 1998	Nov 2000	Dec 2001	Nov 2006	Independent Choices	Aged/Physically disabled adults, MR/DD adults, other.	300	PAS, homemaker & chore services, transport, adult companion services, in-home services.	3 regions	<a href="http://www.dhs.state.or.us/disabilities/">http://www.dhs.state.or.us/disabilities/</a> & <a href="http://www.dhs.state.or.us/disabilities/publications_data/independent_choices.pdf">http://www.dhs.state.or.us/disabilities/publications_data/independent_choices.pdf</a> . Oregon intends to replicate this statewide if successful. OR received funding from the RWJF 'Self-Determination' project.
SC	1915c	Dec 2002	N/A	May 2003	May 2006	South Carolina Choice	Aged/Physically disabled adults.	900 <sup>23</sup>	Personal care, PAS, care advice, adult day health, respite.	3 selected counties.	Approved under IP
VT	1115	Oct 2003	Pending	N/A	N/A	The Vermont Long-Term Care Plan	Aged/Physically disabled adults.	3,250	HCBS	Statewide	<a href="http://www.dad.state.vt.us/1115waiver/1115default.htm">http://www.dad.state.vt.us/1115waiver/1115default.htm</a>

### Notes and sources

- The table includes all programs reported by CMS as being 1115 'research and demonstration' programs and all 1915(c) IP waiver programs. Unless otherwise stated, information is from CMS 'A Map of State Waiver Programs and Demonstrations'. At: <http://www.cms.hhs.gov/medicaid/waivers/waivermap.asp> (Accessed 11/16/04).
- States marked \* received IP Systems Change Grants from CMS in 2003.
- N/A denotes where the information was not available. RWJF is the Robert Wood Johnson Foundation.

<sup>1</sup> This refers to the section of the Social Security Act under which the waiver has authority.

<sup>2</sup> The date the first application was submitted regardless of whether amendments have been made to the original waiver design.

<sup>3</sup> The date the application was first approved by CMS regardless of whether amendments have been made to the original waiver design.

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<sup>4</sup> This is the date that the waiver was first implemented, regardless of any subsequent amendments to the program design.

<sup>5</sup> The expiry date is the most recent approved expiration date for the waiver.

<sup>6</sup> The target group categories are those used by the CMS to categorize different population groups covered by waivers.

<sup>7</sup> Another 1115 waiver program ‘Colorado Alternatives in Medicaid Home Care’ was approved Oct 1997. It would permit greater flexibility in defining where Medicaid home health visits can occur, so that visits can be made in schools, work sites or day treatment centers and would allow delegation of some skilled nursing functions to allow people flexibility in selecting home health aides of their choice. The limit would be 200 slots but it is not expected to be implemented. There are two other proposed 1115 waiver programs, Children’s HCBS Program with Premium and Children’s Extensive Support Program with Premium, these would replace existing 1915(c) programs for children with disabilities and would provide self-directed or family-directed in-home support services (<http://www.cms.hhs.gov/medicaid/1115/cocesps.pdf>).

<sup>8</sup> Florida received approval to convert it’s ‘Cash and Counseling’ 1115 Demonstration waiver to an IP waiver (Dec 2003). From 2004 the program no longer has an experimental design. <http://www.cms.hhs.gov/medicaid/1115/flcdcf.pdf>.

<sup>9</sup> Formerly known as Consumer Directed Care.

<sup>10</sup> This includes adults with TBI/SCI who are eligible for the Florida TBI/SCI waiver at the time they seek to enroll.

<sup>11</sup> Louisiana has a 1915(c) HCBS waiver serving people with lost sensory or motor function that was amended in 2000 to allow consumer directed PAS. It is not an IP program.

<sup>12</sup> This figure is for year 3 of the program. The number of approved slots available in year 1 are 4,251 and year 2 are 4,576.

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<sup>15</sup> The date the application was first approved by CMS regardless of whether amendments have been made to the original waiver design.

<sup>16</sup> This is the date that the waiver was first implemented, regardless of any subsequent amendments to the program design.

<sup>17</sup> The expiry date is the most recent approved expiration date for the waiver.

<sup>18</sup> The target group categories are those used by the CMS to categorize different population groups covered by waivers.

<sup>19</sup> Information direct from the state website, state officials state that the waiver will begin early in 2005.

<sup>20</sup> Figure is the proposed number of slots in the 3<sup>rd</sup> (final) year of the waiver. In year 1, the proposed number of slots is 200, in year 2 the proposed number of slots is 400. North Carolina’s application for the IP 1915(c) waiver, Appendix G – Financial Documentation, p.50. Available at: <http://www.cms.hhs.gov/medicaid/1915c/ncelddisapp.pdf>

<sup>21</sup> Number of slots in the final year of the program. In year 1 the number of approved slots is 180, in year 2 the number of slots is 190.

<sup>22</sup> New Jersey is converting the Cash & Counseling 1115 Demonstration waiver to an IP 1115 waiver as the program no longer has an experimental design. In the meantime, the state still has 1115 demonstration authority until April 2005. CMS Approval Letter – Revised implementation Date. <http://www.cms.hhs.gov/medicaid/1115/njccrid.pdf>.

<sup>23</sup> Number of slots in the final year of the program. In year 1, the number of approved slots is 300, in year 2 the number of slots is 600